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Interventions to increase attendance for diabetic retinopathy screening

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ABSTRACT

Background

Despite evidence supporting the effectiveness of diabetic retinopathy screening (DRS) in reducing the risk of sight loss, attendance for screening is consistently below recommended levels.

Objectives

The primary objective of the review was to assess the effectiveness of quality improvement (QI) interventions that seek to increase attendance for DRS in people with type 1 and type 2 diabetes.

Secondary objectives were:

To use validated taxonomies of QI intervention strategies and behaviour change techniques (BCTs) to code the description of interventions in the included studies and determine whether interventions that include particular QI strategies or component BCTs are more effective in increasing screening attendance;

To explore heterogeneity in effect size within and between studies to identify potential explanatory factors for variability in effect size;

To explore differential effects in subgroups to provide information on how equity of screening attendance could be improved;

To critically appraise and summarise current evidence on the resource use, costs and cost effectiveness.

Search methods

We searched the Cochrane Library, MEDLINE, Embase, PsycINFO, Web of Science, ProQuest Family Health, OpenGrey, the ISRCTN, ClinicalTrials.gov, and the WHO ICTRP to identify randomised controlled trials (RCTs) that were designed to improve attendance for DRS or were evaluating general quality improvement (QI) strategies for diabetes care and reported the effect of the intervention on DRS attendance. We searched the resources on 13 February 2017. We did not use any date or language restrictions in the searches.

Selection criteria

We included RCTs that compared any QI intervention to usual care or a more intensive (stepped) intervention versus a less intensive intervention.

Data collection and analysis

We coded the QI strategy using a modification of the taxonomy developed by Cochrane Effective Practice and Organisation of Care (EPOC) and BCTs using the BCT Taxonomy version 1 (BCTTv1). We used Place of residence, Race/ethnicity/culture/language, Occupation, Gender/sex, Religion, Education, Socioeconomic status, and Social capital (PROGRESS) elements to describe the characteristics of participants in the included studies that could have an impact on equity of access to health services.

Two review authors independently extracted data. One review author entered the data into Review Manager 5 and a second review author checked them. Two review authors independently assessed risks of bias in the included studies and extracted data. We rated certainty of evidence using GRADE.

Main results

We included 66 RCTs conducted predominantly (62%) in the USA. Overall we judged the trials to be at low or unclear risk of bias. QI strategies were multifaceted and targeted patients, healthcare professionals or healthcare systems. Fifty-six studies (329,164 participants) compared intervention versus usual care (median duration of follow-up 12 months). Overall, DRS attendance increased by 12% (risk difference (RD) 0.12, 95% confidence interval (CI) 0.10 to 0.14; low-certainty evidence) compared with usual care, with substantial heterogeneity in effect size. Both DRS-targeted (RD 0.17, 95% CI 0.11 to 0.22) and general QI interventions (RD 0.12, 95% CI 0.09 to 0.15) were effective, particularly where baseline DRS attendance was low. All BCT combinations were associated with significant improvements, particularly in those with poor attendance. We found higher effect estimates in subgroup analyses for the BCTs 'goal setting (outcome)' (RD 0.26, 95% CI 0.16 to 0.36) and 'feedback on outcomes of behaviour' (RD 0.22, 95% CI 0.15 to 0.29) in interventions targeting patients, and 'restructuring the social environment' (RD 0.19, 95% CI 0.12 to 0.26) and 'credible source' (RD 0.16, 95% CI 0.08 to 0.24) in interventions targeting healthcare professionals.

Ten studies (23,715 participants) compared a more intensive (stepped) intervention versus a less intensive intervention. In these studies DRS attendance increased by 5% (RD 0.05, 95% CI 0.02 to 0.09; moderate-certainty evidence).

Fourteen studies reporting any QI intervention compared to usual care included economic outcomes. However, only five of these were full economic evaluations. Overall, we found that there is insufficient evidence to draw robust conclusions about the relative cost effectiveness of the interventions compared to each other or against usual care.

With the exception of gender and ethnicity, the characteristics of participants were poorly described in terms of PROGRESS elements. Seventeen studies (25.8%) were conducted in disadvantaged populations. No studies were carried out in low- or middle-income countries.

Authors' conclusions

The results of this review provide evidence that QI interventions targeting patients, healthcare professionals or the healthcare system are associated with meaningful improvements in DRS attendance compared to usual care. There was no statistically significant difference between interventions specifically aimed at DRS and those which were part of a general QI strategy for improving diabetes care. This is a significant finding, due to the additional benefits of general QI interventions in terms of improving glycaemic control, vascular risk management and screening for other microvascular complications. It is likely that further (but smaller) improvements in DRS attendance can also be achieved by increasing the intensity of a particular QI component or adding further components.

PLAIN LANGUAGE SUMMARY

Interventions to increase attendance for diabetic retinopathy screening

What is the aim of this review?

The aim of this review was to find out if interventions used to improve attendance for diabetic retinopathy screening are effective.

Key messages

The results of this review found evidence that interventions that target patients, healthcare professionals or the healthcare system are likely to be effective for improving attendance for diabetic retinopathy screening compared to usual care. We found benefits for interventions that were specifically aimed at diabetic retinopathy screening, as well as those which were part of a general strategy to improve diabetes care. This is important, since more general strategies are associated with additional benefits, such as improving blood glucose control and increasing the detection of other diabetes-related complications.

What was studied in the review?

People with diabetes may lose vision as a result of the damaging effects of the disease on small blood vessels at the back of the eye (diabetic retinopathy). Screening for diabetic retinopathy to detect and treat early signs can prevent sight loss. However, screening attendance is variable and sight-threatening changes may not be detected in good time.

This review looked at a variety of interventions to improve diabetic retinopathy screening.

What are the main results of the review?

The Cochrane review authors found 66 relevant studies. Forty-one studies were from the USA, 14 from Europe, three from Canada, three from Australia and five from elsewhere. Fifty-six studies compared the intervention to improve screening attendance with usual care and 10 compared a more intensive to a less intensive intervention.

We found that interventions aimed at patients or healthcare professionals or both, or at the healthcare system were effective at improving screening attendance. Interventions aimed at improving the general quality of diabetes care worked as well as those specifically aimed at improving screening for retinopathy. On average, attendance increased by 12% compared with no intervention.

How up-to-date is this review?

The Cochrane review authors searched for studies that had been published up to 13 February 2017.

SUMMARY OF FINDINGS FOR THE MAIN COMPARISON *[Explanation]*

Any quality improvement intervention compared to usual care for diabetic retinopathy screening						
Patient or population: patients with type 1 or 2 diabetes eligible for diabetic retinopathy screening Setting: primary, secondary or tertiary Intervention: any quality improvement intervention Comparison: usual care						
Outcomes	Illustrative comparative risks		Risk Difference (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk (95% CI)				
	Attendance with usual care	Attendance with any QI Intervention				
Proportion of participants attending screening (median follow-up 12 months post-intervention)	472 per 1000	580 per 1000 (557 to 604)	RD 12% (95% CI 10% to 14%)	329,164 (56 RCTs)	⊕⊕○○ LOW ¹	There was substantial unexplained heterogeneity between studies ($I^2 = 93\%$, $P < 0.001$). The effect appears to be larger when baseline performance is low
Ongoing adherence to screening	-	-	-	-	-	Not reported
Economic Outcomes Resources used (staff time, equipment, consumables)	Wide variation in resources used for each study, hence difficult to collate the resource used as a single output		-	85 - 20,000 (13 RCTs)	⊕⊕○○ LOW ²	-

Staff/personnel costs; costs of treatment and care; cost of primary care; lost wages and lost productivity	Wide variation in resources used from different interventions also made it difficult to derive average costs compared with usual care	-	85 - 20,000 (10 RCTs)
Incremental Cost effectiveness of interventions	GBP 13,154 for promotion of self-management; GBP 73,683 for 5 years for face-to-face meeting, GBP 18.77 for phone call	-	85 - 603 (3 RCTs)

CI: Confidence interval; **RD:** Risk difference

GRADE Working Group grades of evidence

High-quality: Further research is very unlikely to change our confidence in the estimate of effect.

Moderate-quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

Low-quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

Very low-quality: We are very uncertain about the estimate.

¹We downgraded the certainty of the evidence by two levels from high to low for inconsistency, due to wide variation in the effect estimates across studies that could not be explained.

²We downgraded the certainty of the evidence for the economic outcomes by two levels from high to low due to inconsistency across different elements of the economic outcomes (see [Table 7](#)).

BACKGROUND

Description of the condition

Diabetic retinopathy is the most common microvascular complication of diabetes mellitus and a leading cause of blindness amongst the working-age adult population in the Western world (Sivaprasad 2012). The duration of diabetes is the strongest predictor for the development and progression of retinopathy. Within 20 years of diagnosis, nearly all patients with type 1 diabetes and more than 60% of patients with type 2 have retinopathy (Fong 2004). A higher prevalence of diabetic retinopathy is found in people of South Asian, African and Latin American descent, compared to white populations (Sivaprasad 2012). Further risk factors for the development and progression of diabetic retinopathy include: poor glycaemic control, hypertension and hyperlipidaemia (Yau 2012). It has been estimated that globally approximately 93 million individuals may have some form of diabetic retinopathy, with 28 million suffering from the sight-threatening end points of the disease (Yau 2012). There is limited evidence on the economic burden of diabetic retinopathy. One recent estimate for healthcare costs in Sweden was EUR 106,000 per 100,000 population a year, based upon a prevalence of diabetes of 4.8% (95% confidence interval 4.7 to 4.9) (Heintz 2010). These costs exclude cost impacts on those with diabetic retinopathy and their families.

Although effective treatments are available for sight-threatening diabetic retinopathy in the form of laser photocoagulation (Evans 2014) and more recently the use of anti-vascular endothelial growth factor inhibitors (Virgili 2014), the success of these interventions is dependent on early detection and timely referral for treatment. Diabetic retinopathy screening (DRS) fulfils the World Health Organization (WHO) criteria for a screening programme (Scanlon 2008): namely, diabetes-associated visual impairment is an important public health problem; potentially sight-threatening retinopathy has a recognisable latent stage; a universally accepted and effective treatment is available; and screening has been shown to be cost-effective in terms of sight years preserved compared with no screening (Jones 2010). Annual or biennial DRS is recommended in many countries using a variety of screening modalities, including: ophthalmoscopy performed by a number of healthcare professionals (including ophthalmologists, optometrists, diabetic physicians) or using standard retinal photography or digital fundus imaging (American Diabetes Association 2015; Kristinsson 1995; Scanlon 2008). Recently, mathematical algorithms have been developed that provide individualised risk assessment for diabetic retinopathy and optimisation of screening intervals based on type and duration of diabetes, HbA1c, systolic blood pressure, gender and the presence and grade of retinopathy (Lund 2016). Relatively few countries have introduced a national population-based DRS programme, and in most parts of the world screening remains non-systematic.

The reference standard for the detection of diabetic retinopathy consists of seven standard 35-degree colour photographic fields as described by the Early Treatment Diabetic Retinopathy Study (EDTRS) research group (EDTRS 1991). However this technique is impractical for widespread retinopathy screening. Although ophthalmoscopy through dilated pupils has traditionally been the method of choice for opportunistic screening, the procedure varies in diagnostic accuracy depending on the particular technique used (direct or indirect ophthalmoscopy) or the experience of the healthcare professional performing the test (Hutchinson 2000). Recent developments in digital retinal photography have facilitated the rapid acquisition of high-quality fundus images that can be stored and subsequently graded. Digital imaging combined with trained graders has been shown to be an effective screening tool to identify sight-threatening retinopathy (Williams 2004), and is increasingly gaining acceptance for population screening (Kirkizlar 2013; Sharp 2003; Silva 2009; Taylor 2007).

Despite evidence supporting the effectiveness of DRS in reducing the risk of sight loss, screening coverage is consistently below recommended levels (Millett 2006; Paz 2006; Saadine 2008). The high rates of non-attendance have major financial consequences. For example, the North and East Devon Diabetic Retinal Screening Service in the UK invited 22,651 people to participate in retinal screening between April 2009 and March 2010. Of those invited, 2137 (9.4%) failed to attend for their appointment after three reminders. With each appointment costing GBP 34 in 2009 and GBP 37 in 2010, the total cost of non-attendance was GBP 78,259 (2009/2010 GBP) (Waqar 2012). Several factors have been shown to affect access and attendance for DRS, including ethnicity, younger age (less than 40 years), a longer duration of diabetes, and living in areas of high social deprivation (Byun 2013; Gulliford 2010; Hwang 2015; Kliner 2012).

Description of the intervention

Several interventions specifically aimed at improving DRS, including those targeting patients, health professionals or the healthcare system, have been shown to be effective in improving attendance across a range of retinopathy screening models (Zhang 2007). Examples of patient-focused interventions include: (1) educational programmes to increase awareness of diabetic retinopathy and promote self-management, and (2) the use of prompts/reminders. Provider-focused interventions include: (1) clinician education, and (2) audit and performance feedback. System interventions include: (1) team changes; (2) establishing electronic registration and recall, and (3) the use of telemedicine.

In addition to strategies that specifically target DRS, general quality improvement (QI) implementation strategies for diabetes care may also be effective in improving screening coverage. A recent systematic review and meta-analysis of trials assessing a number of predefined QI strategies to improve diabetes care reported that these were associated with a significant increase in DRS compared

to usual care (risk ratio 1.22, 95% confidence interval 1.13 to 1.32) (Tricco 2012). However, this review did not include studies where interventions were solely targeted at patients, and the authors were unable to distinguish the effectiveness of individual QI components or identify potential effect modifiers. Furthermore, the review did not include an economic perspective.

How the intervention might work

Most studies assessing the effectiveness of interventions to improve diabetes care (including those delivered specifically to improve DRS) often involve multicomponent interventions that attempt to change the behaviour of healthcare professionals (e.g. advising patients to attend DRS) or patients (e.g. actually attending), or both. As there is no consistent association between the number of intervention components and their effectiveness (Grimshaw 2004), the 'ideal' number of components in such programmes is unknown. Furthermore, given the complexity of interventions tested to date, it is not always clear which specific components are the effective elements of these interventions (i.e. the 'active ingredients'). Hence, the content of complex behaviour change interventions has been referred to as a 'black box' (Grimshaw 2014). There is evidence that the more clearly the 'active' components of a complex intervention are described, the more readily the intervention may be delivered in an effective, consistent and cost-effective manner (Michie 2009). Therefore, identification of the effective interventions for increasing attendance for DRS first requires clarity about intervention content and the functional relationship between components of interventions and the intended outcome. Cochrane Effective Practice and Organisation of Care (EPOC) have developed a taxonomy that can be used to classify intervention content in systematic reviews (EPOC 2015). Although the EPOC taxonomy provides a common language and a useful summary description of the intervention, the taxonomy may not be sufficiently detailed to specify the components of the intervention clearly (Presseau 2015). A complementary approach is to provide a comprehensive categorisation of the ingredients of the intervention in terms of the behaviour change techniques (BCTs) used. BCTs are defined as the 'observable, replicable and irreducible components of an intervention that are designed to alter or redirect causal processes regulating behaviour' (Michie 2013). Recently, a reliable taxonomy of 93 BCTs has been published (co-developed by team member JF) to provide a common, consistent terminology (BCT Taxonomy version 1 (BCTTv1)), by which the component BCTs in complex interventions may be identified and described. Examples of BCT labels in this taxonomy include: 'goal setting,' 'self monitoring,' 'providing feedback on behaviour' and 'problem solving'. Review team members (JP, NI and JG) have successfully demonstrated the feasibility of using the BCT taxonomy within trials of QI interventions for diabetes care (Presseau 2015).

Why it is important to do this review

Given the value of screening for reducing the risk of sight loss amongst people with diabetes, it is essential that attendance for DRS is maximised as far as available resources allow. Wide geographical variation in screening coverage has been reported, with associated inequalities in outcomes. Given the incremental costs (resource use) and benefits (effects) associated with interventions to improve attendance for DRS, it is important to consider whether such strategies are worthwhile.

By identifying the active components of interventions that increase attendance for screening, this review will contribute to the identification of implementation strategies for early detection of sight-threatening retinopathy. Furthermore, by exploring the differential effects of interventions in particular subgroups the results may provide clues to help to reduce inequalities in screening attendance and determine the impact of inequity on intervention effectiveness and efficiency. Although there have been a number of systematic reviews on interventions to optimise adult screening programmes (Everett 2011; Holden 2010), it is likely that this evidence is not directly transferable to DRS. Screening for diabetic retinopathy differs from other forms of screening in that the target group already has significant contact with the healthcare system due to their underlying diabetes, and screening has to be life-long (i.e. annual or biennial surveillance is necessary).

OBJECTIVES

The primary objective of the review was to assess the effectiveness of QI interventions that seek to increase attendance for DRS in people with type 1 and type 2 diabetes.

Secondary objectives:

- To use validated taxonomies of QI intervention strategies and behaviour change techniques (BCTs) to code the description of interventions in the included studies and determine whether interventions that include particular QI strategies or component BCTs are more effective in increasing screening attendance;
- To explore heterogeneity in effect size within and between studies to identify potential explanatory factors for variability in effect size;
- To explore differential effects in subgroups to provide information on how equity of screening attendance could be improved;
- To critically appraise and summarise current evidence on the resource use, costs and cost effectiveness.

METHODS

Criteria for considering studies for this review

Types of studies

We considered randomised controlled trials (RCTs), both individually randomised and cluster-RCTs, conducted in a primary or secondary care setting, that were either specifically designed to improve attendance for DRS or were evaluating general strategies to improve diabetes care. Most commonly, the latter group of studies referred to 'quality improvement targets' or 'diabetes processes of care measures' as primary or secondary outcomes. We only included these studies if they reported on the effect of the intervention on DRS attendance.

To investigate cost effectiveness we included full economic evaluations (cost-effectiveness analyses, cost-utility analyses and cost-benefit analyses), cost analyses and comparative resource-utilisation studies conducted alongside or as part of an included RCT.

Types of participants

We included people with type 1 and type 2 diabetes mellitus who were eligible for DRS.

Types of interventions

We included RCTs that used any planned strategy or combination of strategies to improve attendance for diabetic DRS targeted at individuals with diabetes (e.g. reminders, promotion of self-management), healthcare professionals (e.g. education, audit and feedback) or the healthcare system (e.g. electronic registries, team changes). Interventions included those specifically targeting DRS, as well as those that were part of a general strategy to improve processes of diabetes care. Comparator interventions were as specified in the included studies.

Types of outcome measures

Primary outcomes

The primary outcome was the difference in DRS attendance (one or more visits) within a two-year period following implementation of the intervention. This could be based on self-reports, medical insurance claims databases or health-record audits (hospital, primary care physician or screening administration system record).

Secondary outcomes

We considered the following secondary outcomes:

- Ongoing adherence to screening based on attendance for screening following the initial screening post-intervention.
- Economic outcomes:

- i) Resources (staff time, equipment, consumables) required to deliver interventions to increase attendance for screening

- ii) Costs of staff used to provide interventions; costs of treatment and care; cost of primary care; lost wages and lost productivity (work output)

- iii) Cost effectiveness (incremental cost-effectiveness ratios (ICERs); incremental cost per quality-adjusted life year (QALY); incremental cost per disability-adjusted life year (DALY); incremental cost-benefit ratios; net benefits).

Search methods for identification of studies

Electronic searches

The Cochrane Eyes and Vision Information Specialist conducted systematic searches in the following databases for RCTs and controlled clinical trials. There were no language or publication year restrictions. The date of the search was 13 February 2017.

- Cochrane Central Register of Controlled Trials (CENTRAL; 2017, Issue 2) (which contains the Cochrane Eyes and Vision Trials Register) in the Cochrane Library (searched 13 February 2017) (Appendix 1);
- MEDLINE Ovid (1946 to 13 February 2017) (Appendix 2);
- Embase Ovid (1980 to 13 February 2017) (Appendix 3);
- PsycINFO (1967 to 13 February 2017) (Appendix 4);
- Web of Science Conference Proceedings Citation Index-Science (CPCI-S) and Emerging Sources Citation Index (ESCI) (1990 to 13 February 2017) (Appendix 5);
- ProQuest Family Health (1990 to 13 February 2017) (Appendix 6);
- OpenGrey (1980 to 13 February 2017) (Appendix 7);
- ISRCTN registry (www.isrctn.com/editAdvancedSearch; searched 13 February 2017) (Appendix 8);
- US National Institutes of Health Ongoing Trials Register ClinicalTrials.gov (www.clinicaltrials.gov; searched 13 February 2017) (Appendix 9);
- World Health Organization International Clinical Trials Registry Platform (www.who.int/ictpr; searched 13 February 2017) (Appendix 10).

Searching other resources

We searched the reference lists of included studies to identify additional relevant studies. In particular, we used the reference list of included and excluded studies of a 2012 systematic review by members of the current review team (NI and JG) (Tricco 2012), which investigated the effectiveness of QI strategies on the management of diabetes. Tricco 2012 identified studies which have multiple interventions to improve the quality of care in diabetes.

Some of the studies in this review included attendance for DRS as one of the outcomes being assessed. However, since the information on screening for diabetic retinopathy was not reported in the abstract or coded in the MeSH or thesaurus headings, the electronic search strategy used in the current review did not identify a number of these studies. In addition to searching the reference list of [Tricco 2012](#), we also obtained additional studies reporting retinopathy outcomes from the review team currently updating that review. The protocol for the updated review has been republished ([Ivers 2014](#)), as whilst the scope of the review remains the same, the update proposes an exploration of heterogeneity using an innovative meta-analytical approach.

We also contacted experts in the field to request information on any ongoing or unpublished studies that would be relevant for this review.

Data collection and analysis

Selection of studies

Two review authors (JGL and JB) independently screened the titles and abstracts of studies identified by the electronic searches. We obtained full-text copies of possibly relevant studies, resolving any differences of opinion regarding inclusion/exclusion by discussion. We documented reasons for exclusion at this stage.

Data extraction and management

Two review authors (JGL and EGR), working independently, extracted data from the included studies using a modified version of the Cochrane Effective and Organisation of Care (EPOC) data collection form ([EPOC 2017](#)), which incorporates information on study design, type and duration of interventions, participants, setting, methods, outcomes, and results. We translated studies in languages other than English and similarly extracted data in duplicate. Where numerical data were presented only in figures and not available from authors, two review authors performed data extraction by using Plot Digitizer open-source software.

For the extraction of data on the sociodemographic characteristics of participants that are known to be important from an equity perspective, we used the Place, Race, Occupation, Gender, Religion, Education, Socioeconomic status, Social status (PROGRESS) framework ([O'Neill 2014](#)), and also recorded whether any interventions were aimed at disadvantaged or low- and middle-income country populations, using the World Bank Atlas method.

An economics review author (PA) identified and further assessed studies judged potentially to include economic data. Data from included economic evaluations were extracted by one review author (PA) and checked by a second. We adapted data collection from the format and guidelines used to produce the structured abstracts of full economic evaluations for inclusion in the NHS

Economic Evaluation Database, and redesigned them to accommodate the specific data required for our review ([CDC 2012](#)). We classified economic evaluations based on their analytical framework and coded them appropriately.

Coding of intervention content

We coded extracted intervention descriptions from all of the included studies using a validated taxonomy to characterise the constituent components of each intervention. Cochrane EPOC has developed a comprehensive taxonomy to classify intervention content in systematic reviews ([EPOC 2015](#)). We used a subset of the EPOC taxonomy that has been previously used by members of the review team in a review of the effectiveness of general QI implementation strategies for diabetes care ([Tricco 2012](#)). This adapted taxonomy incorporates 12 components targeting health-care systems (case-management, team changes, electronic patient registry, facilitated relay of information to clinicians, continuous quality improvement), clinicians (audit and feedback, clinician education, clinician reminders, financial incentives) or patients (patient education, promotion of self-management and reminder systems). Two review authors (JGL and EGR) independently coded QI components as 'present' or 'absent' for both intervention and control arms, resolving discrepancies in coding by discussion.

To better characterise the detail of the intervention content, we also coded extracted intervention descriptions into component BCTs using the BCT taxonomy ([Michie 2013](#)), as a coding framework. Describing an intervention in terms of BCTs (i.e. 'active ingredients') provides a useful level of detail for synthesis and comparison ([Presseau 2015](#)). We coded BCTs for each intended recipient as 'present' or 'absent' separately for patient and healthcare professional recipients. We coded each intervention separately, including control arms. We coded system-level interventions as targeting either healthcare provider or patient behaviour, or both, unless an alternative intervention recipient and their behaviour was reported (e.g. administrative staff sending reminder letters) (see [Table 1](#)). There is substantial evidence that the content of complex behaviour change interventions is often poorly described in published reports, rendering it more difficult to clearly specify the content of interventions on this basis alone and increasing the risk of misclassification ([Lorenzatto 2013](#)). We therefore contacted all authors of included studies to ask for further information on the content of the intervention (e.g. a trial protocol, letters sent to patients, written or audio-visual materials) to clarify the BCT coding. We coded these materials using the BCT taxonomy in the same manner as for the corresponding published reports. Two review authors (EGR and FL) independently conducted BCT coding, resolving discrepancies by discussion and if necessary by the involvement of a third review author (JF).

Coding of resource requirement needed to deliver interventions

We developed an ordered ranking scale to quantify the level of resource needed to deliver each intervention, based on the description of the intervention components in each included study. To determine the feasibility of this approach, we initially piloted the scale on a sample of 10 included studies, using two members of the review team. We graded each intervention initially between one (least resource-intensive) and five (most resource-intensive), or zero (unable to determine), together with a record as to how the review author graded each study.

We incorporated the following resource components into the algorithm:

- Face-to-face minutes
- Phone calls
- Patient home visits
- Printed materials/software
- Training

The resource categories and levels with their corresponding weights were as follows:

Face-to-face or care planning minutes/patient/6 months	Phone calls to patients	Additional outreach visits to patients (travel time)	Use of materials/ letters/software	Training of health professionals other than reading material
None (0)	No (0)	No (0)	None (0)	None (0)
Low 1 - 40 mins (1)	Yes (1)	Yes (2)	Printed materials (1)	Low (1)
Moderate 40 - 100 (2)	-	-	Software (2)	High (2)
High > 100 (3)	-	-	-	-

We defined a priori a criterion of success of the ranking scale as review author scores from nine out of 10 studies being within one grade of each other, following discussion. This criterion was achieved and we used the notes about how we graded each study to generate a reproducible description of the resource input associated with each grade on the ranking scale. We then used the resource components and their intensity levels to extract resource use required to deliver the interventions in all included studies. Two review authors (JGL and EGR) did this independently.

Assessment of risk of bias in included studies

Two review authors (JGL and EGR) independently assessed study quality using the Cochrane EPOC 'Risk of bias' tool (EPOC 2012). We based the choice of the EPOC 'Risk of bias' tool on the expectation that the included studies would be similar to those included in EPOC reviews, e.g. a large number of cluster trials, complex interventions and routine data used to assess outcomes. The EPOC criteria for assessing risk of bias uses nine standard criteria:

- Was the allocation sequence adequately generated?
- Was the allocation adequately concealed?
- Were baseline outcome measurements similar?
- Were baseline characteristics similar?
- Were incomplete outcome data adequately addressed?
- Was knowledge of the allocated interventions adequately

prevented during the study?

- Was the study adequately protected against contamination?
- Was the study free from selective outcome reporting?
- Was the study free from other risks of bias?

For cluster-RCTs, we considered particular biases, including: (i) recruitment bias; (ii) baseline imbalance; (iii) loss of clusters, and (iv) incorrect analysis; as described in Chapter 16 of the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011). For each domain, two review authors performed the 'Risk of bias' assessment independently and assigned a judgement of 'low risk' 'high risk' or 'unclear risk' of bias. The review authors resolved any discrepancies between them by discussion.

The reliability of data outputs from any full economic evaluation are in part predicated on the reliability of the data for the estimates of the relative treatment effects (for benefits or harms) of the alternative courses of action (i.e. intervention(s) and comparator(s)) under investigation). As the identified economic studies were a subset of the studies included in the review, the risk of bias was already assessed. However, assessment of the overall methodological quality of the economic component was still required and was carried out by one review author (PA) using the Consolidated Health Economic Evaluation Reporting Standard (CHEERS) statement, together with the Consensus on Health Economic Criteria (CHEC) (Evers 2005; Husereau 2013). In assessing the methodological quality of economic evaluations, the

main objective is to assess the applicability of the scope of the analysis in terms of costs and outcomes. This helps to highlight the applicability and relevance of each economic evaluation.

Measures of treatment effect

Attendance at screening post-intervention is a dichotomous outcome and we have reported the intervention effect as the risk difference (RD), i.e. the actual difference in the observed events between experimental and control interventions. Our choice of RD was based on the fact that relative effect sizes (e.g. risk ratios) are highly dependent on the baseline/control compliance, i.e. a similar risk ratio if screening attendance increase from 10% to 20% or from 50% to 100%. During the development of the protocol for the review, we received advice from the Cochrane EPOC group who have found that RDs are much more interpretable, and it is also possible to explore whether baseline compliance is an effect modifier.

Unit of analysis issues

For individual randomised trials the unit of analysis was the individual participant. For cluster-RCTs, we analysed data after adjustment for clustering. In case of cluster-RCTs, where outcomes were presented at patient level, we used an established method to adjust for clustering (Higgins 2011). This involved dividing the original sample size by the design effect, which was calculated from the average cluster size and the intra-cluster correlation coefficient (ICC). Where the ICC was not reported, we imputed the most commonly-reported value from studies where it was reported.

Dealing with missing data

We contacted authors of included studies if important data were not available. Where we were not able to obtain these data, we reported the available results and did not impute missing data.

Assessment of heterogeneity

We assessed heterogeneity between studies by visual inspection of forest plots and by formal statistical tests of heterogeneity (Chi² test and the I² statistic), and explored the possible reasons for heterogeneity using subgroup and random-effects meta-regression analyses.

Assessment of reporting biases

We explored publication bias using a funnel plot for the main comparison of any intervention versus usual care.

Data synthesis

We conducted meta-analyses in Review Manager 5 (Review Manager 2014), using a random-effects model to estimate the pooled RD across studies. We included data from RCTs randomised by individual and from cluster-adjusted RCTs in the same meta-analysis. In the case of multiple intervention groups, we combined groups to create a single pair-wise comparison as recommended in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011).

A summary of the results of included economic evaluations is available as an additional table (Table 2) and is supplemented by a narrative description in the Results and Discussion sections. Costs for each study were adjusted to 2016 British pound value (GBP) using a web-based conversion tool based on implicit price deflators for gross domestic product (GDP, a measure of the wealth of a country) and GDP Purchasing Power Parities. Table 2 presents the original currency and price year used in each included study. Users of this review who might want to adjust costs to another currency and price year suitable for their needs should use costs for each study presented in Table 2 and not the adjusted costs presented in the main text of the review.

Subgroup analysis and investigation of heterogeneity

We planned to perform the following prespecified subgroup analyses to investigate whether the presence or absence of a particular covariant explained the variability in effect size:

- QI intervention components/BCTs
- Resource requirements to deliver the intervention
- Population subgroups: type 1, type 2 diabetes mellitus,

participant characteristics across PROGRESS categories

In our analyses, we assessed QI components (coded using the modified EPOC taxonomy) and BCTs of each intervention separately. Where a study used multiple QI components or BCTs or both, we applied the same effect size to each component for the analysis. We compared effect estimates for subsets of studies that used a particular QI component/BCT or resource intensity and calculated a pooled effect size. We included BCTs/QI components in the analysis when 10 more studies were available for each BCT/QI component.

We further investigated associations between DRS attendance and effect size by meta-regression for a number of covariates, including: type of study design (individual/cluster-RCT), baseline DRS attendance and QI component/BCT used in the intervention. For meta-regression we used a prespecified random-effects model and compared the risk difference of studies containing a particular explanatory variable to studies in which the variable was absent. For meta-regression we followed the guidance in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011), and only included covariates for which 10 or more studies were available.

We conducted subgroup analyses and meta-regression using Stata 14, deploying the metan and metareg commands.

Sensitivity analysis

We performed a sensitivity analysis to determine the impact on the pooled effect estimate of imputing the lower and upper range values for the ICC.

'Summary of findings' Tables

We prepared 'Summary of findings' tables for the main comparisons (1. effect of any QI intervention versus usual care on DRS attendance and 2. effect of a more intensive (stepped) intervention versus a less intensive intervention). We assessed certainty of evidence (GRADE) for each outcome using customised software (GRADEpro GTD). One author (JGL) did the initial assessment which was then checked by other review authors. We considered risk of bias, inconsistency, indirectness, imprecision and publication bias when judging the certainty of the evidence.

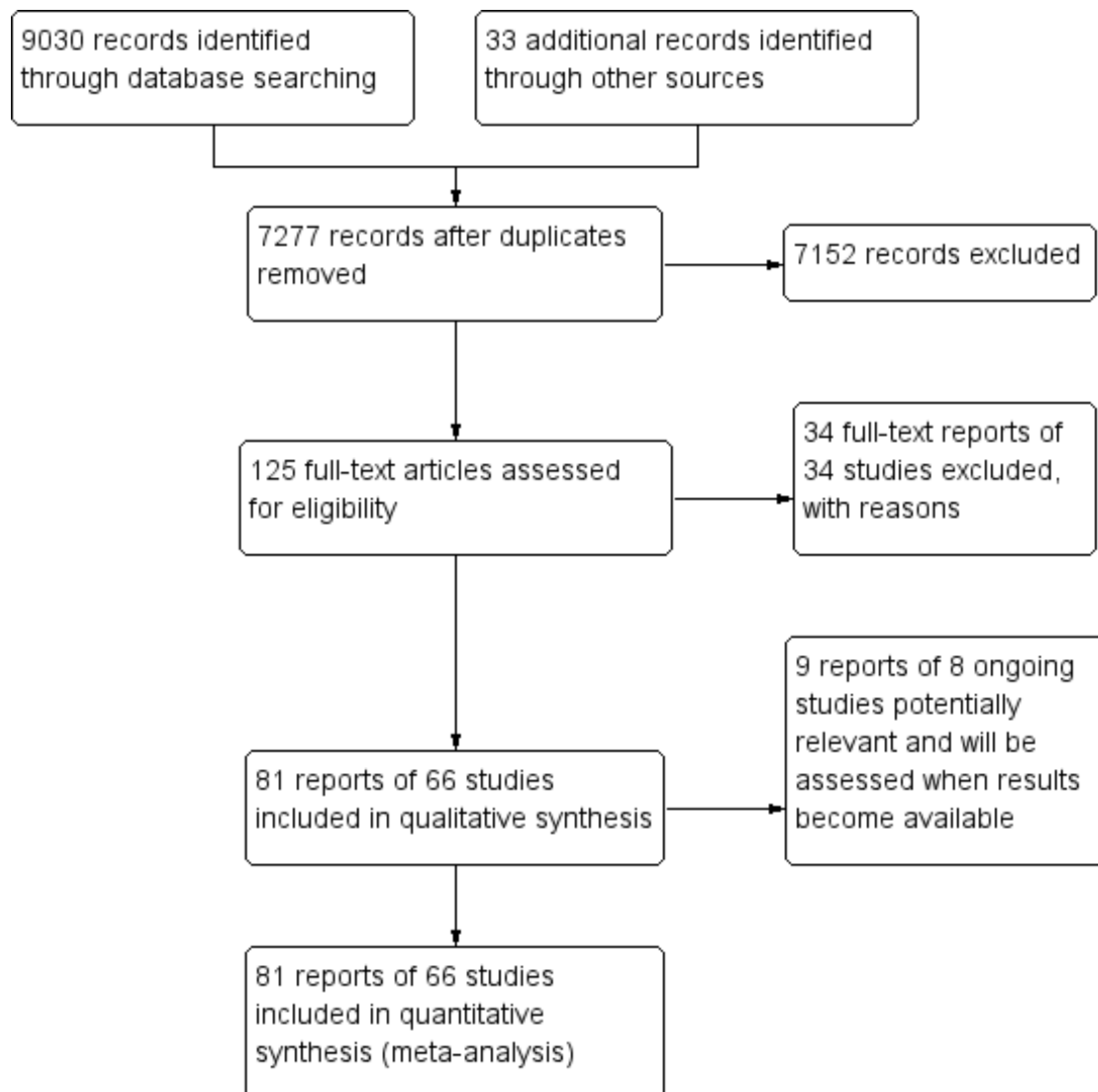
R E S U L T S

Description of studies

Results of the search

The electronic searches yielded 9030 records ([Figure 1](#)). The Cochrane Information Specialist removed 1786 duplicate records and we screened the remaining 7244 records plus 33 records identified from additional sources ([Tricco 2012](#)). We rejected 7152 records after reading the abstracts and obtained full-text reports of 125 references for further assessment. We identified 81 reports of 66 studies that met the inclusion criteria (see [Characteristics of included studies](#)) and excluded 34 reports of 34 studies (see [Characteristics of excluded studies](#)). We also identified nine reports of eight ongoing trials (see [Characteristics of ongoing studies](#)), and will assess these when results become available.

Figure 1. Study flow diagram.



Included studies

The included studies were conducted between 1988 and 2013. Thirty-five studies (53%) were parallel-group patient RCTs enrolling 237,025 patients, and 31 (47%) were cluster-RCTs in which the healthcare professional or the healthcare setting was the unit of randomisation. These included 6126 clusters (range 6 to 4125). Fifty-nine studies (89.4%) had two arms, six studies (9.1%) had three arms and one study (1.5%) had more than three arms. For further details see [Characteristics of included studies](#).

Types of participants

Participant characteristics are reported in [Table 3](#). Most of the studies (57.6%) recruited participants with type 2 diabetes, 15.2% of studies included those with either type 1 or type 2 diabetes, and in 12.1% of studies the type of diabetes was not reported.

We used PROGRESS elements to describe the characteristics of participants in the included studies that could have an impact on equity of access to health services. With the exception of gender (reported in 93.9% of studies) and ethnicity (reported in 56.1% of studies), the characteristics of participants were poorly described, and the relative effectiveness of the interventions for subgroups

in terms of PROGRESS elements was never reported. Seventeen studies (25.8%) were conducted in disadvantaged populations and none were carried out in low- or middle-income countries.

Types of setting

Details of study location and setting are given in Table 3. Most of the studies (62.1%) were conducted in the USA, 21.2% in Europe and 16.7% elsewhere. The setting was primary care in 77.7%, secondary care in 10.6% and unclear in 12.1%.

Intervention content in terms of QI components (coded using the modified EPOC taxonomy)

Interventions were either specifically targeted at improving attendance for DRS (N = 16) or were part of a general QI intervention

to improve diabetes care (N = 50). For studies comparing any intervention to usual care, most studies provided no description of usual care, which precluded coding of the comparator arm.

All 12 QI intervention components, as defined by the modified EPOC taxonomy, were used in at least one study (Figure 2). Generally, interventions were multifaceted, with several QI components per intervention arm (median 3, range 1 - 7). For interventions specifically targeting DRS attendance, the most commonly used QI components were 'Patient reminders (56% of studies)' and 'Patient education (75%)' (Figure 3). For general QI interventions, a greater number and range of strategies were used, including: 'Patient education' (48% of studies), 'Promotion of self-management' (40%), 'Case management' (40%), 'Clinician education' (38%) and 'Team changes' (36%).

Figure 2. Quality improvement components used in intervention arm of included studies. (DRS=diabetic retinopathy screening, GQI=general quality improvement).

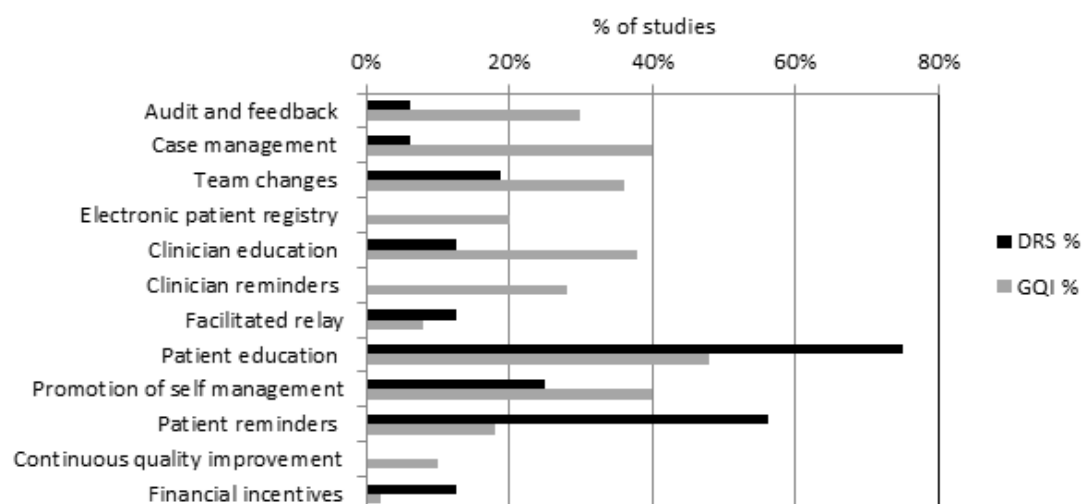


Figure 3. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.

	Adequate sequence generation?	Adequate allocation concealment?	Similar baseline outcome measurements?	Similar baseline characteristics?	Incomplete outcome data addressed?	Knowledge of allocated intervention prevented?	Blinded against contamination?	Free from selective outcome reporting?	Other risks of bias?
Adair 2011	●	●	●	●	●	●	●	●	●
Anderson 2003	●	●	●	●	●	●	●	●	●
Barcelo 2010	●	●	●	●	●	●	●	●	●
Basch 1999	●	●	●	●	●	●	●	●	●
Bush 2014	●	●	●	●	●	●	●	●	●
Choe 2005	●	●	●	●	●	●	●	●	●
Clancy 2007	●	●	●	●	●	●	●	●	●
Canlin 2008	●	●	●	●	●	●	●	●	●
Davis 2003	●	●	●	●	●	●	●	●	●
Davis 2010	●	●	●	●	●	●	●	●	●
Dickinson 2014	●	●	●	●	●	●	●	●	●
Dijkstra 2005	●	●	●	●	●	●	●	●	●
Dijkstra 2008	●	●	●	●	●	●	●	●	●
Eccles 2007	●	●	●	●	●	●	●	●	●
Ellish 2011	●	●	●	●	●	●	●	●	●
Franco 2007	●	●	●	●	●	●	●	●	●
Frei 2014	●	●	●	●	●	●	●	●	●
Frijling 2002	●	●	●	●	●	●	●	●	●
Gabbay 2006	●	●	●	●	●	●	●	●	●
Gabbay 2013	●	●	●	●	●	●	●	●	●
Glasgow 2005	●	●	●	●	●	●	●	●	●
Guldberg 2011	●	●	●	●	●	●	●	●	●
Gutierrez 2010	●	●	●	●	●	●	●	●	●
Halbert 1999	●	●	●	●	●	●	●	●	●
Harris 2005	●	●	●	●	●	●	●	●	●
Hayashino 2016	●	●	●	●	●	●	●	●	●
Hermans 2013	●	●	●	●	●	●	●	●	●
Herrin 2006	●	●	●	●	●	●	●	●	●
Hurvitz 1993	●	●	●	●	●	●	●	●	●
Ilag 2003	●	●	●	●	●	●	●	●	●
Jacobs 2012	●	●	●	●	●	●	●	●	●
Jansink 2013	●	●	●	●	●	●	●	●	●
Kirwin 2010	●	●	●	●	●	●	●	●	●
Krein 2004	●	●	●	●	●	●	●	●	●
Lafata 2002	●	●	●	●	●	●	●	●	●
Lian 2013	●	●	●	●	●	●	●	●	●
Lisker 2003	●	●	●	●	●	●	●	●	●
Majumdar 2005	●	●	●	●	●	●	●	●	●
Manisberger 2015	●	●	●	●	●	●	●	●	●
McCaal 2011	●	●	●	●	●	●	●	●	●
McClellan 2003	●	●	●	●	●	●	●	●	●
McDermott 2001	●	●	●	●	●	●	●	●	●
Meigs 2003	●	●	●	●	●	●	●	●	●
O'Connor 2005	●	●	●	●	●	●	●	●	●
Pertta 2007	●	●	●	●	●	●	●	●	●
Peterson 2008	●	●	●	●	●	●	●	●	●
Piette 2001	●	●	●	●	●	●	●	●	●
Pizzi 2015	●	●	●	●	●	●	●	●	●
Priola 2000	●	●	●	●	●	●	●	●	●
Prestig 2014	●	●	●	●	●	●	●	●	●
Rosenkranz 1996	●	●	●	●	●	●	●	●	●
Schnipper 2010	●	●	●	●	●	●	●	●	●
Simon 2010	●	●	●	●	●	●	●	●	●
Simpson 2011	●	●	●	●	●	●	●	●	●
Sonnichsen 2010	●	●	●	●	●	●	●	●	●
Steyn 2013	●	●	●	●	●	●	●	●	●
Taylor 2003	●	●	●	●	●	●	●	●	●
Varney 2014	●	●	●	●	●	●	●	●	●
Vidal-Pardo 2013	●	●	●	●	●	●	●	●	●
Vogel 2001	●	●	●	●	●	●	●	●	●
Walker 2008	●	●	●	●	●	●	●	●	●
Ward 1996	●	●	●	●	●	●	●	●	●
Weiss 2015	●	●	●	●	●	●	●	●	●
Welch 2011	●	●	●	●	●	●	●	●	●
Zangali 2016	●	●	●	●	●	●	●	●	●
Zwarenstein 2014	●	●	●	●	●	●	●	●	●

Intervention content in terms of BCTs (coded using the BCT taxonomy)

Overall, 39 out of the possible 93 BCTs (42%) were identified as targeting change in patient or healthcare professional behaviour in at least one trial. Interventions specifically targeting DRS primarily used techniques aimed at patients, particularly 'Instruction on how to perform the behaviour' (75% of studies), 'Prompts/

cues' (69%) and 'Information about consequences' (56%) (Figure 4). Relatively few of these studies used BCTs that were aimed at healthcare professionals (Figure 5). By contrast, these healthcare professional-directed strategies were more widely used in general QI interventions, in particular: 'Instruction on how to perform the behaviour' (66%), 'Restructuring the social environment' (52%) and 'Feedback on outcomes of behaviour/Biofeedback' (36%). Table 1 provides illustrative quotations for each BCT.

Figure 4. Behaviour change techniques (BCTs) targeting patients used in intervention arm. of included studies (DRS=diabetic retinopathy screening, GQI=general quality improvement).

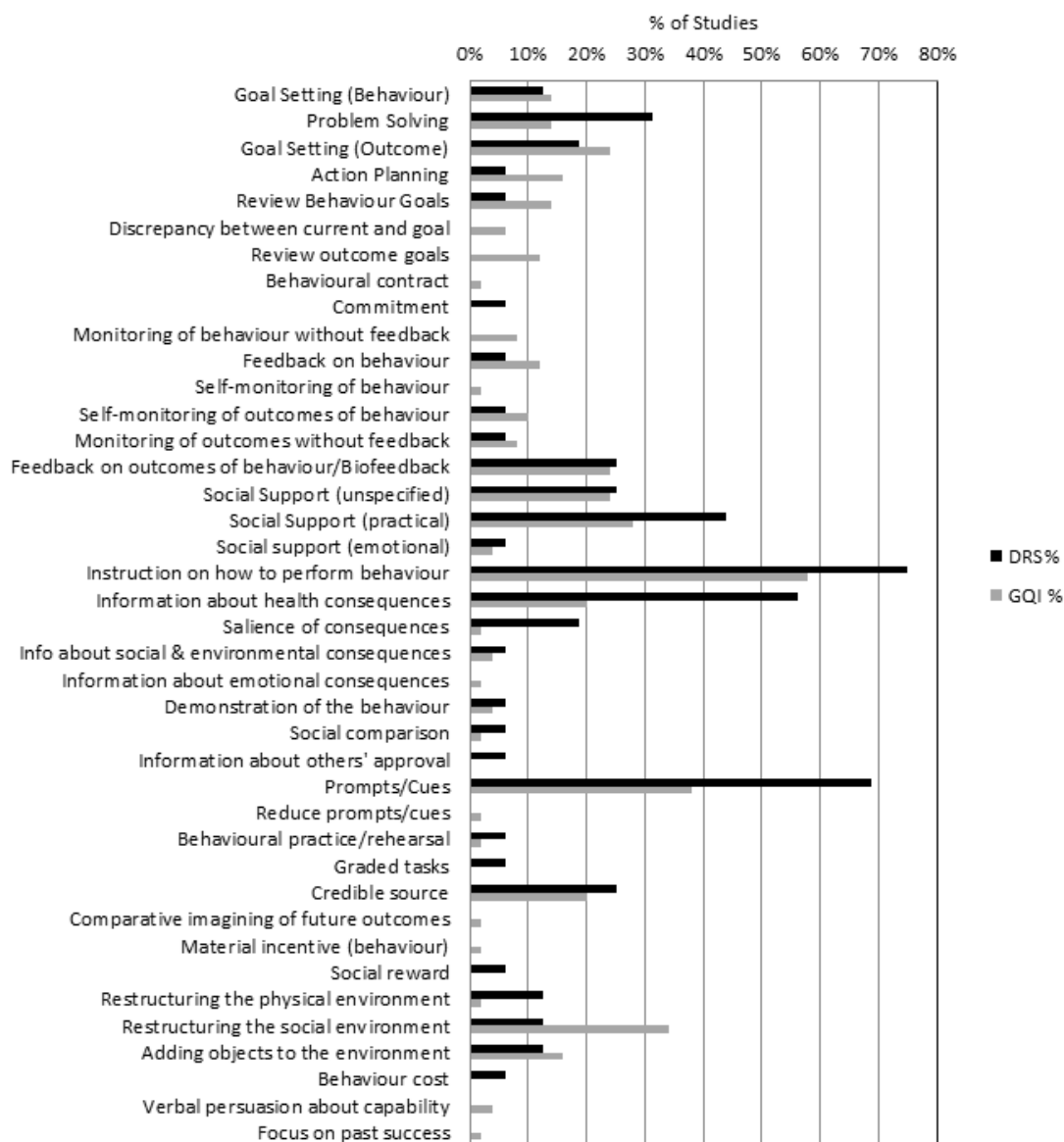
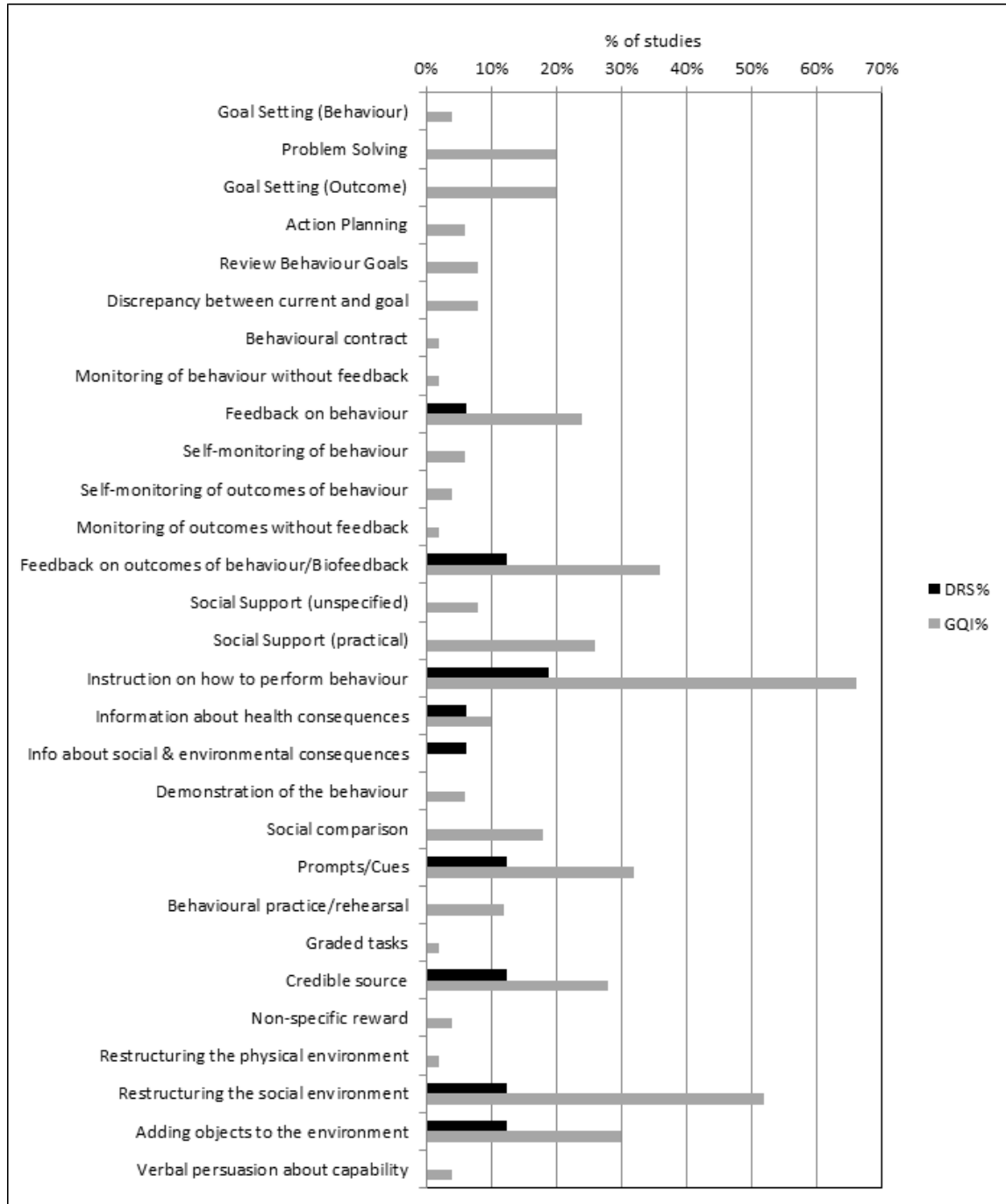


Figure 5. Behaviour change techniques (BCTs) targeting healthcare professionals used in intervention arm of included studies (DRS=diabetic retinopathy screening, GQI=general quality improvement).



For studies comparing any intervention to usual care, most studies provided no description of usual care, which precluded coding of the comparator arm.

Outcome measures

In 12 (75%) of the 16 studies where the primary target of the intervention was to improve attendance for DRS, the outcome was a dilated fundus examination conducted by an ophthalmologist or optometrist during the follow-up period post-intervention (median follow-up 12 months). The fundus examination was confirmed by a medical record audit, health claims database, or an eye-care professional confirmed examination. In four studies (25%) DRS consisted of screening of digital retinal images.

Of the 50 studies where DRS attendance was reported as part of a general QI intervention, DRS was usually listed as part of a number of processes of care based on diabetes guideline recommendations. DRS was variously described as a dilated fundus examination/diabetic eye exam/retinal exam/eye exam in 49 studies (98%) and involved grading of retinal images in one study. DRS was confirmed by medical record audit, from claims databases or patient self-reports (both validated and unvalidated by an eye-care professional). The median duration of follow-up was 12 months (range 1 - 48 months).

In terms of economic outcomes, five studies reported a full eco-

nomic evaluation (Davis 2010; Eccles 2007; Pizzi 2015; Prezio 2014; Walker 2008). Three of these were cost-effectiveness analyses (Davis 2010; Prezio 2014; Walker 2008) and two were cost-consequence analyses (Eccles 2007; Pizzi 2015). Nine studies were partial economic evaluations; five were resource-utilisation studies, (Clancy 2007; Frei 2014; Krein 2004; McCall 2011; Piette 2001), while four were cost-outcome descriptions (Adair 2013; Frijling 2002; Litaker 2003; Wagner 2001). We could not retrieve the full text of one of the cost-effectiveness studies, but the abstract provided some information required for the review alongside the clinical-effectiveness report (Davis 2010).

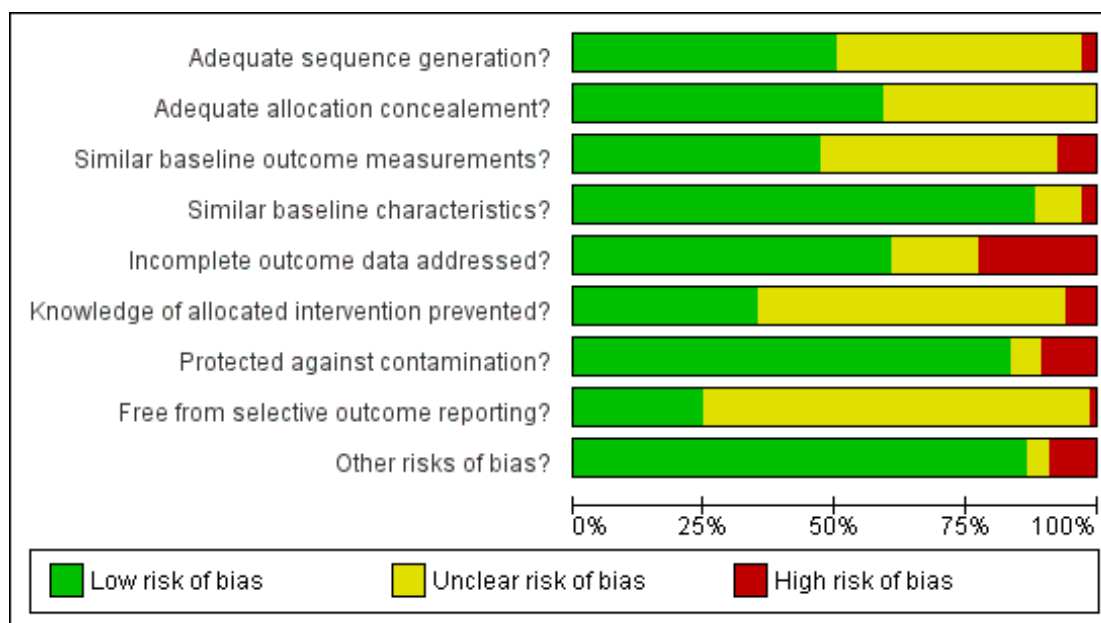
Excluded studies

See [Characteristics of excluded studies](#).

Risk of bias in included studies

We conducted 'Risk of bias' assessment using the Cochrane EPOC 'Risk of bias' tool. [Figure 3](#) and [Figure 6](#) summarise the risks of bias. Overall, we judged trials to be at low or unclear risk of bias for most of the bias domains. We provide support for each judgement in the [Characteristics of included studies](#) tables.

Figure 6. Risk of bias graph: review authors' judgements about each risk of bias item presented as percentages across all included studies.



The studies that reported economic outcomes are a subset of the studies included in the review, and the risks of bias of these studies were very similar to the main body of included studies. With respect to the economic methodological quality, only five of the 14 included studies reported full economic evaluations (Davis 2010; Eccles 2007; Pizzi 2015; Prezio 2014; Walker 2008). One of these studies (Davis 2010) was published as an abstract and lacked important methodological details. Only three of the studies with full economic evaluations (Pizzi 2015; Prezio 2014; Walker 2008) reported a sensitivity analysis to explore changes in the costs and outcomes under different scenarios. Discounting in economic evaluations is necessary to adjust future costs and outcomes of an intervention to its present value, but was reported in only one of the full economic outcomes (Prezio 2014). Its use would have been appropriate in those other studies which had a stated follow-up of longer than 12 months (Eccles 2007; Frijling 2002; Krein 2004; Wagner 2008). We considered the methodological quality of the full economic evaluations to be moderate, while the partial economic evaluations by their nature lacked the methodological characteristics expected of an economic evaluation. Full details of the methodological quality assessment for each of the included economic evaluations are available in Table 4 and Table 5.

Allocation

Thirty-three studies (50%) reported using appropriate methods for random sequence allocation. Two studies (Gabbay 2006; McDermott 2001) described a non-random component in the sequence generation process and we judged them to be at a high risk of bias for this domain. The rest of the studies provided insufficient information about the sequence-generation process to judge risk of bias. We rated allocation concealment as adequate in 39 studies (59%), either because the unit of allocation was by institution, team or professional and allocation was performed on all units at the start of the study, or a suitable method was used to conceal allocation.

Blinding

We rated four studies at a high risk of bias; Adair 2013, where retinopathy screening data were extracted from patient records by unmasked extractors, whose knowledge of allocation could have influenced outcome; Franco 2007, in which the general practitioners (GPs) in the intervention group provided the data on retinopathy screening; in Sonnichsen 2010, where masking was not possible and knowledge of being in the intervention or control group may have influenced the outcome; and Ward 1996, where one of the outcome assessors was the research nurse who conducted the interviews to obtain the outcome data in one arm of the trial, and was therefore unmasked.

Incomplete outcome data

We judged 15 studies (22.7%) to be at a high risk of attrition bias, with attrition of 20% or more (Dijkstra 2005; Franco 2007; Gabbay 2013; Harris 2005; Hermans 2013; Ilag 2003; Jacobs 2012; Jansink 2013; Kirwin 2010; Maljanian 2005; O'Connor 2005; Perria 2007; Sonnichsen 2010; Varney 2014; Wagner 2001). The remaining studies were either at low (N = 40) or unclear (N = 11) risk of bias for this domain.

Selective reporting

It was possible to judge if a study was free from selective outcome reporting in only 17 of the included studies (25.8%), as the outcomes were consistent with a prospectively-published clinical trials registry entry or trial protocol. We were unable to assess selective reporting in the remainder, due to the lack of a study protocol or trial register entry, or in the case of studies where trial registration was performed retrospectively.

Other potential sources of bias

In five studies (7.6%) there was a baseline imbalance in DRS attendance of 10% or more between intervention and control groups, and in seven studies (10.6%) it was not possible to control for the possibility that the control group received the intervention.

Effects of interventions

See: [Summary of findings for the main comparison](#) Any quality improvement intervention compared to usual care for diabetic retinopathy screening; [Summary of findings 2](#) Stepped quality improvement intervention compared to intervention alone for diabetic retinopathy screening

For details of the GRADE assessments, see [Summary of findings for the main comparison](#) and [Summary of findings 2](#).

Primary outcome

See [Summary of findings for the main comparison](#) and [Summary of findings 2](#).

One or more visits for diabetic retinopathy screening within a two-year period following implementation of the intervention

All 66 trials provided data for this outcome. These consisted of two types of comparison: 56 of the 66 studies (85%) compared an intervention against "current usual care", and 10 (15%) compared a more intensive QI intervention or group of QI interventions against a less intensive intervention. Since these were addressing different questions, we conducted separate meta-analyses on the 56 and the 10 studies.

Thirty-one of the 66 trials (47%) were cluster-RCTs. Only nine of these reported an ICC and the ICC reported typically did not relate specifically to DRS outcomes. Of the nine reporting an ICC, the most commonly reported value was 0.05, and so this was

the value we imputed for studies with no estimates of ICCs. The smallest value reported was 0.01 and the largest value was 0.2. We ran a sensitivity analysis to investigate the impact on the computed effect estimates of using the lower and upper range values (see table below).

ICC	0.05			0.01			0.2		
Model	RD	LCL	UCL	RD	LCL	UCL	RD	LCL	UCL
DRS	0.17	0.11	0.22	0.17	0.11	0.22	0.17	0.11	0.22
General	0.12	0.09	0.15	0.12	0.09	0.16	0.11	0.08	0.15
Com-bined	0.12	0.10	0.14	0.13	0.11	0.15	0.12	0.10	0.14

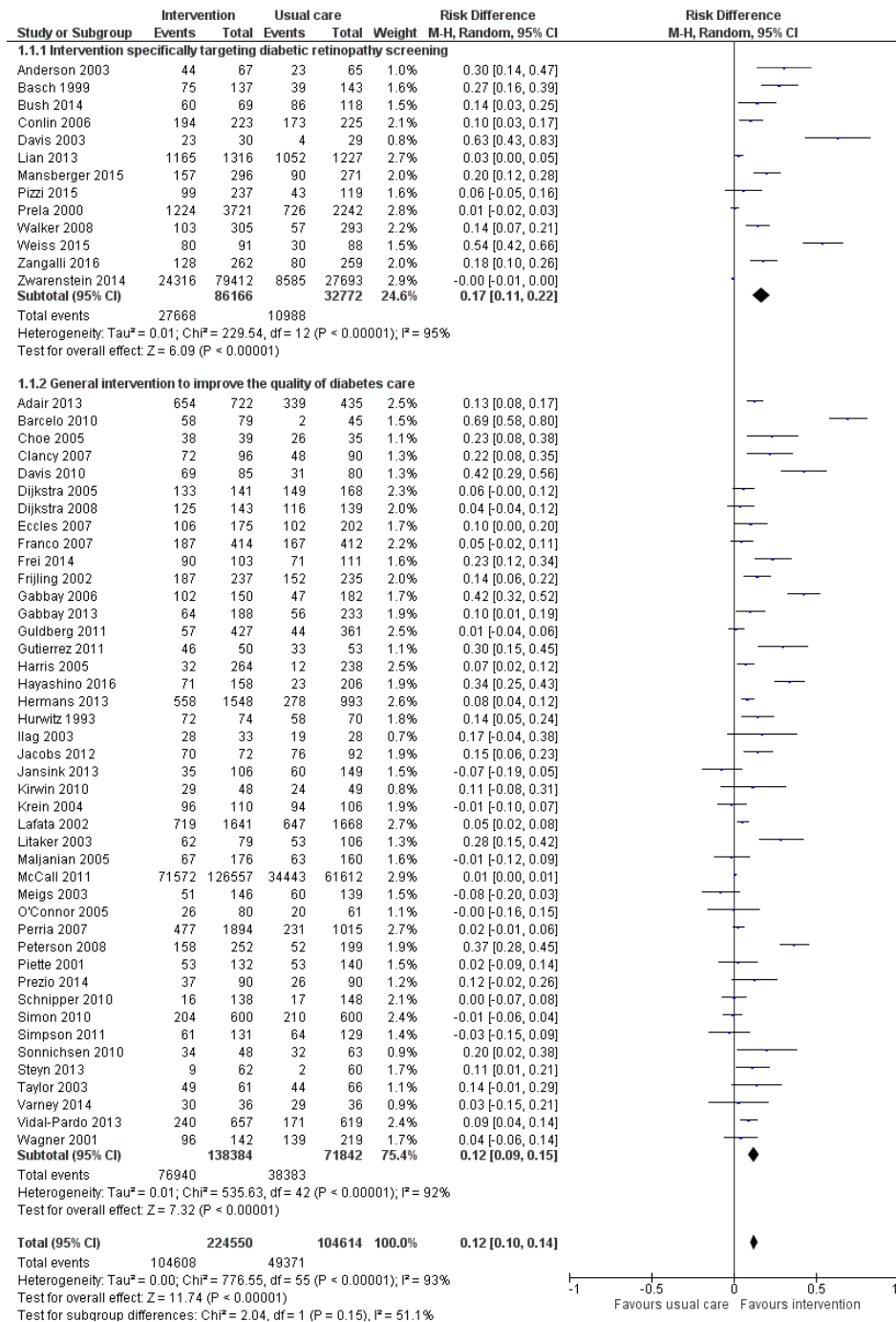
Abbreviations: RD: risk difference; LCL: lower limit; UCL: upper limit

Comparison 1: Any QI intervention versus usual care

Of the 56 studies which compared any intervention against usual care, 13 (23%) evaluated interventions specifically targeting DRS. The remaining 43 (77%) evaluated interventions directed towards improving the general quality of diabetes care (including DRS attendance). Although there was substantial heterogeneity in intervention effects ($I^2 = 93\%$), 48 out of the 56 studies showed an improvement in DRS attendance. Since it may be argued that it is better to examine clinical differences in a meta-analysis rather than to use them as a reason for not conducting one, we com-

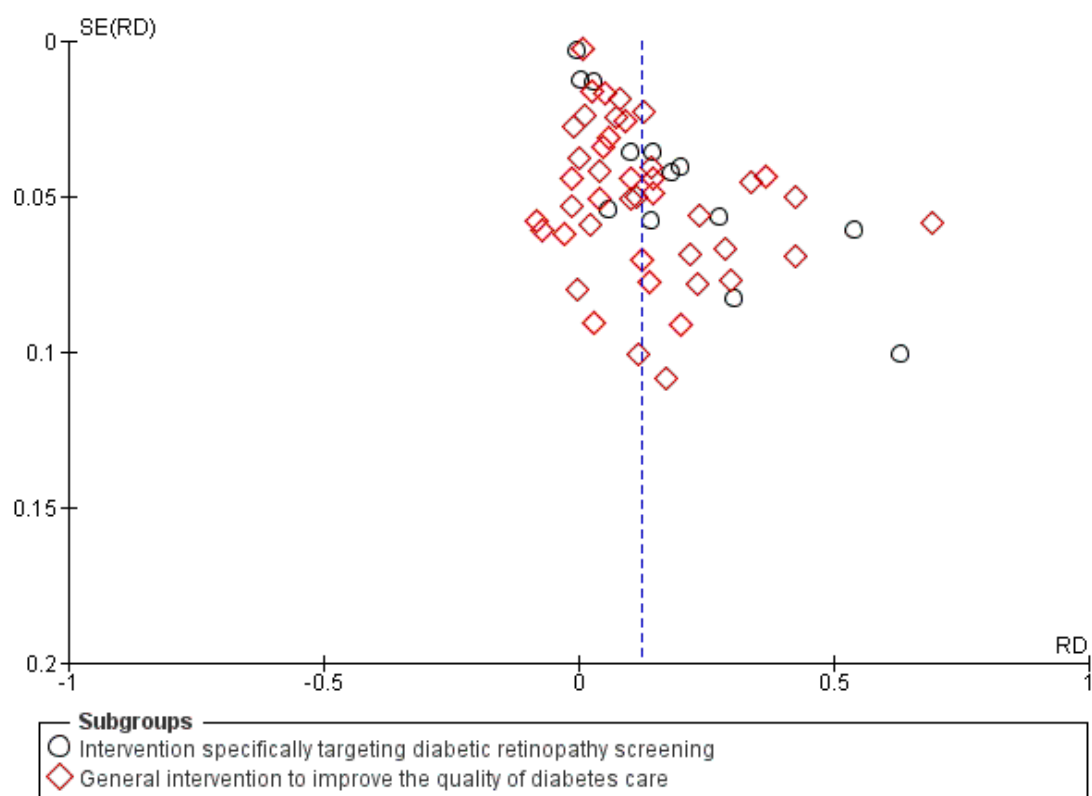
puted pooled estimates for each of these subgroups. We adopted a random-effects model, which can accommodate statistical heterogeneity between studies by assuming that different studies have different true effect sizes, but we acknowledge that use of the random-effects model does not in itself deal with heterogeneity. We assessed whether there was evidence of a subgroup effect and, since there was not ($P = 0.15$), we conducted all subsequent statistical analyses on the 56 studies. Overall, DRS attendance increased by 12% (risk difference (RD) 0.12, 95% confidence interval (CI) 0.10 to 0.14; low-certainty evidence) compared with usual care (Analysis 1.1 [Figure 7](#)).

Figure 7. Forest plot of comparison: I Any quality improvement intervention compared to usual care, outcome: I.1 Proportion of participants attending screening.



There was some evidence of funnel plot asymmetry (Figure 8). [Terrin 2003](#) has suggested, however, that the funnel plot may be inappropriate for heterogeneous meta-analyses, so we did not downgrade our findings because of this.

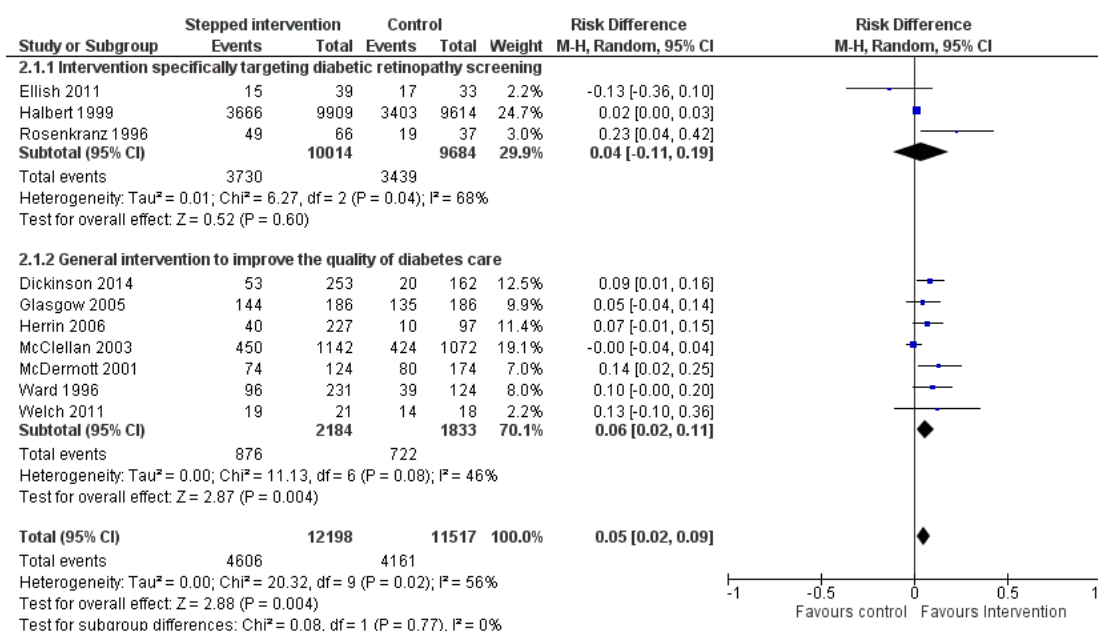
Figure 8. Funnel plot of comparison: I Any quality improvement intervention compared to usual care, outcome: I.1 Proportion of patients attending screening.



Comparison 2: More intensive (stepped) intervention versus less intensive intervention

Examples of studies in this comparison included: a tailored (individualised) versus a generic patient education newsletter; a comparison of audit and feedback to the healthcare professional compared to audit and feedback combined with a diabetes team outreach service. Ten studies contributed to this analysis (Analysis 2.1; [Figure 9](#)). Three (30%) evaluated interventions specifically targeting DRS, while seven (70%) evaluated interventions directed towards improving the general quality of diabetes care. In these studies DRS attendance increased by 5% (RD 0.05, 95% CI 0.02 to 0.09; moderate-certainty evidence) (Analysis 2.1).

Figure 9. Forest plot of comparison: 2 Stepped quality improvement intervention compared to intervention alone (control), outcome: 2.1 Proportion of participants attending screening.



Secondary outcomes

Ongoing adherence to DRS based on attendance for screening following the initial screening post-intervention

It was not possible to extract data on ongoing adherence to DRS (based on attendance for screening following the initial screening post-intervention), since either it was not possible to identify unique screening episodes from pooled data reported at two time points, or in one study due to the intervention being offered to the comparator arm 18 months post-randomisation (Mansberger 2015).

Economic outcomes

Resources (staff time, equipment, consumables) required to deliver interventions to increase attendance for DRS

We graded each intervention between one (least resource-intensive) and five (most resource-intensive), or as zero (unable to determine), with a record of how the review author graded each study also provided. We developed an algorithm to derive the ordered rank. This mapped resource components and their intensity to

the ordered rank. We incorporated the following resource components into the algorithm: face-to-face minutes; telephone calls; patient home visits; printed materials/software; training. We then used the resource components and their intensity levels to extract the resource use required to deliver the interventions in all included studies. Two review authors (JL and EGR) conducted this independently. The percentage of studies for each resource grouping for the 56 studies comparing any intervention with usual care was as follows: 1 = 48.2%; 2 = 10.7%; 3 = 8.9%; 4 = 19.6%; 5 = 12.6%.

Costs of staff used to provide interventions; costs of treatment and care; cost of primary care; lost wages and lost productivity (work output)

We converted all reported costs to the 2016 British pound, and summarise them for each study in Table 2. Only two studies (Eccles 2007; Prezio 2014) reported both the direct and indirect costs (productivity loss) of the interventions. In all other studies, the costs of the interventions reported covered just the direct costs of providing that intervention. Five studies (Adair 2013; Clancy 2007; Frijling 2002; Prezio 2014; Pizzi 2015) reported the total direct costs of the interventions, but the resources they considered relevant and how they combined them to estimate total cost varied between studies. We report components of the total cost for each intervention in Table 2.

The types of resources included in the cost calculations for each study varied; hence, it is difficult to compare directly across the studies. The estimated training cost differed between the few studies that reported this information. In terms of the costs of treatment and care of diabetes, there was no obvious difference in the healthcare costs between the interventions and comparators in the studies that reported these data, primarily reflecting an absence of evidence. Further details on resources and costs from each included studies can be found in [Table 2](#).

Incremental cost-effectiveness ratio (ICER)

Only three studies conducted in the USA ([Davis 2010](#); [Prezio 2014](#); [Walker 2008](#)) reported this outcome. [Davis 2010](#) reported an incremental cost per QALY of GBP 13,154 over one year for a diabetes telecare intervention compared to no intervention. However, it is unclear what tool they used to estimate QALYs. [Prezio 2014](#) used an established whole-disease model, the Archimedes Model simulator, to estimate the incremental cost per QALY. Using a discount rate of 3% and programme effectiveness at 100%, the incremental cost per QALY was GBP 73,683 over five years, and GBP 261 over 20 years for the intervention (a culturally-tailored diabetes education programme delivered by community health worker) compared with usual care. [Prezio 2014](#) and [Walker 2008](#) also reported an incremental cost-effectiveness ratio. In this study, the unit of effectiveness was the number of diabetic fundus examinations gained, which was associated with the number of diabetic retinopathies diagnosed. The incremental cost per dilated fundus examination gained for telephone intervention compared to the mailed/printed intervention was GBP 333. [Pizzi 2015](#) reported a cost-effectiveness analysis with an incremental cost-effectiveness ratio for the telephone intervention of GBP 18.77 per additional patient attending a dilated fundus examination, compared with usual care. We did not calculate the ratio for the mailed intervention because it was dominated by usual care.

Exploration of heterogeneity

We detected substantial heterogeneity ($I^2 > 90\%$), which we investigated by subgroup analysis and meta-regression.

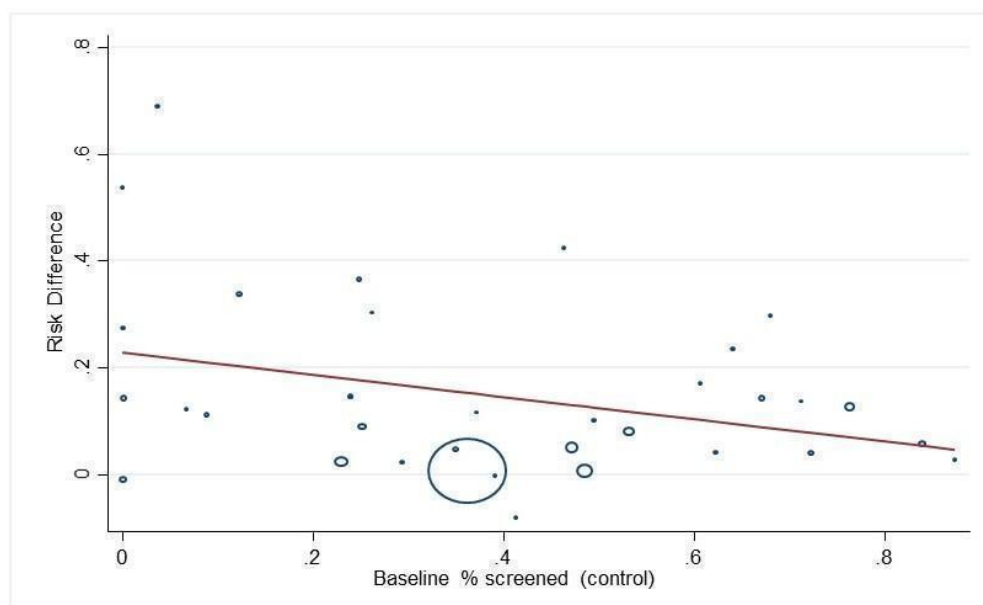
Subgroup analysis

Enough studies were available to investigate the effectiveness of nine out of the possible 12 QI components. Insufficient data were available to analyse 'continuous quality improvement', 'financial incentives' and 'facilitated relay' of information to clinicians. Interventions incorporating all nine QI components evaluated in the subgroup analysis were associated with improvements in DRS attendance, with higher pooled effect estimates for interventions directed at patients (promotion of self-management and patient education) or the organisation of the health system (team changes or the establishment of an electronic patient registry) ([Table 6](#)). Sufficient studies were available to investigate the effectiveness of interventions containing particular BCTs (including 10 BCTs aimed at patients and seven aimed at healthcare professionals). Interventions incorporating all 17 BCTs included in the subgroup analysis were all shown to be effective in improving DRS attendance. For BCTs aimed at patients, we found higher pooled effect estimates for 'goal setting (outcome)' and 'credible source' and for healthcare professionals 'restructuring the social environment' and 'credible source' ([Table 6](#)). There were insufficient data to conduct the planned analysis on the variability of effect size according to population subgroups, and there were too few studies within each resource category to conduct a subgroup analysis of the relationship between effect size and resource intensity.

Metaregressions

Metaregression revealed some evidence of an association between effect size and baseline DRS attendance, with larger effects in studies with poorer screening attendance ([Figure 10](#)). The regression coefficient was -0.208 (-0.419 to 0.004). The residual I^2 was still very high at 94%. Because of regression to the mean, this association might be spurious, so we conducted a permutation test to allow for this (with 1000 permutations, $P = 0.055$). A comparison between the effect sizes from studies at high risk of bias (defined for this purpose as high risk of bias in one or more domains) was slightly (but not statistically-significantly) higher than those at low risk of bias (regression coefficient 0.008 (-0.136 to 0.094)). Similarly, we found no association between study design (individual or cluster-RCT) and effect size (regression coefficient - 0.049 (-0.136 to 0.039), $P = 0.268$), nor between resource intensity and effect size (regression coefficient 0.013 (-0.015 to 0.042), $P = 0.356$).

Figure 10. Bubble plot showing the relationship between the risk difference and baseline percentage screened



When component QI/BCTs were explored (comparing studies with the intervention to those studies without), there was some evidence of an association between the patient-targeted BCT 'goal setting (outcome)', with greater improvement in DRS attendance observed in studies with compared to those without this BCT (regression coefficient 0.162 (0.07 to 0.254), $P = 0.001$). It should be noted that we made no adjustments for multiplicity in these investigations, so that results should be observed as hypothesis-generating rather than confirmatory.

ADDITIONAL SUMMARY OF FINDINGS *[Explanation]*

Stepped quality improvement intervention compared to intervention alone for diabetic retinopathy screening						
Patient or population: patients with type 1 or 2 diabetes eligible for diabetic retinopathy screening Setting: primary, secondary or tertiary Intervention: stepped quality improvement intervention compared to intervention alone Comparison: intervention alone						
Outcomes	Illustrative comparative risks		Risk Difference (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk (95% CI)	Corresponding risk (95% CI)				
	Attendance with usual care	At- tendance with stepped QI intervention				
Proportion of participants attending screening (median follow-up 12 months post-intervention)	361 per 1000	405 per 1000 (372 to 437)	RD 5% (95% CI 2% to 9%)	23,715 (10 RCTs)	⊕⊕⊕○ MODERATE ¹	There was unexplained heterogeneity between studies ($I^2 = 56\%$, $P = 0.02$)
Ongoing adherence to screening	-	-	-	-	-	-
Economic outcomes	-	-	-	-	-	-
CI: Confidence interval; RD: Risk difference						
GRADE Working Group grades of evidence High-quality: Further research is very unlikely to change our confidence in the estimate of effect. Moderate-quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate. Low-quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate. Very low-quality: We are very uncertain about the estimate.						

¹We downgraded the certainty of the evidence by one level from high to moderate for inconsistency due to variation in the effect estimates across studies that could not be explained.

DISCUSSION

Summary of main results

This review identified 66 RCTs/cluster-RCTs that investigated the effectiveness of interventions to improve attendance for DRS. Fifty-six studies (329,164 participants) compared a variety of QI interventions to usual care. A meta-analysis of these studies found that QI intervention components that were aimed at patients, the healthcare professional or the healthcare system were associated with a 12% absolute increase in DRS attendance. In 13 of these studies, the QI intervention specifically targeted DRS and in 43 studies the intervention consisted of a general QI intervention to improve diabetes care. Although the pooled effect estimate was larger for DRS-targeted interventions compared to non-targeted interventions (17% increase in DRS attendance compared to 12%), this difference was not statistically significant.

Ten studies (23,715 participants) compared a less intensive intervention ('active' control) to a more intensive intervention. Three of these studies specifically targeted DRS and seven were general QI interventions. The aim of these studies was to determine whether stepping up the intensity of an intervention component, or introducing further components, would increase DRS. The pooled effect estimate for these studies was smaller, with a 5% increase in DRS attendance in favour of the more intensive intervention, suggesting that it is possible to further enhance the effect size by using more intense interventions.

The main comparison in this review (any QI intervention versus usual care) was associated with substantial heterogeneity. We explored this by subgroup analysis and meta-regression. There was some evidence for larger effect sizes in populations with lower baseline DRS attendance; however, much of the observed heterogeneity was unexplained. Sufficient studies were available to investigate the impact of particular QI components or BCTs, to identify the active ingredients of the interventions. All 12 QI components, as defined by the modified EPOC taxonomy, were used in at least one study, and interventions were generally multifaceted, with two to three QI components per intervention arm. QI components targeting patients, healthcare professionals or the healthcare system were all effective in a subgroup analysis. A meta-regression comparing studies using particular QI components to those without them showed no statistically-significant difference between intervention components.

We were able to further describe interventions in terms of their component BCTs, which provides a level of granularity that is better suited to describing the content of the intervention. In a subgroup analysis, all frequently-used BCTs were effective in improving attendance, with pooled RDs ranging from 0.11 to 0.26. A meta-regression found that interventions containing certain BCTs were more effective in improving DRS attendance, including: 'goal setting (outcome)' (regression coefficient (RC) 0.162, 95% CI 0.070 to 0.254, $P = 0.001$). There was some evidence for larger effect sizes in populations with lower baseline DRS attendance,

(RC -0.208, 95% CI -0.419 to 0.004, $P = 0.054$). However much of the observed heterogeneity was unexplained.

We found no studies reporting our secondary outcome measure of ongoing adherence to DRS following the initial screening appointment post-intervention, and no data on the relative effectiveness of interventions in particular population subgroups, e.g. socioeconomic characteristics.

Fourteen studies reporting economic outcomes were included in the review. However, only five of these were full economic evaluations. Overall, we found that there is insufficient evidence to draw robust conclusions about the relative cost effectiveness of the interventions compared to each other or against usual care. QI components aimed at patients directly appeared to be more resource-intensive compared with those aimed at healthcare professionals, with the exception of establishing an electronic patient registry, although there would be economies of scale in that there are high set-up costs but the ongoing running costs would be comparatively low.

Overall completeness and applicability of evidence

To our knowledge only two countries in the world (UK and Iceland) have introduced a nationwide systematic screening programme for diabetic retinopathy. In all other countries screening remains opportunistic. Although an annual or biennial retinal examination is recommended in diabetes clinical practice guidelines in many countries, screening attendance is often suboptimal. Most of the trials included in this review (76%) involved general QI interventions for diabetes care and enrolled patients not achieving diabetes-relevant quality indicators, including DRS. The pooled analysis for any QI intervention compared to usual care showed that both DRS-targeted and general QI interventions were effective in improving screening attendance, particularly in populations with poor baseline screening attendance. However, the presence of substantial unexplained heterogeneity and the lack of data on the effect of the intervention on particular population subgroups means that there remains some uncertainty about the size of the anticipated increase in screening attendance.

Although potential harms associated with other forms of health screening are well documented, we did not formally include adverse effects/harms as an outcome in this review, since the risk of an adverse outcome associated with retinopathy screening is low. However, none of the included studies reported adverse outcomes.

Quality of the evidence

Overall we judged the certainty of the evidence to be low, using GRADE. We downgraded the evidence by two levels due to serious inconsistency of findings. We decided a priori to use a random-effects model to estimate the pooled RDs across studies, which weights studies relatively more equally than in a fixed-effect model. Given there was some evidence for larger effect sizes in smaller

studies, our random-effects estimate of the intervention effect is more beneficial than would have been obtained using a fixed-effect model.

For many domains, it was not possible to judge the risk of bias due to poor reporting. For example, since many of the RCTs did not have a prospectively-published protocol, it was not possible to make a judgement as to whether outcomes were selectively reported. A subgroup analysis found that, although studies at high risk of bias had slightly higher effect estimates compared to those at low risk of bias, this difference was not statistically significant. The consensus of the review team was not to downgrade the certainty of the evidence for risk of bias.

Of the 22 potential 'economic' studies identified by the review team, 14 were eligible for the review as partial or full economic evaluations. We judged the certainty of the economic evidence to be low, using GRADE. We downgraded due to inconsistency across different elements of the economic outcomes. We also identified publication bias in two of the eight excluded studies. These studies failed to report the planned economic evaluations, as they found no evidence of intervention effectiveness. Such an approach could be considered as selective outcome reporting, such that potentially negative economic findings are not reported. This phenomenon of a reporting bias has been recognised previously, where studies with unfavourable effectiveness results are not published or are published later in low-impact journals. Furthermore, analytically such an approach is substandard, as these studies conflate absence of evidence with a finding of evidence of absence (of an effect). We also found evidence of publication bias by inspection of a funnel plot, but this was difficult to assess in the presence of such considerable heterogeneity.

Most of the economic evaluations had limitations in their reporting, with few providing a breakdown of the costs associated with delivering the different components of the intervention. There was also insufficient evidence to show whether part of the direct costs of the intervention and care may be offset by reduced productivity costs. However, it is important to note that an expected finding of an effective intervention would be gains in health and reductions in the costs of treating diabetes. The overall methodological quality of the included economic studies was mixed. The partial economic evaluations identified, by their nature lacked the methodological characteristics expected of an economic evaluation. We rated the methodological quality of the full economic evaluations as moderate.

Many of our studies did not report ICC values. We used the data that were provided to allow an estimation of an "average ICC", which we then applied to the studies not reporting ICCs. Since this was an imputation, we wished to explore the impact that using other values of ICC would have, and thus repeated our analysis using the upper and lower values of ICC that had been observed. Varying in this fashion did not materially impact upon our estimates of RD.

Potential biases in the review process

We judged many domains as having an 'unclear' risk of bias, due to poor reporting. Although we contacted all authors to request further information on intervention content, we did not formally ask for all of the necessary information to make a more informed judgement across all bias domains.

Coding of intervention content was challenging, given the paucity of primary data sources, although in some cases (approximately 17%) this was offset by obtaining further information from researchers on intervention content, who also provided materials used in delivering the interventions. We were not able to assess the impact of some QI intervention components due to too few trials being available for our subgroup and meta-regression analyses. Furthermore, we could not control for all potential confounding factors. Given the complexity of the interventions which incorporated multiple QI components, it is likely that other covariates may have interacted synergistically or antagonistically with the intervention under investigation. The short duration of the included RCTs (typically 12 months or less) or the failure to report individual screening episodes meant that we were unable to assess the effect of QI interventions on ongoing DRS attendance.

Agreements and disagreements with other studies or reviews

Only one previous systematic review (Zhang 2007) has investigated the effectiveness of interventions to increase the uptake of DRS. Although this review included 48 studies, only 12 of these were RCTs. The authors similarly concluded that a variety of interventions can be effective in improving screening uptake, including; increasing patient and provider awareness of diabetic retinopathy, introducing a computer-based registration/reminder programme, and developing a community-based healthcare system.

Compared to the paucity of systematic reviews of the impact of interventions to improve DRS outcomes, many reviews have evaluated the impact of general QI interventions to improve the overall quality of diabetes care (Worswick 2013). A recent systematic review published by members of the current team (Tricco 2012) included 48 cluster-RCTs and 94 patient RCTs, and found improvements in many important quality outcomes for patients with diabetes. A meta-analysis of a subset of 23 RCTs reported an increased uptake of retinopathy screening (RR 1.22, 95% CI 1.13 to 1.32).

AUTHORS' CONCLUSIONS

Implications for practice

The results of this review provide evidence that quality improvement (QI) interventions targeting patients, healthcare professionals or the healthcare system are associated with meaningful im-

improvements in DRS attendance compared to usual care. There was no statistically-significant difference between interventions specifically aimed at DRS and those which were part of a general QI strategy for improving diabetes care. This is an important finding, because of the additional benefits of general QI interventions in terms of improving glycaemic control, vascular risk management and screening for other microvascular complications. It is likely that further (but smaller) improvements in DRS attendance can also be achieved by increasing the intensity of a particular QI component or adding further components.

One of the main objectives of the review was to identify the 'active' components of successful interventions by using validated taxonomies to describe the content of the interventions. All of the QI components as defined by the modified EPOC taxonomy were associated with improvements in DRS attendance. To better characterise intervention content we coded the interventions in terms of patient and provider behaviour change techniques (BCTs). For BCTs aimed at patients, we found higher effect estimates for interventions incorporating goal setting, and for healthcare professionals, interventions involving environmental restructuring. However, only 42% of the 93 possible BCTs were reported in the included interventions. Although not all BCTs in the BCT taxonomy might be appropriate for DRS, the findings of this review suggest that there may be opportunities to assess the potential of additional BCTs in future trials of novel interventions to improve screening attendance.

Implications for research

The review highlighted a number of gaps within the evidence base. There was limited evidence on the relative effectiveness of QI interventions in particular population subgroups according to demographic characteristics that could have an impact on health equity, e.g. ethnicity, level of education, or socioeconomic status. Moreover, none of the included studies were carried out in low- or middle-income countries. Further research is also needed on the

cost effectiveness of QI interventions to improve DRS attendance.

Most of the included studies, whether targeting DRS or general QI strategies for diabetes care, enrolled patients not achieving diabetes-relevant quality indicators. For example, five studies specifically targeting DRS recruited exclusively patients who were not meeting guideline recommendations for screening. It is not clear whether the interventions would be as effective in populations with higher screening attendance (more than 80%). There was some evidence from our meta-regression analysis that the effectiveness of the intervention is negatively correlated with baseline DRS attendance.

Although we have been able to show that interventions containing particular BCTs have a greater likelihood of success, given the multicomponent nature of interventions it is likely that the presence of other BCTs or other effect modifiers in the intervention arm may also be having an impact on effectiveness. The analysis conducted as part of this review did not attempt to fully isolate the impact of individual QI/BCT components. Further research is needed to identify which components of interventions or combinations of components can optimally improve DRS attendance at an acceptable cost.

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* Indicates the major publication for the study

CHARACTERISTICS OF STUDIES

Characteristics of included studies [ordered by study ID]

Adair 2013

Methods	Study aim: to test whether patients with chronic disease working with lay “care guides” would achieve more evidence-based goals than those receiving usual care Study design: parallel-group RCT	
Participants	Country: USA Setting: Six primary care clinics in Minnesota Total number of participants: 2135 patients with hypertension, diabetes or congestive heart failure (1366 with diabetes) Percentage male: 51% Diabetes type: type 1 and 2 Average age (SD): 60.5 yrs (11.5) Inclusion criteria: age 18 - 79 yrs and with a primary care office visit during the 6-month enrolment period Exclusion criteria: pregnancy	
Interventions	Intervention (n = 930): participants provided with disease-specific care goals and culturally-matched laypersons acting as ‘care guides’ helped participants to achieve goals. Care guides met with participants in person and/or were contacted by telephone Comparator (n = 436): participants were provided with care goals followed by usual clinical care Duration: 12 months	
Outcomes	Primary outcome: change in the % of disease-specific care goals met 12 months after enrolment compared to baseline Secondary outcomes: percentage of goals met by participants with each diagnosis and the achievement of each individual goal determined from electronic patient records (included ‘retinal examination within 2yrs’); to determine whether the benefit of working with the care guide could be predicted by participant demographics Baseline screening attendance (control group): 60.6%	
Notes	Date conducted: July 2010 to April 2012 Trial registration number: NCT01156974 Sources of funding: Robina Foundation Declaration of interest: none declared (Quote “Disclosures can be viewed at https://www.acponline.org/authors/icmje/ConflictOfInterestForms.do?msNum=M12-3106 ”) Trial investigators confirmed all retinal examinations reported in Table 4 were performed on patients with diabetes	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote “Research supervisors prepared sealed opaque envelopes containing either a purple card (assignment to a care guide) or

		gold card (assignment to usual care). One hundred eighty envelopes (120 with purple cards and 60 with gold cards) were given to the small clinic, 360 (240 purple and 120 gold cards) were given to the medium-sized clinics, and 540 (360 purple and 180 gold cards) were given to the large clinic. Each clinic's envelopes were shuffled before delivery and daily thereafter." p 177
Adequate allocation concealment?	Low risk	Quote "Research supervisors prepared sealed opaque envelopes..." Quote "Patients who consented to enroll received identical written information about the benefits of meeting disease-specific goals. They then selected and opened an envelope to determine treatment assignment." p 177
Similar baseline outcome measurements?	Low risk	Judgement comment: similar baseline retinopathy screening attendance between arms. Table 3 p 179
Similar baseline characteristics?	Low risk	Judgement comment: similar baseline characteristics. Table 2 p 179
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition and missing data balanced across both arms of the trial
Knowledge of allocated intervention prevented?	High risk	Quote "Patients, providers, and persons performing outcome assessments were not blinded to treatment assignment." p 176 Judgement comment: retinopathy screening data extracted from electronic patient record and knowledge of allocation could have influenced outcome
Protected against contamination?	Low risk	Quote: "Care guides and the research team did not interact with the usual care patients after enrollment and randomization." p 178
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry NCT01156974
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Anderson 2003

Methods	Study aim: to evaluate the effectiveness of personalised follow-up compared to reminder letters, in increasing return rates at urban eye disease screening clinics for African Americans with diabetes and minimal or no retinopathy Study design: parallel-group RCT	
Participants	Country: USA Setting: 9 free culture-specific (urban African American) community-based eye screening clinics Total number of participants: 132 Percentage male: 38% Diabetes type: type 2 Average age (SD): 55 yrs (NR) Inclusion criteria: African-American adults with type 2 diabetes attending community eye clinic Exclusion criteria: patients who were not African American	
Interventions	Intervention (n = 67): single reminder letter including information on the day, time and location of the eye clinic appointment 1 month prior to the appointment. Follow-up phone call 10 days after letter sent. Phone call also addressed barriers to attending and message that diabetes can lead to vision loss Comparator (n = 65): single reminder letter including information on the day, time and location of the eye clinic appointment 1 month prior to the appointment Duration: 12 months	
Outcomes	Primary outcome: return rate for annual dilated fundus examination Secondary outcomes: factors predicative of returning for a dilated fundus examination Baseline screening attendance (control group): 26.2%	
Notes	Date conducted: 1995 to 1999 Trial registration number: NR Sources of funding: National Institute of Health/National Institute of Diabetes and Digestive and Kidney Disease Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Low risk	Judgement comment: similar numbers of participants in each arm having ever had an eye examination by an ophthalmologist with similar numbers screened in last year (see Table 1 p 43)

Anderson 2003 (Continued)

Similar baseline characteristics?	Low risk	Quote “There were no statistically significant differences between the 2 groups on any of the variables in this table.” (Footnote Table 1 p 43)
Incomplete outcome data addressed?	Low risk	Judgement comment: all outcome data reported. See Table 1 p 42
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the telephone reminder
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Barcelo 2010

Methods	<p>Study aim: to assess the impact of integrated care, comprising specialist support, collaborative learning and case management, on the quality of diabetes care</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: Mexico</p> <p>Setting: 10 urban public health centres</p> <p>Number of clusters: 10</p> <p>Number of providers: 43 primary care teams</p> <p>Total number of patients: 307</p> <p>Percentage male: NR</p> <p>Diabetes type: type 1 and 2 (97.4% type 2)</p> <p>Average age (SD): NR</p> <p>Inclusion criteria: participants were selected based on “their capacity to communicate, their advanced knowledge of diabetes, and their willingness to collaborate”</p> <p>Exclusion criteria: NR</p>
Interventions	<p>Intervention (5 clusters, n = 196): diabetes education programme, in-service training of primary care personnel. specialist support to primary care, case management of participants not achieving care goals</p> <p>Comparator (5 clusters, n = 111): usual care (not specified)</p> <p>Duration: 3 learning sessions within 18 months</p>

Outcomes	Primary outcome: change in the proportion of participants achieving quality improvement targets (metabolic control, cholesterol, blood pressure, eye and foot examinations) Secondary outcomes: NR Baseline screening attendance (control group): 3.6%	
Notes	Date conducted: November 2002 to May 2004 Trial registration number: NR Sources of funding: NR Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by community health centre and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar baseline attendance for a dilated fundus examination in each arm (see Table 6 p 151)
Similar baseline characteristics?	Low risk	Judgement comment: baseline characteristics of participants were similar in each arm (see Table 1 and 2 p 148 - 9)
Incomplete outcome data addressed?	Unclear risk	Judgement comment: cannot tell whether an ITT or per-protocol analysis was conducted. No flow diagram provided with losses to follow-up, do not know whether losses to follow-up were similar between both arms
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	High risk	Quote: "... avoiding the "contamination" of centers that acted as controls (those centers providing usual diabetes care) was not possible, because of the visibility and publicity of the intervention at the local level." p 151
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess

Barcelo 2010 (Continued)

Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias
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Basch 1999

Methods	Study aim: to evaluate the impact of a multicomponent health education intervention on the rate of ophthalmic examinations in African Americans with diabetes Study design: parallel-group RCT	
Participants	Country: USA Setting: outpatient clinics at 5 sites in the New York metropolitan area with on-site ophthalmology services (secondary care) Total number of participants: 280 Percentage male: 34.3% Diabetes type: NR Average age (SD): 54.8 yrs (12.9) Inclusion criteria: African-American patients > 18 yrs with a diagnosis of diabetes with no record of receiving a dilated eye exam in the preceding 14 months Exclusion criteria: blindness in both eyes, advanced eye disease, progressive medical illness, impaired cognitive ability	
Interventions	Intervention (n = 137): multicomponent educational intervention consisting of a booklet and motivational video describing the benefits of eye screening, semi-structured telephone outreach education and counselling Comparator (n = 143): mailed booklet produced by the American Medical Association on meal planning Duration: 6 months (or until eye exam recorded)	
Outcomes	Primary outcome: documented dilated retinal examination within 6 months of randomisation Secondary outcomes: predictors of examination status Baseline screening attendance (control group): 0%	
Notes	Date conducted: 1993 to 1995 Trial registration number: NR Sources of funding: National Eye Institute, National Institute of Diabetes and Digestive and Kidney Disease Declaration of interest: none declared	

Risk of bias

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote "After research staff confirmed subjects could be reached by telephone, they were enrolled and randomised within site and sex groups. We randomized subjects in pairs by using tables of random permuta-

Basch 1999 (Continued)

		tions.” p 1879
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Low risk	Quote: “Eligibility criteria based on chart audits included a diagnosis of diabetes mellitus, being African American, being 18 years or older, having no documentation of a dilated retinal examination in the preceding 14 months, and having been seen at the clinic at least 1 other time in the past year.” p 1879
Similar baseline characteristics?	Low risk	Quote “There were no significant differences between groups on any of the available personal and demographic variables” (see Table 1 p 1880)
Incomplete outcome data addressed?	Unclear risk	Judgement comment: attrition not reported for comparator group and not possible to assess (see Figure 1 p 1880)
Knowledge of allocated intervention prevented?	Low risk	Quote “Research staff, unaware of subjects’ group assignment, audited medical records.” p 1879
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the multicomponent health education intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Bush 2014

Methods	Study aim: to evaluate the impact of ‘Link Workers’ on the uptake of diabetic retinopathy screening in a hard-to-reach and high-risk population group Study design: cluster-RCT
Participants	Country: UK Setting: General practices in Coventry with a predominantly South Asian population Total number of clusters: 10 Number of providers: NR Number of patients: 2680

	Percentage male: NR Diabetes type: NR Average age (SD): NR Inclusion criteria: patients eligible for diabetic retinopathy screening service failing to attend their first screening appointment Exclusion criteria: NR	
Interventions	Intervention (5 clusters, n = 988 participants): multilingual ‘Link Worker’ telephone calls to participants failing to attend their first appointment to remind them of the screening appointment and encourage attendance Comparator (5 clusters, n = 1692 participants): usual care (participants who failed to attend their initial screen date were sent a further appointment date by post) Duration: phone calls continued until an examination was reported or after 6 months, whichever came first	
Outcomes	Primary outcome: attendance for diabetic retinopathy screening within 6 months of randomisation Secondary outcomes: none Baseline screening attendance (control group): NR	
Notes	Date conducted: 1 January to 31 December 2007 Trial registration number: ISRCTN79653731 Sources of funding: unfunded Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by GP practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar baseline retinopathy screening attendance (see Table 1 p 296)
Similar baseline characteristics?	Unclear risk	Not reported
Incomplete outcome data addressed?	Low risk	Judgement comment: data reported for all participants
Knowledge of allocated intervention prevented?	Low risk	Quote “Data available for analyses comprised routinely collected and collated attendance data from the retinopathy screening unit.” p 295

Bush 2014 (Continued)

Protected against contamination?	Low risk	Quote “Following randomisation and throughout the study, there was no further contact with control practices.” p 295
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and so not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Choe 2005

Methods	<p>Study aim: to evaluate the effect of case management by a clinical pharmacist on glycaemic control and preventive measures in patients with type 2 diabetes mellitus</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: university-affiliated primary care internal medicine clinic</p> <p>Total number of participants: 80</p> <p>Percentage male: 47.5%</p> <p>Diabetes type: type 2</p> <p>Average age (SD): 51.6 yrs (10.1)</p> <p>Inclusion criteria: high-risk individuals whose most recent HbA1c levels $\geq 8.0\%$</p> <p>Exclusion criteria: type 1 diabetes mellitus (based on diagnosis before age 30 years), if they were > 70 years, or if they were diagnosed as having cancer, renal failure, severe cirrhosis, malignant hypertension, or a severe concurrent illness that would substantially limit life expectancy or require extensive systemic treatment</p>
Interventions	<p>Intervention (n = 41): on-site clinical pharmacist acting as a case manager, providing evaluation and modification of pharmacotherapy, self-management diabetes education (including an emphasis on the importance of self-care, medications, and screening processes). Generally, the clinical pharmacist contacted the participants by telephone on a monthly basis, unless more frequent assessment or recommendations were needed, and saw the participants in conjunction with routine primary care visits</p> <p>Comparator (n = 39): usual care (unspecified)</p> <p>Duration: 12 months</p>
Outcomes	<p>Primary outcome: HbA1c level at 12 months</p> <p>Secondary outcomes: diabetes process measures, including low-density lipoprotein measurement, dilated retinal examination, urine microalbumin screening (or use of angiotensin-converting enzyme inhibitors), and monofilament testing for diabetic neuropathy within the 2-year time frame of the study</p> <p>Baseline screening attendance (control group): NR</p>
Notes	<p>Date conducted: NR</p> <p>Trial registration number: NR</p> <p>Sources of funding: funding for the clinical pharmacist was provided by the University of Michigan College of Pharmacy</p>

Declaration of interest: NR		
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Randomization within each stratum was simple: because the study was small, randomization was done by hand, drawing numbers from a container that included "0" for the control group or "1" for the intervention group." p 255
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Judgement comment: baseline characteristics of participants were similar in each arm (see Table 1 p 256)
Incomplete outcome data addressed?	Low risk	Judgement comment: attrition not balanced across arms (12% loss to follow-up in intervention group and 26% in control group). See CONSORT flow diagram p 255
Knowledge of allocated intervention prevented?	Low risk	Judgement comment: data on eye screening obtained by chart review but not clear if outcome assessor was masked
Protected against contamination?	Unclear risk	Judgement comment: control group not described and not clear if contamination was prevented
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Methods	Study aim: to evaluate the effect of group visits on clinical outcomes concordant with 10 American Diabetes Association (ADA) guideline processes of care Study design: parallel-group RCT	
Participants	Country: USA Setting: adult primary care centre, Medical University of South Carolina Total number of participants: 186 Percentage male: 28% Diabetes type: type 2 Average age (SD): 56 yrs (NR) Inclusion criteria: aged > 18 years with poorly-controlled diabetes mellitus (HbA1c > 8.0%) Exclusion criteria: primary diagnosis of substance abuse or dependence; current pregnancy; dementia; inability to hear, speak English; obtain transportation to the clinic	
Interventions	Intervention (n = 96): monthly group visits (14 - 17 per group), co-led by an internal medicine physician and a registered nurse. One-on-one visits were available for care as needed between scheduled group visits or for specific medical needs not amenable to group visits. Group visit content consisted of educational topics such as nutrition, exercise, foot care, medications, complications of diabetes, and the emotional aspects of diabetes Comparator (n = 90): control participants received usual care in the clinic, seeing faculty or resident physicians, physician assistants, nurse practitioners, or medical or physician assistant students with access to a dietician and diabetes educator Duration: 12 months	
Outcomes	Primary outcome: 10 ADA process-of-care indicators (> 2 yearly HgA1c, at least yearly cholesterol levels, treatment for LDL cholesterol levels > 100 mg/dl, yearly ophthalmologic referrals, influenza vaccinations, foot exams, and checks for microalbuminuria, ACE-inhibitor or angiotensin receptor blocker use, daily aspirin unless contraindicated, and at least 1 pneumococcal vaccine) Secondary outcomes: NR Baseline screening attendance (control group): NR	
Notes	Date conducted: September 2002 to February 2003 Trial registration number: NR Sources of funding: Agency for Healthcare Research and Quality; Robert Wood Johnson Foundation; National Institutes of Health Declaration of interest: 2 authors reported receiving grants from Pfizer and Elli Lilly	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Subjects meeting criteria for inclusion into the study were randomized after informed consent and baseline data collection using randlst software (http://odin.mdacc.tmc.edu/anonftp/) allowing

		for stratification and blocking. Subjects were stratified by race and gender using a block size of 4." p 621
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "Demographic variables were well balanced between patients randomized to group visits or usual care at baseline (Table 1)." p 622 Quote: "Clinical variables were also well balanced at baseline (Table 1) 'with a mean HgbA1c level at baseline of 9.3% for group patients and 8.9% for control patients. The mean total cholesterol level for group patients was 193.4 and 196.1 mg/dl for control patients. Blood pressures, triglycerides, LDL, and HDL levels showed no significant baseline differences between the 2 groups.'" p 622
Incomplete outcome data addressed?	Low risk	Judgement comment: missing data balanced across 2 arms of study (17% in the intervention arm and 16% in the comparator arm). Reasons given for missing data
Knowledge of allocated intervention prevented?	Low risk	Quote: "Upon study completion, medical records were blindly abstracted for the 10 ADA process-of-care indicators." p 621
Protected against contamination?	High risk	Quote: "These providers also had patients in the usual care arm as part of the general pool of clinic patients; thus, it is possible through contamination that providers may have adopted some of the group visit strategies (e.g., group visit educational content) for control patients." p 623
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Conlin 2006

Methods	<p>Study aim: to study whether non-mydriatic digital retinal imaging in an ambulatory care setting affected adherence to annual dilated ophthalmic examinations in patients with diabetes</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: Department of Veterans Affairs (VA) Boston Healthcare System</p> <p>Total number of participants: 448</p> <p>Percentage male: 98%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 67 yrs (21.2)</p> <p>Inclusion criteria: adults with diabetes and a VA-based primary care provider</p> <p>Exclusion criteria: NR</p>
Interventions	<p>Intervention (n = 223): teleretinal imaging by trained imager who demonstrated to the participant using the retinal images, the basic anatomical structures of the ocular fundus. Acting as a care co-ordinator, the imager later acted on the image reader's report when necessary and communicated with the participant to establish an appropriate eye-exam schedule. The imager also educated the participant about the importance of optimal blood glucose and blood pressure control</p> <p>Comparator (n = 225): usual care (not specified)</p> <p>Duration: 12 months</p>
Outcomes	<p>Primary outcome: documented dilated retinal examination within 12 months of randomisation</p> <p>Secondary outcomes: diabetic retinopathy outcomes and characteristics of participants with ungradable images</p> <p>Baseline screening attendance (control group): NR</p>
Notes	<p>Date conducted: NR</p> <p>Trial registration number: NR</p> <p>Sources of funding: Department of the Army; VA Health Services Research and Development Service; National Institutes of Health</p> <p>Declaration of interest: none declared</p>

Risk of bias

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Randomization was accomplished with a random-variables generator and a series of sealed envelopes." p 734
Adequate allocation concealment?	Unclear risk	Quote: "Randomization was accomplished with a random-variables generator and a series of sealed envelopes." p 734 Judgment comment: not clear whether the envelope was assigned to the participant before opening

Conlin 2006 (Continued)

Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Unclear risk	Not reported
Incomplete outcome data addressed?	Low risk	Judgement comment: data available for all participants (see Table 2)
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received teleretinal imaging
Free from selective outcome reporting?	Unclear risk	Comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Davis 2003

Methods	Study aim: to determine if telemedicine improves eye examination rates in individuals with diabetes Study design: parallel-group RCT
Participants	Country: USA Setting: rural, federally funded, primary care practice in South Carolina Total number of participants: 59 Percentage male: NR Diabetes type: NR Average age (SD): NR Inclusion criteria: > 18 years with physician diagnosis of diabetes of any duration and on any form of treatment Exclusion criteria: NR
Interventions	Intervention (n = 30): telemedicine retinal screening programme. Ophthalmologist at a distant site evaluated retinal photographs and consulted with the participant using real-time videoconferencing Comparator (n = 29): usual care (reminded to schedule appointments with their usual eye care provider) Duration: NR
Outcomes	Primary outcome: retinal examination attendance Secondary outcomes: NR Baseline screening attendance (control group): NR

Davis 2003 (Continued)

Notes	Date conducted: NR Trial registration number: NR Sources of funding: NR Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Unclear risk	Not reported
Incomplete outcome data addressed?	Unclear risk	Not reported
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Unclear risk	Judgement comment: not possible to assess

Davis 2010

Methods	Study aim: to evaluate a remote comprehensive diabetes self-management education intervention to improve adherence to American Diabetes Association (ADA) guidelines Study design: parallel-group RCT
Participants	Country: USA Setting: underserved population in 3 community health centres in South Carolina Total number of participants: 165 Percentage male: 25.4% Diabetes type: NR Average age (SD): 59.6 yrs (9.3) Inclusion criteria: HbA1c > 7%, aged ≥ 35 yrs, seen in the last year in the community health centre, diagnosis of diabetes and willingness to participate Exclusion criteria: BMI < 25, pregnancy, acute and chronic illness preventing participation

Interventions	Intervention (telehealth) (n = 85): remote diabetes self-management educational intervention consisting of 13 sessions (3 individual and 10 group). Participants were offered optional retinal imaging in the primary care setting when they were due for their annual eye exam Comparator (n = 80): usual care (consisting of 1 x 20-minute diabetes education session using ADA materials). Access to existing services at the community health centre (including care managers and a nurse practitioner) Duration: 12 months	
Outcomes	Primary outcome: HbA1c measured at baseline, 6 months, and 12 months Secondary outcomes: LDL cholesterol, blood pressure, albumin to creatinine ratio, BMI (measured at 6 and 12 months) and uptake of annual eye examinations Baseline screening attendance (control group): 46.3%	
Notes	Date conducted: April 2005 to October 2006 Trial registration number: NCT00288132 Sources of funding: National Institutes of Health Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Low risk	Judgement comment: similar rates of self-reported annual eye examinations. Table 2 p 1714
Similar baseline characteristics?	Low risk	Judgement comment: no significant differences in baseline characteristics. Table 2 p 1714
Incomplete outcome data addressed?	Low risk	Quote: "Retention rates at 6 and 12 months were 90.9 and 82.4%, respectively." p 1716
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry NCT00288132

Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias
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Dickinson 2014

Methods	<p>Study aim: to compare the effectiveness of a programme to improve diabetes care by a) increasing the practice's organisational capacity to manage change (Reflective Adaptive Process (RAP)), and b) implementing and sustaining the Chronic Care Model to support the clinicians' efforts to improve care for diabetes (Continuous Quality Improvement (CQI))</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: USA</p> <p>Setting: Small to mid-sized community health centres and independent mixed payer primary care practices in Colorado</p> <p>Number of clusters: 40</p> <p>Number of providers: NR</p> <p>Total number of patients: 822</p> <p>Percentage male: 48.7%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 60.6 yrs (12.7)</p> <p>Inclusion criteria: diagnosis of diabetes and at least 1 visit to the practice in 18 months before practice enrolment and at least 1 visit in the 18 months after enrolment</p> <p>Exclusion criteria: NR</p>
Interventions	<p>Intervention (RAP) (15 clusters, n = 312 patient charts reviewed): practice facilitation using the RAP model (consisting of changing organisational functioning to improve diabetes care). Practices received training in change management strategies and provided with audit and feedback</p> <p>Intervention (CQI) (10 clusters, n = 189 patient charts reviewed): practice facilitation using the 'Model for Improvement' (consisting of forming and facilitating practice improvement teams and provision of audit and feedback)</p> <p>Comparator (15 practices, n = 321 patients charts reviewed): practices received limited feedback on baseline work culture and level of implementation of the Chronic Care Model (CCM). Practices were given access to a website regarding quality improvements and received audit and feedback as in the other groups</p> <p>Duration: practice facilitation of 6 months (RAP) or 18 months (CQI)</p>
Outcomes	<p>Primary outcome: HbA1c, blood pressure, lipids, process of care measured at baseline, 9 and 18 months (including diabetes-related visits to ophthalmologist)</p> <p>Secondary outcomes: patient report (by survey) of their primary care experience</p> <p>Baseline screening attendance (control group): 5.9%</p>
Notes	<p>Date conducted: NR</p> <p>Trial registration number: NCT00414986</p> <p>Sources of funding: National Institute of Diabetes and Kidney Diseases and the National Institute of Mental Health</p> <p>Declaration of interest: none declared</p>

<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by community health centre and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: rates of dilated eye examinations were not statistically different between study arms. Table 2 p 13
Similar baseline characteristics?	Unclear risk	Quote: "...baseline HbA1c level, systolic blood pressure, and total cholesterol level differed significantly across groups (all $P < .05$), with slightly better baseline control of each in RAP practices." p 11 Judgement comment: unclear whether differences in baseline characteristics would have influenced outcome
Incomplete outcome data addressed?	Unclear risk	Judgement comment: random sample of participants taken from each cluster but missing data from some practices in chart audit
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: allocation was by practice and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry NCT00414986
Other risks of bias?	Unclear risk	Judgement comment: no evidence of other sources of bias

Methods	Study aim: to investigate whether a comprehensive strategy, involving both patients and professionals, with the introduction of a diabetes passport as a key component, improves diabetes care Study design: cluster-RCT	
Participants	Country: The Netherlands Setting: 9 general hospitals throughout The Netherlands Number of clusters: 9 Number of providers: 42 Total number of patients: 1350 Percentage male: 48% Diabetes type: types 1 and 2 Average age (SD): 58 yrs (15.5) Inclusion criteria: all patients under the care of an internist for diabetic monitoring Exclusion criteria: pregnancy; patients with low life expectancy	
Interventions	Intervention (4 clusters, n = 600 patients): feedback on aggregated patient baseline data was given to the healthcare professionals. During an educational meeting with a national diabetes opinion leader, guidelines were issued on the prevention and treatment of diabetes complications as well as guidance on the use and dissemination of diabetes passports. The 'diabetes passport' is a patient-held booklet with important personal information that can be used to track results, record treatment targets and give information. The passport also records the medications used, results of laboratory and physical examinations and patient education. For patients additional educational meeting were organised Comparator (5 clusters, n = 750 patients): usual care (national diabetes guidelines issued to all hospitals during the intervention period) Duration: 12 months	
Outcomes	Primary outcome: measures consisted of process and outcome indicators taken from evidence-based Dutch guidelines on the treatment of diabetes and prevention of complications (including yearly examination of HbA1c, creatinine, total cholesterol or total cholesterol/HDL ratio, urine for microalbuminuria, weight, BMI and blood pressure, as well as advice on smoking and physical exercise). The guidelines advise an eye examination every 1 - 2 years (yearly in the case of those at higher risk of retinopathy) Secondary outcomes: NR Baseline screening attendance (control group): 84%	
Notes	Date conducted: November 1999 to March 2000 Trial registration number: NR Sources of funding: Netherlands Organisation for Health Research and Development Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported

Dijkstra 2005 (Continued)

Adequate allocation concealment?	Low risk	Quote: "Random allocation was done by a person outside the research group and concealed from the investigators until the start of the intervention." p 128
Similar baseline outcome measurements?	Low risk	Judgement comment: similar baseline eye examinations < 12 months or < 24 months (see Table 2 p 131)
Similar baseline characteristics?	Low risk	Judgement comment: baseline characteristics similar across the 2 arms of the study (see Tables 1 and 2 p 131)
Incomplete outcome data addressed?	High risk	Judgement comment: high attrition (58.5% and 55.7% of those randomised to intervention and control respectively were analysed)
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: allocation was by hospital and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Dijkstra 2008

Methods	Study aim: to investigate whether the introduction of a diabetes passport improves diabetes care Study design: cluster-RCT
Participants	Country: The Netherlands Setting: primary care practices in the middle and south regions of The Netherlands Number of clusters: 40 Number of providers: 61 Total number of patients: 2059 Percentage male: 49.8% Diabetes type: types 2 Average age (SD): 63.4 yrs (9.6) Inclusion criteria: all patients with type 2 diabetes < 80 years under the care of a general practitioner

	Exclusion criteria: those with a life expectancy < 1 year; patients who received their diabetes treatment in secondary care	
Interventions	Intervention (20 clusters, n = 1004 participants): dissemination of diabetes passports. The ‘diabetes passport’; is a patient-held booklet with important personal information that can be used to track results, record treatment targets and give information. The passport also records the medications used, results of laboratory and physical examinations and patient education. Additional patient education meetings were organised Comparator (20 clusters, n = 1055 participants): usual care (not specified) Duration: 15 months	
Outcomes	Primary outcome: self-reported use of the passport by participants Secondary outcomes: process and outcome diabetes care indicators (including eye examination within the previous 24 months) Baseline screening attendance (control group): 72.2%	
Notes	Date conducted: NR Sources of funding: Netherlands Organisation for Health Research and Development Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by community health centre and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar baseline % of eye examinations within 24 months (see Table 3 p 75)
Similar baseline characteristics?	Unclear risk	Quote: “Comparison of the baseline data from the intervention and control groups showed that there were some differences. The patients in the intervention group were more often women and fewer monitored glucose themselves than in the control group (Table 1).” Judgement comment: baseline characteristic differences could have influenced outcome
Incomplete outcome data addressed?	Low risk	Judgement comment: eye screening data available for all participants

Dijkstra 2008 (Continued)

Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: allocation was by hospital and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Unclear risk	Quote: "Table 2 shows that, in addition to the research intervention activities, several control and intervention practices had initiated organizational interventions and revision of professional roles during the intervention period." p 75 Judgement comment: not clear how these changes impacted on the outcome

Eccles 2007

Methods	Study aim: to evaluate the effectiveness and efficiency of a computerised diabetes register and management system on the quality of diabetes care Study design: cluster-RCT
Participants	Country: UK Setting: 3 Primary Care Trusts in the northeast of England Number of clusters: 58 Number of providers: 58 Total number of patients: 3608 Percentage male: 53% Diabetes type: type 2 Average age (SD): 66 yrs (11.5) Inclusion criteria: people with type 2 diabetes appearing on the registers, aged > 35 years and receiving diabetes care exclusively from study general practices or shared between study general practices (GPs) and hospital Exclusion criteria: NR
Interventions	Intervention (30 clusters, n = 1674 participants): computerised diabetes register incorporating a full structured recall and management system, including individualised patient management prompts to primary care clinicians based on locally-adapted, evidence-based guidelines Comparator (28 clusters, n = 1934 participants): usual care (not specified) Duration: 15 months

Outcomes	Primary outcomes: clinical process and outcome variables held on the diabetes registers; patient-reported outcomes (SF36 health status profile, the Newcastle Diabetes Symptoms Questionnaire and the Diabetes Clinic Satisfaction Questionnaire); service and patient costs Secondary outcomes: NR Baseline screening attendance (control group): 49.5%	
Notes	Date conducted: 1 April 2002 to 30 June 2003 Trial registration number: ISRCTN32042030 Sources of funding: Diabetes UK, and Northern and Yorkshire Regional NHS R&D Office Declaration of interest: 1 of the authors was a partner in a software company that maintained the software used in the study. The remaining authors declared no competing interests Study protocol has been published: www.ncbi.nlm.nih.gov/pubmed/11914161	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Randomisation was performed using electronically-generated random numbers by the study statistician and was stratified by PCT and practice size." p 3
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by primary care practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar % of recorded fundoscopy at baseline
Similar baseline characteristics?	Low risk	Quote: "Table 1 shows the baseline characteristics of control and intervention practices and patients. None of the differences in these variables between the intervention and control group are statistically significant." p 5
Incomplete outcome data addressed?	Low risk	Judgement comment: although there was a high attrition for patient-reported outcomes, the register-derived outcomes were available for all participants
Knowledge of allocated intervention prevented?	Low risk	Judgement comment: data on fundoscopy obtained directly from the registry

Eccles 2007 (Continued)

Protected against contamination?	Low risk	Judgement comment: allocation was by practice and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry IS-RCTN32042030
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Ellish 2011

Methods	<p>Study aim: to compare the effects of a tailored (individualised) and targeted (generic) print intervention in promoting dilated fundus examinations in older African Americans</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: primary care</p> <p>Total number of participants: 72 (sub-population with diabetes)</p> <p>Percentage male: 25%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 72.4 yrs (6.3)</p> <p>Inclusion criteria: African Americans aged ≥ 65 yrs who had not had a dilated fundus examination in the last 2 years</p> <p>Exclusion criteria: NR</p>
Interventions	<p>Intervention (n = 39): 'Tailored intervention'. Each participant received a 4-page newsletter including a testimonial designed to model eye examination behaviour and a barrier table to convey specific ideas to overcome barriers. The newsletter was specifically tailored by the addition of specific messages based on his/her responses to selected questions from a baseline questionnaire which identified barriers to screening and preventative health behaviours</p> <p>Comparator (n = 33): 'Targeted intervention'. Participants received a standard newsletter with the same sections as the intervention group but without the tailored messages</p> <p>Duration: 6 months</p>
Outcomes	<p>Primary outcome: eye doctor confirmed dilated retinal examination at 6 months following randomisation</p> <p>Secondary outcomes: predictors of retinal examination attendance</p> <p>Baseline screening attendance (control group): 0%</p>
Notes	<p>Date conducted: June 2007 and September 2008</p> <p>Trial registration number: NCT00649766</p> <p>Sources of funding: National Institutes of Health</p> <p>Declaration of interest: none reported</p> <p>Data on the sub-population with diabetes obtained from the author</p>

<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote "As reported in Table 2, at baseline the intervention groups were comparable for demographic and other variables." p 1594
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition. All participants accounted for (Figure 1 p 1594)
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the tailored intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and so not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Franco 2007

Methods	Study aim: to study the impact of an outreach visit by a diabetes specialist on general practitioners management of type 2 diabetes Study design: cluster-RCT
Participants	Country: Réunion (French overseas territory) Setting: General practices on the island of Réunion Total number of clusters: 82 Number of providers: 82 Number of patients: 1581 Percentage male: 25% Diabetes type: type 2 Average age (SD): 59.9 (NR) Inclusion criteria: GPs were selected if they had been working for 2 years or more and were likely to be employed for the duration of the study

	Exclusion criteria: see above	
Interventions	Intervention (42 clusters, n = 792 participants): 2 outreach visits by visiting GP with diabetes expertise. First visit consisted of a presentation on guideline recommendations, provision of teaching materials and clinical tools for diabetes assessment, e.g. esthesiometer. Second visit reinforced guideline recommendations and provided feedback on a questionnaire relating to 3 consecutive participants with diabetes seen following the first visit Comparator (40 clusters, n = 789 participants): usual care (not specified) Duration: 2 outreach visits and outcomes measured within 6 months of the last visit	
Outcomes	Primary outcome: compliance with processes of care recommendations for the management of type 2 diabetes including HbA1c, foot and fundus examination, creatinine clearance and assessment for proteinuria/microalbuminuria which were measured within 6 months following delivery of intervention Secondary outcomes: none Baseline screening attendance (control group): 35%	
Notes	Date conducted: NR Trial registration number: NR Sources of funding: NR Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by GP practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar rates of retinopathy screening attendance at baseline (see Table 2 p 2)
Similar baseline characteristics?	Low risk	Quote: “Le nombre, l’âge, le sex-ratio et le statut vis-à-vis de l’emploi des patients étaient semblables dans les deux groupes (tableau I). [The number, age, sex ratio and employment status of patients were similar in both groups (Table I)]” p 2
Incomplete outcome data addressed?	High risk	Judgement comment: high attrition (approx 30% in both arms)

Franco 2007 (Continued)

Knowledge of allocated intervention prevented?	High risk	Judgement comment: GPs in the intervention group provided the data on retinopathy screening
Protected against contamination?	Low risk	Quote “Dans le groupe témoin,contacté seulement à la fin de l’étude...[In the control group, contacted only at the end of the study],...” p 2 Judgement comment: allocation by cluster and unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Frei 2014

Methods	Study aim: to test whether the implementation of elements of the ‘Chronic Care Model (CCM)’ by a specially-trained practice nurse leads to an improved cardiovascular risk profile among type 2 diabetes patients Study design: cluster-RCT
Participants	Country: Switzerland Setting: Primary care practices Total number of clusters: 30 Number of providers: 30 Number of patients: 326 Percentage male: 57% Diabetes type: type 2 Average age (SD): 67 yrs (10.6) Inclusion criteria: adults (> 18 years) with type 2 diabetes Exclusion criteria: unable to read and understand the patient information form due to dementia, illiteracy or language skills. Patients with oncological diseases and/or an estimated life expectancy of less than six months due to severe diseases
Interventions	Intervention (15 clusters, n = 164 participants): implementation of team care using elements of the Chronic Care Model (CCM) by a specially-trained practice nurse and using a computerised monitoring tool and decision support Comparator (15 clusters, n = 162 participants): usual care (not specified) Duration: 12 months
Outcomes	Primary outcome: HbA1c level Secondary outcomes: guideline adherence (recommended treatment goals) including receiving at least 1 eye examination a year. Quality of life

	Baseline screening attendance (control group): 64%	
Notes	Date conducted: 2010 to 2013 Trial registration number: ISRCTN05947538 Sources of funding: Swiss Academy for Medical Sciences; A. Menari AG, Switzerland Declaration of interest: none declared Study proposal has been published: www.ncbi.nlm.nih.gov/pubmed/20550650	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "The PCPs who agreed to participate in the study were alphabetically ordered by their family names in a list with numbers from 1 to 30. An independent research assistant, who was not involved in the study and was blind to the identity of the PCPs, randomly allocated by statistical computer software SPSS (version 18.0) 15 letters A and 15 letters B to numbers 1-30 and to the corresponding PCPs, respectively. The assignment of the letters A and B to either the intervention or control group was randomly conducted by a second research assistant who drew blinded a ticket with the letters A or B and a ticket with the group allocation intervention or control group from an envelope." p 1041
Adequate allocation concealment?	Low risk	Quote: "We informed all PCPs about the group allocation after the inclusion of patients and baseline assessments to minimize selection bias." p 1041
Similar baseline outcome measurements?	High risk	Judgement comment: different rates of retinopathy screening attendance at baseline (control 64%, intervention 73.5%) (see supplementary Table 2)
Similar baseline characteristics?	Low risk	Judgement comment: similar baseline characteristics (Table 1 p 1009, Table 2 p 1044)
Incomplete outcome data addressed?	Low risk	Judgement comment: data available for all providers and low rate of attrition in outcome data (see CONSORT diagram p 1042)

Frei 2014 (Continued)

Knowledge of allocated intervention prevented?	Unclear risk	Quote: “due to the study design, it was not possible to blind PCPs and practice nurses to group allocation, which might have influenced the results or might have led to a more pronounced effect of the intervention.” p 1045 Judgement comment: unclear if would have affected diabetic retinopathy screening attendance
Protected against contamination?	Low risk	Judgement comment: allocation was by practice and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with study protocol and trial registry ISRCTN05947538
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Frijling 2002

Methods	Study aim: to evaluate the effectiveness of a multifaceted intervention to improve clinical decision-making of general practitioners (GPs) for patients with diabetes Study design: cluster-RCT
Participants	Country: The Netherlands Setting: primary care practices in the southern part of The Netherlands Number of clusters: 124 Number of providers: 185 Total number of patients: 1410 Percentage male: 44.6% Diabetes type: type 2 Average age (SD): 65 yrs (11.5) Inclusion criteria: patients with type 2 diabetes Exclusion criteria: NR
Interventions	Intervention (62 clusters, n = 703 participants): GPs given feedback reports about his or her current clinical decision-making about the diabetes guidelines issued by the Dutch College of General Practitioners and received outreach visits from facilitators. As part of the visits, the facilitator specifically addressed the clinical decision-making for patients with type 2 diabetes. The facilitator provided guidance, support, and educational materials to facilitate improvement Comparator (62 clusters, n = 707 participants): usual care (not specified) Duration: 21 months

Outcomes	Primary outcome: compliance rates for evidence-based indicators for management of patients with type 2 diabetes (including eye examination in the past 24 months) Secondary outcomes: NR Baseline screening attendance (control group): 67%	
Notes	Date conducted: 1996 to 1999 Trial registration number: NR Sources of funding: Netherlands Heart Foundation. Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "A random-number generator was used to select permuted blocks with a block size of four" p 837
Adequate allocation concealment?	Low risk	Quote: "The practices were numbered and the person responsible for the randomization process was blind to the practice identities." p 837
Similar baseline outcome measurements?	Low risk	Judgement comment: similar % of eye examinations at baseline
Similar baseline characteristics?	Low risk	Quote: "The ages of the patients, the proportions of males and the proportions of patients with uncontrolled blood glucose were found to be equally distributed across the intervention and control groups at baseline and post-intervention measurement (Table 1)" p 838 Judgement comment: similar baseline clinical characteristics (see Table 2 p 840)
Incomplete outcome data addressed?	Low risk	Judgement comment: low cluster attrition. High compliance with completion of encounter forms
Knowledge of allocated intervention prevented?	Low risk	Judgement comment: although GPs completing the encounter forms following each consultation were unmasked, the data were entered into a computer by personnel blind to group allocation
Protected against contamination?	Low risk	Judgement comment: allocation was by practice and it is unlikely that the control

Frijling 2002 (Continued)

		group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Gabbay 2006

Methods	<p>Study aim: to measure the impact of a patient-oriented structured approach to care co-ordination and patient education and counselling on improvements in BP, glycaemic control, lipids, complication screening and diabetes-related distress</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: 2 primary care clinics of Penn State Hershey Medical Centre</p> <p>Total number of participants: 332</p> <p>Percentage male: 54.5%</p> <p>Diabetes type: type 2</p> <p>Average age (SD): 64.5 yrs (16.4)</p> <p>Inclusion criteria: patients with diabetes, ≥ 18 years, identified by ICD 9 codes; 2 or more visits for diabetes within the last year</p> <p>Exclusion criteria: patients unable to speak English; residents of nursing homes</p>
Interventions	<p>Intervention (n = 150): nurse case manager implementing diabetes management using algorithms under the supervision of the participant's primary care physician (PCP) (a family physician or an internist). Goals were based on the ADA recommendations. The nurse case manager used behavioural goal-setting, established individualised care plan, provided participant self-management education and surveillance of participants, including phone calls to participants, referred participants to a certified diabetes nurse educator or a dietitian where appropriate, ordered protocol-driven laboratory tests, tracked the outcomes using the computerised data registry and made therapeutic recommendations based on ADA diabetes guidelines with approval of the PCP</p> <p>Comparator (n = 182): usual care by their PCP, and had no interaction with the nurse case manager</p> <p>Duration: 12 months</p>
Outcomes	<p>Primary outcome: changes in BP, HbA1c, lipids and complication screening process measures (including annual retinal screening)</p> <p>Secondary outcomes: diabetes-related distress, as measured by the PAID questionnaire at 6 and 12 months. The PAID scale is a 20-item measure of emotional adjustment to life with diabetes, with lower scores indicating better adjustment and coping with diabetes</p> <p>Baseline screening attendance (control group): NR</p>
Notes	<p>Date conducted: NR</p> <p>Trial registration number: NCT00308386</p>

Sources of funding: NR Declaration of interest: NR Study protocol has been published: www.ncbi.nlm.nih.gov/pubmed/19328244		
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	High risk	Quote: "A total of 332 patients were randomized (by method of odd and even numbers) to either NCM intervention (intervention group), or a usual routine care (control group)." p 30 Judgement comment: inappropriate method of sequence generation
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "The intervention group (n =150) and the control/ usual care group (n =182) were statistically equivalent on baseline demographic and clinical characteristics." p 31
Incomplete outcome data addressed?	Unclear risk	Judgement comment: attrition not reported
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement: although baseline characteristics were balanced across study arms, only 60% of patients randomised to the intervention group agreed to participate

Methods	Study aim: to determine whether the addition of nurse case managers trained in motivational interviewing would result in improved outcomes in type 2 diabetes patients at high risk of cardiovascular complications Study design: parallel-group RCT	
Participants	Country: USA Setting: 12 primary care clinics within 2 health systems in Central Pennsylvania Total number of participants: 545 Percentage male: 37.8% Diabetes type: type 2 Average age (SD): 58 yrs (11) Inclusion criteria: patients 18 - 75 years with type 2 diabetes were eligible if they had 1 or more of the following: (i) HbA1c > 8.5%; (ii) blood pressure > 140/90 mmHg; and/ or (iii) Low-density lipoprotein (LDL) > 130 mg/dL Exclusion criteria: could not communicate in either English or Spanish, or if residents of nursing homes	
Interventions	Intervention (n = 232): bilingual nurse case manager (NCM) met individually with participants at baseline, 2 and 6 weeks, at 3, 6 and 12 months and at least 6-monthly thereafter to review clinical laboratory test results, medication adherence and health-related lifestyle behaviour relating to managing their diabetes. The NCM also checked whether the participant was due for complications screening and reminded them of specialist visits Comparator (n = 313): usual care (not specified) Duration: 24 months	
Outcomes	Primary outcome: % of participants reaching the following outcomes 2 years after enrolment: (1) HbA1C < 7; (2) BP goal < 130/80; (3) LDL at goal < 100 Secondary outcomes: % of participants with yearly ophthalmologic exam ,% of participants with yearly foot exam, % of participants with assessment for nephropathy Baseline screening attendance (control group): NR	
Notes	Date conducted: August 2006 to March 2008 Trial registration number: NCT00308386 Sources of funding: National Institute of Diabetes and Kidney Diseases Declaration of interest: none declared Study protocol has been published: www.ncbi.nlm.nih.gov/pubmed/19328244	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported

Gabbay 2013 (Continued)

Similar baseline characteristics?	Low risk	Quote: "Baseline characteristics of the study population are given in Table 1. There were no significant differences in study measures between the two groups." Table 1 p 353
Incomplete outcome data addressed?	High risk	Judgement comment: high attrition and missing data unbalanced across 2 arms of study (intervention 19%, comparator 26%)
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the telephone reminder
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry NCT00308386
Other risks of bias?	High risk	Judgement comment: per-protocol analysis. N = 42 participants originally randomised to the intervention arm were moved to the control group since they did not receive the nurse MI. Analysis and baseline data presented following the switch

Glasgow 2005

Methods	Study aim: to evaluate the effectiveness of a computer-assisted patient-centred intervention to improve the quality of diabetes care in primary care Study design: cluster-RCT
Participants	Country: USA Setting: family physicians and general internists insured by Sopic Insurance Co in Colorado Number of clusters: 52 Number of providers: 52 Total number of patients: 886 Percentage male: 48% Diabetes type: type 2 Average age (SD): 62.9 yrs (12.7) Inclusion criteria: adult patients ≥ 25 years with type 2 diabetes and able to read English Exclusion criteria: NR

Interventions	Intervention (24 clusters, n = 469 participants): interactive computer programme recording when participant last received 11 items on the National Committee on Quality Assurance/American Diabetes Association Provider Recognition Program (PRP) measures, followed by a printout of a self-management action plan. This was overseen by a designated ‘care manager’ who met with the participant and reinforced self-management strategies by telephone Comparator (28 clusters, n = 417 participants): interactive computer programme recording when last received 11 items on the National Committee on Quality Assurance/American Diabetes Association Provider Recognition Program (PRP) measures, followed by a printout of a self-management action plan. Control participants did not meet or receive calls from the care manager Duration: 12 months	
Outcomes	Primary outcome: participant reports of provision of receiving the 11 items in the PRP measures (included dilated eye examination) Secondary outcomes: Quality of life assessed using the revised ‘Problem Areas in Diabetes Scale (PAID-2) and the Patient Health Questionnaire (PHQ); HbA1c and ratio of total cholesterol to HDL cholesterol levels Baseline screening attendance (control group): 66.6%	
Notes	Date conducted: NR Trial registration number: NR Sources of funding: Agency for Health Research and Quality Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by primary care practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar compliance with dilated eye examination attendance at baseline (see Table 2 p 36)
Similar baseline characteristics?	Low risk	Quote “Initial analysis failed to show baseline differences between conditions in any socioeconomic or baseline measures.” p 36
Incomplete outcome data addressed?	Unclear risk	Judgement comment: high attrition (19% intervention, 13% control). Reasons for missing data not given. Unclear if missing data would impact on outcome

Glasgow 2005 (Continued)

Knowledge of allocated intervention prevented?	Unclear risk	Judgement comment: eye-screening outcome data based on self-reports and not clear if outcome assessor was unmasked
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Guldborg 2011

Methods	Study aim: to evaluate the effect of an electronically-delivered feedback system on the quality of care for people with type 2 diabetes Study design: cluster-RCT
Participants	Country: Denmark Setting: 86 general practices in Vejle country Denmark Number of clusters: 86 Number of providers: 160 Total number of patients: 2716 Percentage male: 46.1% Diabetes type: type 2 Average age (SD): NR Inclusion criteria: patients aged 40 - 70 diagnosed with type 2 diabetes prior to the intervention Exclusion criteria: death during intervention, moved out of geographic area during intervention, GP retired during intervention
Interventions	Intervention (43 clusters, n = 1453 participants): electronic feedback system presenting register data on patients with type 2 diabetes Comparator (43 clusters, n = 1263 patients): usual care (not specified) Duration: 15 months
Outcomes	Primary outcome: ophthalmologist-conducted eye examination, redeemed prescriptions, results of blood tests (HbA1c, serum cholesterol) Secondary outcomes: qualitative study of how the intervention was used and received by the GPs Baseline screening attendance (control group): NR
Notes	Date conducted: March 2007 to May 2008 Trial registration number: NCT01009528 Sources of funding: Vejle County Quality Committee; Central Region Denmark Quality Committee; Danish Council for Independent Research; Tryg Foundation; Vissings

	Foundation; Danielsens Foundation; A. P.Moellers Foundation Promoting Medical Science Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Randomization was unrestricted and was done using Stata software.." p 326
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by GP practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "There were no statistically significant differences concerning the quality of treatment between the people with Type 2 diabetes in the control and the intervention groups at baseline" Table 2 p 328
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition and missing data balanced across 2 arms of study
Knowledge of allocated intervention prevented?	Low risk	Quote: "In this study, most tasks were performed by one researcher. Therefore, and because a very visible tool like the electronic feedback system was tested, both blinding and allocation concealment were impossible in the study design." p 328 Judgement comment: data on annual eye examinations obtained from national registry and therefore unlikely to be influenced by knowledge of allocation
Protected against contamination?	Low risk	Judgement comment: allocation was by practice and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and therefore not possible to assess
Other risks of bias?	High risk	Judgement comment: selection bias of providers as only 59% of GPs accepted invitation, and these may have been more will-

		ing to change according to guidelines, or already had a high quality of care
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Gutierrez 2011

Methods	Study aim: to assess the impact of shared medical appointments on the quality of care for Hispanic patients with type 2 diabetes attending a family medicine residency clinic Study design: parallel-group RCT	
Participants	Country: USA Setting: single family medicine residency clinic Total number of patients: 103 Percentage male: NR Diabetes type: type 2 Average age (SD): NR Inclusion criteria: Hispanic race/ethnicity, aged 18 years and older, diagnosis of type 2 diabetes with HbA1c \geq 7% Exclusion criteria: dementia, current pregnancy or mothers who were breast-feeding	
Interventions	Intervention (n = 50): shared medical appointments with a mean of 9 participants per group. Clinical team consisted of a resident or fellow researcher, faculty member, pharmacist, lead nurse, medical assistant, registration clerk, and social worker Comparator (n = 53): usual care (not specified) Duration: 17 months	
Outcomes	Primary outcome: HbA1c, immunisations, aspirin use, eye and foot examinations Secondary outcomes: quality of life (Diabetes Quality of Life Brief Clinical Inventory) and diabetes knowledge (Diabetes Knowledge Questionnaire) Baseline screening attendance (control group): 67.9%	
Notes	Date conducted: September 2006 to August 2007 Trial registration number: NR Sources of funding: Department of Family and Community Medicine, University of Texas; Community Action Research Experience project funded by grant D58HP08301 from the Department of Health and Human Services Health Resources and Services Administration; foundation grant from the Texas Academy of Family Physicians Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "We assigned participants to an SMA group or a control group using a table of random numbers."
Adequate allocation concealment?	Unclear risk	Not reported

Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "The SMA and control patients did not differ significantly by demographic, clinical, or other characteristics" p 213
Incomplete outcome data addressed?	Unclear risk	Not reported
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Unclear risk	Quote: "...the possibility of a "halo effect" exists, where providers participating in the SMAs could have gained new knowledge and insight that allowed them to better treat patients in the control group. For example, a patient in the control group could have been advised by the pharmacist to ask his or her physician about switching to a different medication because a patient with similar clinical status in the SMA group was recently switched to that medication." p 214 Judgement comment: unclear if potential for contamination would have influenced retinopathy screening attendance
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Halbert 1999

Methods	<p>Study aim: to determine whether multiple mailed patient reminders can produce an increase in attendance for diabetic retinal examinations over that seen with a single reminder</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: large network-based health maintenance organisation in California</p> <p>Total number of participants: 23,740</p> <p>Percentage male: 46.6%</p> <p>Diabetes type: NR</p> <p>Average age (SD): NR</p> <p>Inclusion criteria: all diabetic members ≥ 18 years with no claim for a dilated fundus examination who were enrolled in Health Net, a large network-based health maintenance</p>

	organisation (HMO) in California, during the study period Exclusion criteria: NR	
Interventions	Intervention (n = 11,992): at baseline, participating medical groups in the HMO network received a letter explaining the programme, the current American Diabetes Association (ADA) guidelines for retinal examinations, a sample physician letter, and lists of their patients with diabetes and their diabetic retinopathy screening exam status. The intervention group received reminders at 3 months, 6 months or 9 months after baseline if they had not had a dilated retinal examination according to the HMO claims database. Mailing of reminders was verified by postal receipt Comparator (n=11,748): at baseline, the diabetic members and their medical groups received the material described above. In addition, diabetic members who did not have a record of a diabetic retinopathy exam received educational materials and a report of their current retinopathy screening status directly from the HMO 2 weeks later Duration: 12 months	
Outcomes	Primary outcome: claims from either an ophthalmologist or optometrist using procedural terminology codes Secondary outcomes: NR Baseline screening attendance (control group): 0%	
Notes	Date conducted: August 1996 to July 1997 Trial registration number: NR Sources of funding: NR Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "Table 1 describes the demographics of the eligible diabetic members by sex and by age-group. There were no differences in sex and age-group distribution between the single and multiple intervention groups (P values were 0.225 and 0.063, respectively)" p 753
Incomplete outcome data addressed?	Unclear risk	Judgement comment: members who disenrolled from the HMO during the study period were excluded from the analysis. These were balanced across both arms of the study (18% single reminder, 17% mul-

Halbert 1999 (Continued)

		tuple reminder group). Unclear if missing data would impact on outcome
Knowledge of allocated intervention prevented?	Low risk	Judgement comment: outcome data obtained from procedural codes and therefore unlikely to be influenced by blinding
Protected against contamination?	Low risk	Comparator group unlikely to receive the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Harris 2005

Methods	<p>Study aim: to evaluate the effects of a continuing medical education intervention using teleconferencing on glycaemic control (HbA1c) and family physician adherence to national diabetes guidelines</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: Canada</p> <p>Setting: family physician clinics from 8 geographic regions in Canada</p> <p>Number of clusters: 90</p> <p>Number of providers: 90</p> <p>Total number of patients: 660</p> <p>Percentage male: 56%</p> <p>Diabetes type: type 2</p> <p>Average age (SD): NR</p> <p>Inclusion criteria: type 2 diabetes of at least 2 years' duration; aged ≥ 18 years; a physician visit within the past year and competent to consent</p> <p>Exclusion criteria: participating in the REACT2 study; pregnancy in previous 2 years</p>
Interventions	<p>Intervention (43 clusters, n = 347): 8 x 1-hour small-group educational sessions, each covering a module related to the management of type 2 diabetes based on national guidelines. Participants received an educational manual with defined learning objectives for each module, guideline recommendations, detailed clinical cases, and pertinent research articles. Flow sheets listing the recommended screening tests and clinical targets, designed to serve as reminders in participants' medical records, were also provided</p> <p>Comparator (47 clusters, n = 313): usual care (unspecified)</p> <p>Duration: 3 months</p>
Outcomes	<p>Primary outcome: glycaemic control as measured by glycated haemoglobin (Hb A1c)</p> <p>Secondary outcomes: medication management and physician adherence to clinical practice guideline complication screening recommendations (including eye examinations)</p> <p>Baseline screening attendance (control group): NR</p>

Notes	Date conducted: NR Trial registration number: NR Sources of funding: GlaxoSmithKline Declaration of interest: 2 authors had been consultants and received honoraria for CME-related speaking engagements and research support from GlaxoSmithKline	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by primary care practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Judgement comment: gender balance, similar mean age at diagnosis and disease duration at baseline
Incomplete outcome data addressed?	High risk	Quote: "Of the 90 physicians randomly assigned, 29 (32%) withdrew or were unable to identify patients for audit." p 90 Quote: "Patient consent per physician ranged from 17% to 100%" p 90
Knowledge of allocated intervention prevented?	Low risk	Quote: "Medical record auditors were blind to physician randomization." p 89
Protected against contamination?	Low risk	Judgement comment: allocation was by practice and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Hayashino 2016

Methods	Study aim: to evaluate the effect of a multifaceted intervention using the ‘Achievable Benchmark of Care (ABC)’ method for improving the technical quality of diabetes care in primary care settings Study design: cluster-RCT	
Participants	Country: Japan Setting: primary care physicians within District Medical Associations Total number of clusters: 22 Number of providers: 192 Number of patients: 2236 Percentage male: 63% Diabetes type: type 2 Average age (SD): 56.5 yrs (5.9) Inclusion criteria: type 2 diagnosis of diabetes prior to registration, aged 40 - 64 years and care provided by a single medical doctor in charge of the patient’s diabetes treatment Exclusion criteria: history of haemodialysis, hospitalisation, bed confinement, resident in a nursing home, blindness, history of lower limb amputation, history of diagnosis with a malignant tumour within the last 5 years, pregnancy or potential pregnancy	
Interventions	Intervention (11 clusters, n = 971 participants): physicians assigned to the intervention group were able to use a disease management system of monitoring and provided feedback on the quality of diabetes care, which was evaluated in terms of adherence to the 8 clinical indicators. Other intervention components included lifestyle advisors that provide reminders for regular visits and advice on lifestyle modifications by telephone or face-to-face Comparator (11, n = 1265 participants): usual medical care (not specified) Duration: 12 months	
Outcomes	Primary outcome: quality of diabetes care score calculated on the outcomes of 8 quality indicators (including fundoscopy at least every 12 months) Secondary outcomes: the effect of intervention on participant outcomes comprising HbA1c, systolic and diastolic blood pressure, and BMI Baseline screening attendance (control group): 12.2%	
Notes	Date conducted: NR Trial registration number: umin.ac.jp/ctr UMIN000002186 Sources of funding: Japan Agency for Medical Research and Development; Ministry of Health Labour and Welfare Declaration of interest: none declared Study propocol has been published: Izumi, K., Hayashino, Y., Yamazaki, K. et al. Diabetol Int (2010) 1: 83. doi:10.1007/s13340-010-0015-6	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: “The statistician, blind to the identities of the clusters, randomly allocated 0 (control) or 1 (intervention) codes gener-

Hayashino 2016 (Continued)

		ated by statistical software, to 22 clusters stratified by each DMA.” p 2
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by cluster and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar rates of retinopathy screening attendance at baseline (Table 3 p 7)
Similar baseline characteristics?	Low risk	Quote: “There was no statistical difference in baseline characteristics other than the type of diabetes therapy between the IG and the CG; patients in the IG were more likely to receive diabetes medication (P = 0.049).” p 5
Incomplete outcome data addressed?	Low risk	Judgement comment: data available for 100% providers and low rate of attrition in outcome data (see CONSORT diagram p 5)
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: allocation by cluster and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with protocol (see Izumi 2010)
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Hermans 2013

Methods	Study aim: to assess the effect of 'benchmarking' on quality of primary care for patients with type 2 diabetes Study design: cluster-RCT
Participants	Country: Belgium, Greece, Luxembourg, Portugal, Spain and the UK Setting: general practitioner or hospital-based outpatient clinics to represent country-specific diabetes management practices Number of clusters: 477 Number of providers: 477 Total number of patients: 4027 Percentage male: 55%

	Diabetes type: type 2 Average age (SD): 65.6 yrs (10.8) Inclusion criteria: outpatients previously diagnosed with type 2 diabetes and ≥ 18 years of age Exclusion criteria: patients with gestational diabetes, patients with type 1 diabetes, those who were hospitalised as a result of their diabetes, participants in other clinical trials, and members of the Belgian Diabetes Convention (a quality assurance programme with benchmarked feedback)	
Interventions	Intervention (293 clusters, n = 2509 participants): usual care consisting of routine monitoring, treatment and counselling of patients with type 2 diabetes with feedback benchmarked against other centres in each country Comparator (184 clusters, n = 1518 participants): usual care (as intervention but without feedback) Duration: 12 months	
Outcomes	Primary outcome: HbA1c, LDL cholesterol, and systolic BP at 12 months Secondary outcomes: % of participants achieving targets in comparison with baseline of preventive screening, such as retinopathy, neuropathy; dietary counselling, microalbuminuria; smoking habits; BMI and physical activity Baseline screening attendance (control group): 53%	
Notes	Date conducted: 2010 Trial registration number: NCT00681850 Sources of funding: editorial assistance and assistance with manuscript preparation and co-ordination was funded by AstraZeneca Belgium Declaration of interest: HV is a full-time employee of AstraZeneca, all other authors declared that they had sat on advisory boards or received honoraria from pharmaceutical companies Study protocol has been published: www.ncbi.nlm.nih.gov/pubmed/21939502	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Quote: "Investigators were randomized by a centralized randomization procedure (What Health, Brussels, Belgium) to either a benchmarking group or a control group" p 3389
Similar baseline outcome measurements?	Low risk	Judgement comment: similar baseline retinopathy screening attendance (< 10% difference in baseline rates of annual ophthalmic examinations between arms. Table 2 p 3393)

Hermans 2013 (Continued)

Similar baseline characteristics?	Low risk	Quote: "Baseline demographic and disease characteristics were similar between groups" p 3390
Incomplete outcome data addressed?	High risk	Judgement comment: 23% of clusters enrolled did not contribute to the final analysis
Knowledge of allocated intervention prevented?	Low risk	Quote: "The sequence was concealed until the intervention was assigned, and investigators were blinded to group assignment. Because randomization was at the investigator level, blinding of patients was not applicable." p 3389
Protected against contamination?	Low risk	Judgement comment: allocation was by centre and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry NCT00681850
Other risks of bias?	High risk	Judgement comment: all authors had links to pharmaceutical companies

Herrin 2006

Methods	<p>Study aim: to assess the effectiveness of diabetes resource nurse case management and physician profiling in improving diabetes care</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: USA</p> <p>Setting: Family Medicine and Internal Medicine practices within the HealthTexas Provider Network (HTPN) - physician component of the Baylor Health Care System-Dallas-Fort Worth, Texas. HTPN- fee for service setting</p> <p>Number of clusters: 22</p> <p>Number of providers: 92</p> <p>Total number of patients: 2155</p> <p>Percentage male: 49.8%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 72.9 yrs (NR)</p> <p>Inclusion criteria: patients aged ≥ 65 years on 1 January 2000, with a physician visit related to diabetes in 2000 and Medicare insurance coverage</p> <p>Exclusion criteria: Patients who did not fulfil National Diabetes Quality Improvement Alliance criteria for diagnosis of diabetes mellitus; patients whose charts were not available for abstraction</p>

Interventions	Intervention (claims plus MR group) (7 clusters, n = 849 participants) Medicare claims feedback plus feedback on clinical measures from medical record (MR) abstraction Intervention (claims plus MR plus DRS group) (8 clusters, n = 654 participants): both types of feedback plus diabetes resource nurse (DRN) Comparator (claims-only group) (7 clusters, n = 652 participants): Medicare claims feedback only Duration: 24 months	
Outcomes	Primary outcome: HbA1c level; LDL level; diastolic and systolic blood pressures as dichotomous outcomes based on the ADA and National Diabetes Quality Improvement Alliance guidelines Secondary outcomes: HbA1c, LDL, and diastolic and systolic blood pressures as continuous measures; processes of care measures including annual HbA1c assessment, annual lipid assessment, annual blood pressure measurement, annual eye exam, annual foot exam, and annual renal assessment Baseline screening attendance (control group): 10.8%	
Notes	Date conducted: 2001 Trial registration number: NR Sources of funding: American Diabetes Association; Pfizer, Inc; and the Baylor Health Care System Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Quote: "practices were stratified ... to ensure even distribution across arms.... Within each stratum practices were sampled and randomized triplets to ensure even distribution" p 97 Judgement comment: not clear if method for sequence generation was appropriate
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by cluster and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar attendance for annual eye examination based on Medicare claims Table 3 p 99
Similar baseline characteristics?	Low risk	Quote: "There were no differences in baseline clinical measures or in the data missing across study arms. There were no missing values for process measures, as patients were assumed to have failed the criteria if

Herrin 2006 (Continued)

		no record was found in the medical record or Medicare data." p 99
Incomplete outcome data addressed?	Low risk	Quote: "There were no missing values for process measures, as patients were assumed to have failed the criteria if no record was found in the medical record or Medicare data." p 98
Knowledge of allocated intervention prevented?	Low risk	Quote: "Both medical record and Medicare claims data were, however, collected by individuals blinded to patients' study arm assignments." p 101
Protected against contamination?	Low risk	Judgement comment: allocation was by cluster and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: part-funded by pharmaceutical company, but states that the company had no involvement in study design, data collection, data analysis, or interpretation of data or asked to approve the final version of the manuscript

Hurwitz 1993

Methods	<p>Study aim: to evaluate the effectiveness and acceptability of centrally-organised prompting for co-ordinating community care of non-insulin-dependent diabetic patients</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: UK</p> <p>Setting: 2 hospital outpatient clinics, 38 general practices, and 11 optometrists in the catchment area of a District General Hospital in Islington, London, UK</p> <p>Total number of participants: 181</p> <p>Percentage male: 58%</p> <p>Diabetes type: type 2</p> <p>Average age (SD): 62.6 yrs (10)</p> <p>Inclusion criteria: mobile non-insulin-dependent diabetic patients under the age of 80 who had attended the District General Hospital diabetic clinics in the previous 2 years</p> <p>Exclusion criteria: women of childbearing age; patients with 1 or more of 3 established significant diabetic complications, i.e. nephropathy with creatinine concentration > 150 μmol/l; ischaemia severe enough to have resulted in gangrene or amputation, and retinopathy worse than background in 1 eye</p>

Interventions	Intervention (n = 89): prompting system using a database which sends requests to participants to provide blood and urine samples for testing at 6-monthly intervals. Results were incorporated within personalised medical records which were sent to participants with a request to take them to their general practitioner within 10 days. General practitioner clinical assessments paralleled those of the hospital clinic. Participants not already under the care of a hospital eye clinic also received an annual eye test prompt and a map identifying local optometrists who performed dilated fundoscopy. Copies of optometry feedback are sent to the participant’s general practitioner, who is thereby kept informed of eye assessments Comparator (n = 92): usual care (hospital diabetes clinic review) Duration: 6 months	
Outcomes	Primary outcome: number of diabetic reviews; glycaemic control; recording of processes of care (including random plasma glucose, HbA1c, eye screening) Secondary outcomes: views of participants, participating GPs and optometrists Baseline screening attendance (control group): 23.9%	
Notes	Date conducted: April 1988 to October 1990 Trial registration number: NR Sources of funding: NR Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: “were randomised (by using Cambridge tables of random numbers).” p 624
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: “Comparisons of control and prompted patient groups at the start of the study are shown in table II. The groups were well matched for demographic variables and also for most important diabetic attributes, although mean systolic blood pressure was recorded as 9 mm Hg greater in the control group (95% confidence interval 2.1 to 16.0 mm Hg; p=0.011) and 14 patients in the prompted group were documented as having signs of leg ischaemia compared with only four controls $\chi^2=5.7$, df=1; p=0.017).” p 624 Judgement comment: differences in baseline characteristics unlikely to influence

Hurwitz 1993 (Continued)

		outcome
Incomplete outcome data addressed?	Low risk	Quote: "At the end of October 1990, 94% (170/181) of the general practitioner notes for the study patients were traced." p 624
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: control participants unlikely to receive the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Ilag 2003

Methods	<p>Study aim: to evaluate the impact of a systematic patient evaluation and patient and provider feedback on the processes and outcomes of diabetes care</p> <p>Study design: cluster RCT</p>
Participants	<p>Country: USA</p> <p>Setting: university primary care internal medicine practices affiliated with a managed care organisation</p> <p>Number of clusters: 9</p> <p>Number of providers: 44</p> <p>Total number of patients: 284</p> <p>Percentage male: 47%</p> <p>Diabetes type: type 1 and 2</p> <p>Average age (SD): 59 yrs (13.1)</p> <p>Inclusion criteria: members of the managed care organisation with diabetes aged ≥ 18 years</p> <p>Exclusion criteria: NR</p>
Interventions	<p>Intervention (5 clusters, n = 173 participants): ADAP visits in years 1 and 2. This consisted of a 1-hour focused encounter with non-physician providers within the primary care centre assessing key diabetes and cardiovascular health parameters measured (including fundus photography) and discussed with the participant by a certified diabetes educator. A tailored report with guideline-driven recommendations for care was sent to the participant's primary care provider and incorporated into the electronic patient record)</p> <p>Comparator (4 clusters, n = 111 participants): usual care in year 1, ADAP programme visits delivered in year 2</p> <p>Duration: 24 months</p>

Outcomes	Primary outcome: diabetes processes of care measures including: frequency of dilated retinal examinations, urine microalbumin measurements, foot examination, measurement of blood pressure HbA1c and LDL cholesterol Secondary outcomes: participant and provider views of the ADAP programme Baseline screening attendance (control group): 60.6%	
Notes	Date conducted: October 1999 to September 2016 Trial registration number: NR Sources of funding: National Institutes of Health Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Method for cluster randomisation not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by primary care practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Judgement comment: baseline characteristics balanced across the two arms of the study (see Table 1 p 2724)
Incomplete outcome data addressed?	High risk	Judgement comment: high attrition (results reported for 47% of intervention participants and 64% of comparison participants)
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Quote: "We believe it was necessary to randomize by site to avoid within site contamination."
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Methods	Study aim: to assess whether pharmacists working with physicians and other healthcare providers in an ambulatory care setting can improve quality of care for patients with type 2 diabetes Study design: parallel-group RCT	
Participants	Country: USA Setting: single ambulatory general internal medicine setting Total number of patients: 396 Percentage male: NR Diabetes type: type 2 Average age (SD): 62.9 yrs (11) Inclusion criteria: > 18 years with a documented HbA1c value > 8% obtained more than 6 months before the data acquisition date Exclusion criteria: received primary care outside of the Lahey Clinic Burlington campus, were diagnosed with type 1 diabetes, had an HbA1c < 8% within 6 months of randomisation, were enrolled in any other pharmacist-run or diabetes management study, were receiving diabetes management by an outside endocrinologist, or were unable to adhere to scheduled follow-up	
Interventions	Intervention (n = 195): pharmacist-participant clinic visits included obtaining a comprehensive medication review; performing targeted physical assessment; ordering laboratory tests; reviewing, modifying, and monitoring participants' medication therapy and providing detailed counselling on all therapies; facilitating self-monitoring of blood glucose; and providing reinforcement of dietary guidelines and exercise Comparator (n = 201): usual care (not specified) Duration: 12 months	
Outcomes	Primary outcome: achieving targets for HbA1c (< 7%), LDL cholesterol (<100 mg/dL) and blood pressure (< 130/80 mmHg) Secondary outcomes: compliance with microvascular screening parameters including retinopathy, neuropathy and nephropathy Baseline screening attendance (control group): NR	
Notes	Date conducted: 2003 Trial registration number: NCT00541606 Sources of funding: unrestricted medical grant from Pfizer Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Eligible patients were randomized to either an intervention or control group using a computer randomized sequence of ones and zeros" p 615
Adequate allocation concealment?	Unclear risk	Not report

Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "Baseline characteristics were similar between the two groups and reflect an obese white population of patients with diabetes, with a large percentage having comorbid medical conditions and existing microvascular complications (Table 1)." p 617 Judgement comment: differences in baseline characteristics unlikely to affect outcome
Incomplete outcome data addressed?	High risk	Judgement comment: per-protocol analysis (participants discontinuing intervention were not included in the analysis). High attrition, unbalanced across study arms
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: allocation was by cluster and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and therefore not possible to assess
Other risks of bias?	High risk	Judgement comment: risk of selection bias Quote: "Patients who agreed to participate in the study were likely more motivated to adhere to a diabetes treatment program. Although the control patients had to have obtained a minimum number of laboratory tests to be included, some patients in this group may not have participated in the study and may have been a less motivated group than the intervention group." p 619

Jansink 2013

Methods	Study aim: to assess the effectiveness of a comprehensive diabetes programme in general practice that integrates patient-centred lifestyle counselling into structured diabetes care Study design: cluster-RCT
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Participants	Country: The Netherlands Setting: general practices in the south-eastern part of The Netherlands Number of clusters: 58 Number of providers: 58 Total number of patients: 940 Percentage male: 54.9% Diabetes type: type 2 Average age (SD): NR Inclusion criteria: patients aged < 85 years with a HbA1c > 7% and a BMI > 25 kg/m ² Exclusion criteria: complex comorbidity and treatment in hospital	
Interventions	Intervention (29 clusters, n = 422 participants): nurses in the intervention group received a programme consisting of (a) training in lifestyle counselling based on motivational interviewing; (b) tools for structuring diabetes care, such as training in agenda setting, a local diabetes protocol based on the national guidelines and a social map for lifestyle support; (c) instruction on record-keeping to integrate lifestyle counselling into general practice; and (d) introduction of tools to sustain improvements including an instruction chart (reminder), regular telephone follow-ups with the target participants, a help desk that also enquired proactively about the progress of diabetes management, and a follow-up meeting for the nurses Comparator (29 clusters, n = 518 participants): nurses in the comparator group were advised to administer care consistent with current diabetes guidelines Duration: 14 months	
Outcomes	Primary outcome: HbA1c and reported changes in lifestyle related to diet and physical activity Secondary outcomes: other diabetes processes of care recommendations (including eye examination); quality of life (using EQ-5D) Baseline screening attendance (control group): NR	
Notes	Date conducted: 2008 Trial registration number: ISRCTN68707773 Sources of funding: ZonMW-the Netherlands Organization for Health Research and Development Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by general practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Unclear risk	Not reported

Jansink 2013 (Continued)

Similar baseline characteristics?	Low risk	Judgement comment: similar baseline characteristics. Table 1 p123
Incomplete outcome data addressed?	High risk	Quote: "A limitation of the study is the loss to follow-up in the lifestyle measures from the patient questionnaire" p 125 Judgement comment: large losses to follow-up, reasons not provided. Outcomes reported on 47.8% of eligible participants
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: allocation was by cluster and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry IS-RCTN68707773
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Kirwin 2010

Methods	Study aim: to assess whether pharmacists working with primary care physicians can improve the quality of diabetes care Study design: cluster-RCT
Participants	Country: USA Setting: single hospital-based primary care practice Number of clusters: 8 Number of providers: 72 Total number of patients: 346 Percentage male: 34.2% Diabetes type: types 1 and 2 Average age (SD): 63 yrs (NR) Inclusion criteria: 18 years or older; diagnosis of diabetes; patient had a primary care physician practising within the study clinic; seen in the practice at least once during the 2 years prior to the start of the study Exclusion criteria: NR
Interventions	Intervention (4 clusters, n = 171 participants): primary care physicians received a personalised letter from a pharmacist for participants with upcoming clinic visits. The letter contained information extracted from the electronic patient record on overdue testing and drug therapy to achieve diabetes-related treatment targets Comparator (4 clusters, n = 175 participants): usual care (not specified)

	Duration: recommendation letter sent and outcome determined 30 days after the visit to the primary care physician	
Outcomes	Primary outcome: process measure of annual HbA1c testing Secondary outcomes: 4 processes of care measures (including annual eye examination) and 3 biomarker measures (HbA1c < 7%, LDL < 100 mg/dL, BP < 130/80) Baseline screening attendance (control group): 37.1%	
Notes	Date conducted: 2004 Trial registration number: NCT00122421 Sources of funding: none Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "In July 2003, we identified 1,349 patients meeting these criteria and used a random number generator to randomly select 560 being cared for by 72 PCPs for inclusion in the study (Figure 1)." p 106 Quote: "We randomized the intervention at the level of clinical suites within the study practice immediately after patients were identified in July 2003." p 106
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation at the level of the cluster and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar baseline annual eye examination in intervention and control (38% vs 37.1%)
Similar baseline characteristics?	Low risk	Judgement comment: similar baseline characteristics. Baseline imbalance in annual lipid profile assessment but unlikely to influence outcome
Incomplete outcome data addressed?	High risk	Judgement comment: per-protocol analysis, baseline based on those analysed. Reasons for missing data not provided
Knowledge of allocated intervention prevented?	Unclear risk	Not reported

Kirwin 2010 (Continued)

Protected against contamination?	Low risk	Judgement comment: allocation by cluster and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: re-reported outcomes consistent with trial registry NCT00122421
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Krein 2004

Methods	<p>Study aim: to evaluate the effects of a collaborative case management intervention for patients with poorly-controlled type 2 diabetes on glycaemic control, intermediate cardiovascular outcomes, satisfaction with care, and resource utilisation</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: Department of Veterans Affairs (VA) Medical Centres</p> <p>Total number of participants: 246</p> <p>Percentage male: 96.5%</p> <p>Diabetes type: type 2</p> <p>Average age (SD): 61 yrs (10.5)</p> <p>Inclusion criteria: patients with at least 1 prescription for an oral hypoglycemic agent, insulin, or blood glucose monitoring supplies filled in the previous 12 months; most recent (HbA1c) \geq 8.5% (within the last year); general medicine clinic visit scheduled between May 1999 and January 2000</p> <p>Exclusion criteria: < 18 years; type 1 diabetes or were diagnosed before the age of 30 years; had no telephone; did not speak English; were not competent for interview; reported primary source of diabetes care outside the VA; were being treated for cancer (other than non-melanoma skin cancer); had kidney failure, symptomatic heart failure, liver disease, or blindness; spent winter at another residence; or planned to move</p>
Interventions	<p>Intervention (n = 123): 2 nurse practitioner acting as case managers working with participants and their primary care providers, monitoring and co-ordinating care through the use of telephone contacts, collaborative goal setting, and treatment algorithms</p> <p>Comparator (n = 123): provision of educational materials and usual care by their primary care physician</p> <p>Duration: 18 months</p>
Outcomes	<p>Primary outcome: glycaemic control, as measured by HbA1c level; control of LDL cholesterol; and blood pressure</p> <p>Secondary outcomes: health status and participant satisfaction were assessed using a self-administered written survey, which included the Short Form Health Survey for Veterans and the Patient Satisfaction Questionnaire-Form II (general satisfaction subscale); demographic characteristics, receipt of eye screening, aspirin use, and healthcare services received outside the VA</p>

	Baseline screening attendance (control group): 67.5%	
Notes	Date conducted: 2000 Trial registration number: NR Sources of funding: Office of Research and Development, Health Services Research and Development Service, Department of Veterans Affairs; Michigan Diabetes Research and Training Center Grant; National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "One member of a matched pair, within one of four possible blocks/cells (site by baseline HbA1C level), was then assigned randomly to the case management group and the other to the control group by the project manager who had no knowledge about the patients other than site and baseline HbA1c level." p 733
Adequate allocation concealment?	Low risk	Quote: "One member of a matched pair, within one of four possible blocks/cells (site by baseline HbA1C level), was then assigned randomly to the case management group and the other to the control group by the project manager who had no knowledge about the patients other than site and baseline HbA1c level." p 733
Similar baseline outcome measurements?	Low risk	Judgment comment: similar baseline attendance for diabetic retinopathy screening (9% baseline difference, see Table 1 p 735)
Similar baseline characteristics?	Low risk	Quote: "The baseline attributes of the intervention and control groups were similar (Table 1). Except for having a higher percentage of non white participants, study enrollees were demographically representative of VA ambulatory patients." p 734
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition, balanced across the arms of the study and missing data accounted for

Krein 2004 (Continued)

Knowledge of allocated intervention prevented?	Low risk	Judgement comment: eye-screening data obtained from VA medical information system and therefore unlikely to be influenced by lack of masking
Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Lafata 2002

Methods	Study aim: to evaluate the effectiveness of a mailed intervention for improving diabetes management Study design: parallel-group RCT
Participants	Country: USA Setting: multi-specialty primary care group practice Total number of participants: 3309 Percentage male: 47.8% Diabetes type: NR Average age (SD): 59.8 yrs (NR) Inclusion criteria: patients aged ≥ 18 yrs with diabetes, aligned to a primary care physician within a multispeciality practice Exclusion criteria: none
Interventions	Intervention (n = 1641): mailed reminder intervention consisting of a letter from the primary care physician, self-care handbook, preventive care checklist and specific recommendations regarding receipt of routine monitoring and screening Comparator (n = 1668): usual care (not specified) Duration: 12 months
Outcomes	Primary outcome: documented receipt of fasting lipid profile, HbA1c measurement, dilated retinal exam during the period 6 - 12 months following randomisation Secondary outcomes: HbA1c and cholesterol levels 1 yr after randomisation Baseline screening attendance (control group): 47.1%
Notes	Date conducted: 1999 Trial registration number: NR Sources of funding: NR Declaration of interest: NR
Risk of bias	

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Using the random number generator In SAS (Version 8.2: SAS Institute, Inc., Cary, NC) each month, each eligible patient with a birthday on the month was assigned to receive either the mailed reminder packet or usual care." p 522
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Low risk	Judgement comment: baseline retinal exams reported and balanced across study arms (Table 2 p 527)
Similar baseline characteristics?	Low risk	Quote: "Almost 60% of the study population received an HbA1c in the 6 months preceding the mailed reminder program, and approximately half received a lipid profile and a retinal exam in the 12 months preceding the mailed reminder program, We found no statistically significant differences in these and other characteristics listed in Table 2 between patients randomized to receive the mailed reminder program or usual care." p 526
Incomplete outcome data addressed?	Low risk	Judgement comment: no missing outcome data (see Table 3 p 528)
Knowledge of allocated intervention prevented?	Low risk	Judgement comment: outcomes were obtained from automated clinical administrative databases
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the mailed intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Methods	Study aim: to assess whether a small co-payment would impact on uptake of diabetic retinopathy screening compared to free access Study design: parallel-group RCT	
Participants	Country: Hong Kong, China Setting: 2 public family medicine clinics Total number of patients: 4644 Percentage male: 45.2% Diabetes type: type 1 and 2 Average age (SD): 64.1 yrs (11) Inclusion criteria: patients with type 1 or type 2 diabetes Exclusion criteria: patients already under the regular care of an ophthalmologist	
Interventions	Intervention (n = 2319): participants offered screening with small co-payment. A postal reminder of the appointment was sent to those who accepted screening. Participants not attending for screening, were called to book a further appointment Comparator (n = 2325): participants offered screening with no charge. A postal reminder of the appointment was sent to those who accepted screening. Participants not attending for screening were called to book a further appointment Duration: NR	
Outcomes	Primary outcome: uptake of screening and severity of diabetic retinopathy detected Secondary outcomes: NR Baseline screening attendance (control group): NR	
Notes	Date conducted: NR Trial registration number: NR Sources of funding: Health and Health Services Research Fund of the Hong Kong SAR Government and the Azalea Endowment Fund Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Randomization was based on the random allocation of digits 0 or 1 by computer.." p 1248
Adequate allocation concealment?	Low risk	Quote: "...a research assistant generated the random sequence and assigned the participants...Two trained and experienced telephone interviewers were each allocated a random half of the subjects allocated to the free and pay groups." p 1248
Similar baseline outcome measurements?	Unclear risk	Not reported

Lian 2013 (Continued)

Similar baseline characteristics?	Low risk	Quote: "There were no differences between the characteristics of participants allocated to the free and pay groups (Table 1)." p 1248
Incomplete outcome data addressed?	Low risk	Judgement comment: the majority of exclusions were due to participants already being under ophthalmologist care. Low attrition with reasons given and balanced across both arms of the study
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Unclear risk	Quote : "Two trained and experienced telephone interviewers were each allocated a random half of the subjects allocated to the free and pay groups." p 1248 Judgement comment: not clear how contamination was prevented
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Litaker 2003

Methods	Study aim: to compare a traditional physician-only model of care with a more collaborative, team-based approach to chronic disease management Study design: parallel-group RCT
Participants	Country: USA Setting: Department of General Internal Medicine at the Cleveland Clinic Foundation, Ohio Total number of participants: 157 Percentage male: 41% Diabetes type: type 2 Average age (SD): 60.5 yrs (9) Inclusion criteria: patients with established diagnoses of mild or moderate hypertension and non-insulin-dependent diabetes mellitus without known end-organ complications Exclusion criteria: medically complex individuals (Charlson index > 5) or those requiring 3+ medications for blood pressure control
Interventions	Intervention (n = 79): clinical practice algorithms, patient education on disease self-management strategies, and regular monitoring and feedback delivered primarily by

	<p>a nurse practitioner. The nurse practitioner acted as the first-line contact for care, in treatment decisions and to standardise treatment and for assessing treatment adherence and individual barriers to adherence</p> <p>Comparator (n = 78): physician-only or ‘usual’ care defined as any form of treatment offered by an individual’s primary care physician that reflected the practice style prevalent at the study site prior to the current investigation</p> <p>Duration: 12 months</p>	
Outcomes	<p>Primary outcome: measures to reflect the process and quality of care; documented evidence of annual ophthalmologic and foot examinations; HbA1c assessment at least once during the study year (other than study measures at 0 and 12 months); documentation of influenza and pneumococcal vaccination status and administration when appropriate</p> <p>Secondary outcomes: NR</p> <p>Baseline screening attendance (control group): NR</p>	
Notes	<p>Date conducted: October 1996 to January 1998</p> <p>Trial registration number: NR</p> <p>Sources of funding: Arison Foundation and the I.H. Page Center for Health Outcomes Research at the Cleveland Clinic Foundation</p> <p>Declaration of interest: NR</p>	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: “Members of the two patient groups did not differ significantly at study entry with respect to age, gender or racial composition, years of education completed, number of comorbid conditions, or baseline HbA1c and blood pressure control, total cholesterol or HDL-c values.” p 229
Incomplete outcome data addressed?	Low risk	Judgement comment: outcome on all participants randomised were reported
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Quote: “Routine use of reminder systems, forms to facilitate documentation of care, monitored use of clinical guidelines or ac-

Litaker 2003 (Continued)

		tive collaboration with a nurse practitioner were not aspects of usual care for physicians in this practice during the study period.” p 226
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Maljanian 2005

Methods	<p>Study aim: to evaluate an intensive telephone follow-up as an additional component of a diabetes disease management programme already shown to be effective in improving glycaemic control, adherence with ADA standards of care, and HRQOL</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: acute care teaching hospital</p> <p>Total number of participants: 336</p> <p>Percentage male: 46.7%</p> <p>Diabetes type: type 1 and 2</p> <p>Average age (SD): 58 yrs (12.7)</p> <p>Inclusion criteria: adult patients with type 1 or type 2 diabetes mellitus who were referred to the hospital-based disease management programme</p> <p>Exclusion criteria: NR</p>
Interventions	<p>Intervention (n = 176): both the intervention and control groups received the standard of care provided in the diabetes disease management programme as follows: (1) 3 x 4-hour educational classes covering topics such as living with diabetes, introduction to diabetes and the metabolic syndrome, nutrition and exercise, the importance of adherence to the ADA standards of care (e.g. annual eye exams, foot exams, blood glucose monitoring) and strategies to enhance self-management skills; (2) individual visits with a Registered Nurse and a nutritionist; (3) collaborative care management with written evaluations and recommendations provided to the participant's primary care provider, and scheduled follow-up visits. The intervention group also received a series of 12 weekly phone calls to reinforce education and self-management skills. The first call was 15 - 20 min in length; subsequent calls were 5 - 7 minutes each</p> <p>Comparator (n = 160): usual care consisting of the diabetes disease management programme as defined above, without the intensive telephone intervention</p> <p>Duration: 12 months</p>
Outcomes	<p>Primary outcome: glycaemic control; general and disease-specific HRQOL; symptoms of depression; adherence to self-management guidelines, and participant satisfaction</p> <p>Secondary outcomes: NR</p> <p>Baseline screening attendance (control group): NR</p>

Notes	Date conducted: March 2000 to August 2001 Trial registration number: NR Sources of funding: Aetna Quality of Care Research Foundation through the Academic Medicine and Managed Care Forum Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	High risk	Quote: "A comparison of demographic and baseline measures indicated that the two groups differed on age, BMI, when diagnosed, language used in the DLC class attended, ethnicity (Caucasian, non-Caucasian dichotomy), HbA1c, PCS, MCS, and symptoms of depression (CES-D)." p 18 Judgement comment: the reported baseline imbalance could have influenced retinopathy screening attendance
Incomplete outcome data addressed?	High risk	Quote: "The 171 participants who did not return for their two follow-up visits represent a significant attrition rate (34%)." p 18 Quote: "The fact that individuals with better glycemic control were more likely to return may explain some of the floor effect on glycemic control in the total study population. Further, that those patients with worse glycemic control and larger BMI at enrollment were the ones more likely to miss later appointments is concerning because those are the patients who most need their diabetes education reinforced and self-management encouraged." p 23
Knowledge of allocated intervention prevented?	Unclear risk	Not reported

Maljanian 2005 (Continued)

Protected against contamination?	Low risk	Judgement comment: unlikely that control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Mansberger 2015

Methods	<p>Study aim: to determine the effectiveness of telemedicine for providing diabetic retinopathy screening examinations compared with traditional surveillance in community health clinics with a high proportion of ethnic minorities</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: 2 community health clinics</p> <p>Total number of participants: 567</p> <p>Percentage male: 48%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 51.1 yrs (11.8)</p> <p>Inclusion criteria: diabetic patients ≥ 18 years with diabetes who were scheduled to visit their primary care provider</p> <p>Exclusion criteria: cognitive impairment preventing informed consent; inability to transfer to a chair to perform non-mydratic imaging</p>
Interventions	<p>Intervention (n = 296): participants in this group had digital images of their retina captured with a non-mydratic camera and were encouraged to see an eye care provider annually for a diabetic eye exam</p> <p>Comparator (n = 271): participants in this group were encouraged to see an eye care provider annually for a diabetic eye exam</p> <p>Duration: 48 months (intervention offered to comparator group after 18 months)</p>
Outcomes	<p>Primary outcome: proportion of participants that receive an annual eye exam</p> <p>Secondary outcomes: health belief factors associated with adherence</p> <p>Baseline screening attendance (control group): NR</p>
Notes	<p>Date conducted: 1 August 2006 to 31 September 2009</p> <p>Trial registration number: NCT01364129</p> <p>Sources of funding: National Eye Institute; Centers for Disease Control and Prevention; Good Samaritan Foundation at Legacy Health</p> <p>Declaration of interest: none declared</p>
<i>Risk of bias</i>	

Mansberger 2015 (Continued)

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "We used a random number generator to randomly assign participants to the telemedicine group or the traditional surveillance group." p 519
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "There were no differences in demographic and medical characteristics at enrolment between the telemedicine (n = 296) and traditional surveillance (n = 271) groups." p 521
Incomplete outcome data addressed?	Low risk	Judgement comment: no missing outcome data at 12 and 24 months (see CONSORT flow diagram p 519)
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the telemedicine intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and so not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

McCall 2011

Methods	<p>Study aim: to evaluate the impact of commercial programmes for disease management that use nurse-based call centres on the quality of clinical care, acute care utilisation, and Medicare expenditures for Medicare fee-for-service beneficiaries</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: primary care practices</p> <p>Total number of participants: 188,169 patients with diabetes</p> <p>Percentage male: NR</p> <p>Diabetes type: NR</p> <p>Average age (SD): NR</p> <p>Inclusion criteria: Medicare beneficiaries in each of 8 geographic areas who met the</p>

	selection criteria for heart failure or diabetes and had a HCC risk score of 1.35 Exclusion criteria: NR	
Interventions	Intervention (n = 126,557 participants with diabetes alone or diabetes and heart failure): Medicare Health Support Pilot Program consisting of 8 commercial programmes for disease management that used nurse-based call centres to assess the needs of individual beneficiaries and used health coaches to target those beneficiaries at immediate high risk for adverse events. The goals of the intervention were to improve beneficiaries' understanding of their disease or diseases, their ability to manage self-care, and their ability to communicate with providers. Various educational resources including literature, videos, and Internet resources were provided. A small portion of the intervention population received intensive case-management services Comparator (n = 61,612 participants with diabetes alone or diabetes and heart failure): usual care (not specified) Duration: 12 months	
Outcomes	Primary outcome: changes from baseline compared between the intervention and control groups for the quality of clinical care provided, the use of acute care, and Medicare expenditures. 4 annual evidence-based processes of care measures were evaluated for patients with diabetes: glycated haemoglobin testing, urinary protein screening, retinal examination and LDL cholesterol testing Secondary outcomes: none Baseline screening attendance (control group): 36.1%	
Notes	Date conducted: 2004 to 2007 Trial registration number: NR Sources of funding: NR Declaration of interest: none declared Outcome data (based on pooled rates per 100 beneficiaries) calculated from Supplementary Table 1 (supplementary appendix) using the % of participants with diabetes given in Table 1 (Main report)	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Low risk	Judgement comment: similar baseline screening attendance (see Table 1. Online supplement)
Similar baseline characteristics?	Low risk	Quote: "The characteristics of the beneficiaries were well balanced between the intervention and control groups at baseline (Table 1)." p 1707

McCall 2011 (Continued)

Incomplete outcome data addressed?	Unclear risk	Not reported
Knowledge of allocated intervention prevented?	Low risk	Judgement comment: data on retinopathy screening obtained from routinely-collected data
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the Medicare Health Support Programme
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

McClellan 2003

Methods	<p>Study aim: to determine if an intervention that includes claims-based feedback about patterns of HbA1c measurement results in more frequent monitoring of HbA1c in diabetic Medicare beneficiaries</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: USA</p> <p>Setting: primary care physicians in a southern state treating Medicare beneficiaries</p> <p>Number of clusters: 123</p> <p>Number of providers: 477</p> <p>Total number of patients: 22,971</p> <p>Percentage male: 43%</p> <p>Diabetes type: type 1 and type 2</p> <p>Average age (SD): 74 yrs (NR)</p> <p>Inclusion criteria: diabetes diagnosis based on 2 outpatient claims 30 days apart or 1 inpatient claim for the care of diabetes mellitus (250.xx, 357.2x, 362.0x, 366.41). Patients had to be aged at least 65, enrolled in Medicare for a minimum of 11 months in 1996 or 1998</p> <p>Exclusion criteria: any HMO coverage or a skilled nursing facility stay longer than 60 days</p>
Interventions	<p>Intervention (63 clusters, n = 11,904 participants): mailing to physicians at baseline, 2 months, 4 months, and 6 months containing clinical practice guidelines, general information about patterns of diabetes care in the state, an educational tape, and practice aids to implement guideline recommendations (chart stickers, pocket guides, wall posters, etc.). Intervention physicians were provided with fliers to remind participants to have regular check-ups of their urine, eyes, feet, and blood; an ADA catalogue containing diabetes-related publications and patient education presentations; and a 'Diabetic Passport' that allowed a patient to record their diabetic test results. The passport displayed the ADA recommendations for HbA1c, eye, urine, and lipid monitoring</p>

	Comparator (61 clusters, n = 11,067 participants): newsletter sent to intervention and comparator groups containing an article devoted to early detection of microvascular complication and the importance of glycaemic control which opened up to create a poster showing the tests/screenings that patients with diabetes mellitus require on a regular basis Duration: 6 months	
Outcomes	Primary outcome: changes in frequency of measurement of HbA1c, quantitative urine protein and dilated eye examinations Secondary outcomes: NR Baseline screening attendance (control group): 39.3%	
Notes	Date conducted: 1996 to 1998 Trial registration number: NR Sources of funding: NR Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "After assigning patients to physicians and physicians to counties, the counties were ordered alphabetically and a random number table was used to assign a county to either the intervention or comparison group." p 1212
Adequate allocation concealment?	Low risk	Quote: "None of the staff involved with the design and implementation of the intervention were involved with the randomization of counties or selection of physicians within counties." p 1212
Similar baseline outcome measurements?	Low risk	Judgement comment: similar proportion of baseline eye exams (see Table 2 p 1214)
Similar baseline characteristics?	Low risk	Quote: "The two groups were comparable with respect to race, gender, and the mean age of the diabetic." p 1213 (see also Table 1 p 1214) Judgement comment: Similar quality indicators at baseline (see Table 2 p 1214)
Incomplete outcome data addressed?	Low risk	Quote: "...the dropout rate among practices in the comparison and intervention groups was small, 3.6 and 3.0%, respectively, and thus was unlikely to bias our results." p 1215

McClellan 2003 (Continued)

Knowledge of allocated intervention prevented?	Low risk	Judgement comment: eye-screening outcomes obtained from routinely-collected claims data
Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

McDermott 2001

Methods	<p>Study aim: to evaluate a paper-based recall and reminder system and basic diabetes education of healthcare workers in improving the quality of diabetes care in a remote indigenous community</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: Australia</p> <p>Setting: 21 primary health care centres in Torres Strait and Northern Peninsula Area in Queensland Australia</p> <p>Number of clusters: 21</p> <p>Number of providers: 3</p> <p>Total number of patients: 555</p> <p>Percentage male: 38%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 52.3 yrs (13.5)</p> <p>Inclusion criteria: patients with diabetes</p> <p>Exclusion criteria: patients aged < 15 years diagnosed < 1 year before the audit</p>
Interventions	<p>Intervention (8 clusters, n = 250 participants): intervention and comparator sites received audit and feedback on patients with diabetes benchmarked against guidelines. Evidence-based guidelines were issued and a new diabetes outreach service was established (comprising a diabetologist, nutritionist, podiatrist, and diabetes healthcare worker). Intervention and comparator sites were visited by the outreach team who saw individual patients on a referral basis. A recall system was established in intervention sites and healthcare workers in these sites received clinical training on the basics of diabetes care</p> <p>Comparator (13 clusters, n = 305 participants): see above</p> <p>Duration: 12 months</p>
Outcomes	<p>Primary outcome: proportion of participants fulfilling diabetes care indicators (including 'eye check' or 'ophthalmologist check') in the last 12 months</p> <p>Secondary outcomes: diabetes-related hospital admissions and hospitalisations</p> <p>Baseline screening attendance (control group): 29.8%</p>

Notes	Date conducted: March 1999 to February 2000 Trial registration number: NR Sources of funding: National Health and Medical Research Council Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	High risk	Quote: "...eight intervention sites were chosen randomly by being picked from a hat containing the names of all 21 clinics" p 498 Judgement comment: inappropriate method of sequence generation
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by primary care practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar rates of eye checks and ophthalmology visits at baseline
Similar baseline characteristics?	Low risk	Quote: "There were no significant differences in age, sex ratio and duration of diabetes at baseline..." p 498 Judgement comment; baseline differences between arms in diabetes processes of care (Table 2 p 499) but unlikely to influence outcome
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition and balanced across arms
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Methods	Study aim: to evaluate effects of a web-based decision-support tool, the diabetes ‘Disease Management Application (DMA)’, to improve evidence-based management of type 2 diabetes Study design: cluster-RCT	
Participants	Country: USA Setting: Adult Medicine Clinic (AMC) in Harvard Medical School in Boston Massachusetts USA Number of clusters: 26 Number of providers: 26 Total number of patients: 598 Percentage male: 48.1% Diabetes type: type 2 Average age (SD): 67.5 yrs (12) Inclusion criteria: patients with at least 1 visit to the AMC during the pre-intervention year (May 1997 to April 1998) were identified by billing claims, and patients with type 2 diabetes were identified by ICD-9 codes 250.00 - 250.90 Exclusion criteria: type 1 diabetes	
Interventions	Intervention (12 clusters, n = 307 participants): web-based information management/clinical decision-support tool providing a single-screen view of patient-specific information, enabling decision support at the time of patient contact. The decision-support tool generated patient-specific recommendations based on evidence-based guidelines Comparator (14 clusters, n = 291 participants): usual care (not specified) Duration: 12 months	
Outcomes	Primary outcome: change in rates of annual HbA1c, LDL cholesterol, BP, and eye and foot screening and change in the absolute values of HbA1c, LDL cholesterol, and blood pressure Secondary outcomes: NR Baseline screening attendance (control group): 41.2%	
Notes	Date conducted: May 1998 to April 1999 Trial registration number: NR Sources of funding: National Pharmaceutical Council; MGH Primary Care Operations Improvement and Clinical Research Programs Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: “A coin was tossed to select an intervention group and a control group.” p 751
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by primary care practice and allocation performed prior to the start of the study

Meigs 2003 (Continued)

Similar baseline outcome measurements?	High risk	Quote: “..rates of eye and foot screening were lower in the intervention group.” p 793 Judgement comment: baseline imbalance in diabetic retinopathy screening
Similar baseline characteristics?	Low risk	Quote: “Baseline staff provider and patient characteristics were similar comparing the intervention group with the control group (Table 1).” p 793
Incomplete outcome data addressed?	Low risk	Judgement comment: data from all participants reported
Knowledge of allocated intervention prevented?	Low risk	Quote: “Clinical data from paper and electronic charts were abstracted by three nurses blinded to group status of providers and patients.” p 752
Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

O'Connor 2005

Methods	Study aim: to evaluate the impact of a QI intervention on the quality of diabetes care Study design: cluster-RCT
Participants	Country: USA Setting: primary care medical practices in Minnesota Number of clusters: 12 Number of providers: 329 Total number of patients: 754 Percentage male: 54.3% Diabetes type: NR Average age (SD): 57.8 yrs (NR) Inclusion criteria: aged > 19 years who had 2+ ICD-9 diagnostic codes for diabetes in a defined 12-month period Exclusion criteria: NR

Interventions	<p>Intervention (6 clusters, n = 428 participants): IDEAL (Improving Care for Diabetes Through Empowerment Active Collaboration and Leadership) model consisting of facilitation of leadership actions in support of change, training for the leader and facilitator of an intra-clinic multidisciplinary continuous quality improvement (CQI) team, and consultative and networking support of the change process</p> <p>Comparator (6 clusters, n = 326 participants): usual care (not specified)</p> <p>Duration: 18 months</p>
Outcomes	<p>Primary outcome: % of participants with annual tests of HbA1c, LDL and BP; % of participants with annual screening for foot, eye or kidney complications</p> <p>Secondary outcomes: NR</p> <p>Baseline screening attendance (control group): 39%</p>
Notes	<p>Date conducted: NR</p> <p>Trial registration number: NR</p> <p>Sources of funding: Centres for Disease Control and Prevention; HealthPartners Research Foundation</p> <p>Declaration of interest: 1 author reported being a member of advisory boards and receiving honoraria from LifeScan, NovoNordisk and AmerisourceBergen</p>

Risk of bias

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by primary care practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar attendance for annual eye exams at baseline
Similar baseline characteristics?	Low risk	Quote: "Table 1 shows that the clinics and patients in the intervention and control group were similar in size and in patient mix..." p 1892
Incomplete outcome data addressed?	High risk	Judgement comment: reported data was based on those 754 participants who completed the pre- and post-intervention surveys and consented to have their medical record reviewed. Response rates to the survey averaged 55% - 65% across study sites
Knowledge of allocated intervention prevented?	Unclear risk	Not reported

O'Connor 2005 (Continued)

Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Perria 2007

Methods	<p>Study aim: to assess the effectiveness of different strategies for the implementation of an evidence-based guideline for the management of non-complicated type 2 diabetes mellitus</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: Italy</p> <p>Setting: primary care setting of Italian National Health Service in Lazio region of Central Italy</p> <p>Number of clusters: 252</p> <p>Number of providers: 252</p> <p>Total number of patients: 6290</p> <p>Percentage male: 52%</p> <p>Diabetes type: type 2</p> <p>Average age (SD): 65 yrs (10)</p> <p>Inclusion criteria: patients with uncomplicated type 2 diabetes</p> <p>Exclusion criteria: NR</p>
Interventions	<p>Intervention (active implementation)(84 clusters, n = 1952 participants): 2-day training module and consequent administration of a diabetes guideline</p> <p>Intervention (passive implementation) (85 clusters, n = 2106 participants): GPs received the guideline without any training but with a written request to implement the guideline</p> <p>Comparator (83 clusters, n = 2232 participants): usual care (not specified)</p> <p>Duration: 1 month</p>
Outcomes	<p>Primary outcome: GPs' adherence to guideline recommendations for diabetes management (including proportion of participants who were prescribed all microvascular complications assessment tests: eye examination or fundus and blood creatinine or creatinine clearance and microalbuminuria) per year</p> <p>Secondary outcomes: GPs' drug-prescribing behaviour</p> <p>Baseline screening attendance (control group): 22.9%</p>
Notes	<p>Date conducted: December 2003 to December 2004</p> <p>Trial registration number: ISRCTN80116232</p> <p>Sources of funding: Italian Ministry of Health</p>

Declaration of interest: None declared Study protocol has been published: www.ncbi.nlm.nih.gov/pubmed/15196307		
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Our randomisation sequences was computer-generated. GPs who accepted to take part in the study, were assigned by simple random allocation by the REXSCO software..." p 4
Adequate allocation concealment?	Low risk	Quote: "Randomisation was performed by a researcher not involved in the study and who was blind to the identity of the practices." p 4
Similar baseline outcome measurements?	Low risk	Judgement comment: similar retinal screening attendance at baseline (see Table 3 p 6)
Similar baseline characteristics?	Low risk	Judgement comment: similar baseline demographic and clinical characteristics
Incomplete outcome data addressed?	High risk	Judgement comment: high attrition and missing data not balanced across study arms
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Unclear risk	Quote: "Our randomisation sequences was computer-generated. GPs who accepted to take part in the study, were assigned by simple random allocation by the REXSCO software, which assigns to same-practice partners a nil probability of being randomised, thus minimising the chances of participant contamination." p 4
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry IS-RCTN80116232
Other risks of bias?	High risk	Judgement comment: only 25% of eligible GPs agreed to take part

Methods	<p>Study aim: to determine whether implementation of a multicomponent organisational intervention can produce significant change in diabetes care and outcomes in community primary care practices</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: USA</p> <p>Setting: 24 community care practices in Minnesota</p> <p>Number of clusters: 24</p> <p>Number of providers: 238</p> <p>Total number of patients: 7101</p> <p>Percentage male: 50.3%</p> <p>Diabetes type: type 2</p> <p>Average age (SD): 62.8 yrs (0.9)</p> <p>Inclusion criteria: all type 2 diabetic patients in each practice aged 18 - 89 years</p> <p>Exclusion criteria: documented as not receiving diabetes care at the practice (referred care); deceased; no longer in the practice (documented transfer or no contact or 24 months); permanently residing in a long-term care facility</p>
Interventions	<p>Intervention (12 clusters, n = 3970 participants): multicomponent intervention (TRANSLATE) consisting of implementation of an electronic diabetes registry, visit reminders, and patient-specific physician alerts. A site co-ordinator facilitated pre-visit planning and a monthly review of performance with a local physician champion</p> <p>Comparator (12 clusters, n = 3131 participants): usual care (practices were provided with a report of their process and outcome measures at baseline and were encouraged to continue usual quality improvement)</p> <p>Duration: 12 months</p>
Outcomes	<p>Primary outcome: % of participants achieving target values for the composite of SBP < 130 mmHg, LDL cholesterol < 100 mg/dl, and HbA1c < 7.0% at baseline and 12 months</p> <p>Secondary outcomes: 6 diabetes care process measures (including annual eye examination)</p> <p>Baseline screening attendance (control group): 24.8%</p>
Notes	<p>Date conducted: NR</p> <p>Trial registration number: NCT00108927</p> <p>Sources of funding: National Institute of Diabetes, Digestive, and Kidney Disorders, National Institutes of Health</p> <p>Declaration of interest: NR</p>

Risk of bias

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Quote: "Practices were randomized in blocks of four using six sets of opaque envelopes to ensure that equal numbers

Peterson 2008 (Continued)

		of control and intervention clinics were abstracted simultaneously. Envelopes were prepared by the statistician, assigned in order of postmark, and opened under observation." p 2239
Similar baseline outcome measurements?	High risk	Judgement comment: higher attendance for eye examination in intervention clinics at baseline (35.5% versus 24.8%, Table 3 p 2241) and baseline imbalance in diabetic retinopathy (Table 2 p 2240)
Similar baseline characteristics?	Low risk	Quote: "No statistically significant differences existed between intervention and control practices in patient demographics, total number of diabetes complications, or relevant clinical measures." p 2240 Judgement comment: with the exception diabetic retinopathy, all other baseline clinical characteristics were similar (Table 2 p 2240)
Incomplete outcome data addressed?	Low risk	Judgement comment: data from all participants included in the analysis
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry NCT00108927
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Piette 2001

Methods	Study aim: to evaluate automated telephone disease management (ATDM) with telephone nurse follow-up as a strategy for improving diabetes treatment processes and outcomes in Department of Veterans Affairs (VA) clinics Study design: parallel-group RCT
Participants	Country: USA Setting: 4 university-affiliated VA clinics in northern California Total number of participants: 292 Percentage male: 97%

	Diabetes type: NR Average age (SD): 60.5 yrs (10) Inclusion criteria: adults with a diagnosis of diabetes and an active prescription for a hypoglycaemic agent Exclusion criteria: > 75 years of age; mentally ill; a life expectancy of < 12 months; were newly diagnosed; planned to discontinue receiving services from the clinic within the 12-month follow-up period; did not have a touch-tone telephone	
Interventions	Intervention (n = 146): bi-weekly automated telephone disease management (ATDM) health assessment and self-care education calls, and a nurse educator follow-up with participants based on their ATDM assessment reports Comparator (n = 146): usual care (not specified) Duration: 12 months	
Outcomes	Primary outcome: impact on processes of care (including use of ophthalmology services) ; glycaemic control Secondary outcomes: participants' self-care activities and satisfaction with care Baseline screening attendance (control group): 29.3%	
Notes	Date conducted: NR Trial registration number: NR Sources of funding: Health Services Research and Development Service, Mental Health Strategic Health Care Group, Quality Enhancement Research Initiative, Department of Veterans Affairs; American Diabetes Association Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Patients were randomized using sealed envelopes containing group assignments and a sequence generated using a table of random numbers." p 203
Adequate allocation concealment?	Low risk	Quote: "Patients, their clinicians, and research staff were not aware of patients' group assignment until after they consented to participate and the envelope was opened." p 203
Similar baseline outcome measurements?	High risk	Judgement comment: large baseline imbalance in the use of ophthalmology services (intervention 69%, comparator 41%). See Table 2 p 205
Similar baseline characteristics?	Low risk	Quote: "Intervention and control groups had similar characteristics at baseline." p 204

Piette 2001 (Continued)

Incomplete outcome data addressed?	Low risk	Judgement comment: approx. 90% follow-up and missing data balanced across study arms
Knowledge of allocated intervention prevented?	Low risk	Quote: "Data on patients' use of specialty outpatient services were obtained from electronic utilization databases and survey self-reports." p 204 Judgement comment: although blinding of outcome assessor not reported, unlikely to influence outcome
Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Pizzi 2015

Methods	Study aim: to investigate the outcomes and costs of an educational and telephone intervention on dilated fundus examination follow-up adherence in patients with diabetes Study design: parallel-group RCT
Participants	Country: USA Setting: tertiary eye-care centre Total number of participants: 356 Percentage male: 42% Diabetes type: NR Average age (SD): 60.7 yrs (12.6) Inclusion criteria: adults (≥ 18 years old) with diabetes who had been previously evaluated in the eye clinic, and had been recommended for a follow-up dilated fundus examination Exclusion criteria: NR
Interventions	Intervention arm 1 (mailed intervention) (n = 117): personalised letter encouraging scheduling a dilated fundus examination and a brochure about diabetic eye disease and reminder card and automatic reminder call the day before the scheduled appointment Intervention arm 2 (telephone intervention) (n = 120): standard reminder letter 1 month prior to exam due date followed by a personal telephone call offering assistance in scheduling an appointment and a reminder letter 3 weeks prior to appointment and automatic reminder call the day before the scheduled appointment Comparator (n = 119): usual care (standard reminder letter 1 month prior to exam due date and automatic reminder call the day before the scheduled appointment)

	Duration: 3 months	
Outcomes	Primary outcome: obtaining a dilated fundus examination within 90 days of the recommended follow-up date Secondary outcomes: costs of delivering the intervention Baseline screening attendance (control group): NR	
Notes	Date conducted: November 2012 to February 2013 Trial registration number: NR Sources of funding: US Centers for Disease Control and Prevention Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: “...randomized within age strata (<65 and>65 -years) using the method of random permuted block” p 254
Adequate allocation concealment?	Low risk	Quote: “The study personnel in charge of randomization did not participate in the interventions.” p 254
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: “There were no statistically significant differences in demographics among the three study groups (Table 1)” p 257
Incomplete outcome data addressed?	Low risk	Judgement comment: all outcome data reported (see Table 2 p 258)
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the active interventions
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Methods	Study aim: to evaluate the use of a single direct mailed reminder on rate of annual eye examinations in people with diabetes Study design: parallel-group RCT	
Participants	Country: USA Setting: Medicare beneficiaries Total number of participants: 6546 Percentage male: NR Diabetes type: NR Average age (SD): NR Inclusion criteria: Medicare beneficiaries with diabetes (defined by International Classification of Diseases 9th revision. Clinical Modification ICD-9-CM codes of 250.XX) Exclusion criteria: NR	
Interventions	Intervention (n = 4092): mailed intervention reinforcing the importance of annual eye examinations Comparator (n = 2454): usual care (not specified) Duration: 6 months	
Outcomes	Primary outcome: claims for eye examinations; defined by Physicians Current Procedural Terminology, 4th Edition (CPT-4) codes 99201 - 99205 Secondary outcomes: none Baseline screening attendance (control group): 48.4%	
Notes	Date conducted: 1994 to 1995 Trial registration number: NR Sources of funding: US Centers for Disease Control and Prevention Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Low risk	Judgement comment: baseline retinal exams reported and balanced across study arms (see Table 2 p259)
Similar baseline characteristics?	Low risk	Quote: "The groups were comparable with regard to age, gender and use of preventative health services" p 259 (see Table 2)
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition, outcome data reported on >90% (see Table 4 p 260)

Knowledge of allocated intervention prevented?	Low risk	Judgement comment: outcome data were obtained from Medicare claims databases
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the mailed intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Prezio 2014

Methods	<p>Study aim: to determine the impact of a culturally-tailored diabetes education programme led by a community health worker (CHW) on the HbA1c, blood pressure, BMI and lipid status of uninsured Mexican Americans with diabetes</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: primary care (faith-based urban health services clinic serving exclusively uninsured patients of largely Mexican American origin)</p> <p>Total number of participants: 180</p> <p>Percentage male: 39.5%</p> <p>Diabetes type: type 2</p> <p>Average age (SD): 46.8 yrs (10.9)</p> <p>Inclusion criteria: eligible patients were uninsured, had no previous exposure to the Community Diabetes Education (CoDE) programme, were 18 to 75 years of age, had type 2 diabetes either treated with anti-diabetic medications or diet-controlled</p> <p>Exclusion criteria: advanced complications from diabetes; pregnancy</p>
Interventions	<p>Intervention (n = 90): community diabetes educational programme delivered by CHW. 3 educational modules were delivered during individual 1-hour sessions over the first 8 weeks. These sessions covered areas recommended by the ADA. The CHW facilitated immediate physician contact to address acute problems, assisted with pharmacy refills, and arranged specialty visits such as dental care and dilated retinal exams. Participants were provided with a blood glucose monitor and testing strips free of charge and instructed in correct use of the device by medical assistants</p> <p>Comparator (n = 90): usual medical care at the discretion of the clinic physicians. Participants in this group were provided with a blood glucose monitor and testing strips free of charge and instructed in correct use of the device by medical assistants. Culturally-tailored printed diabetes education materials were provided by physicians and clinic staff</p> <p>Duration: 6 months</p>
Outcomes	<p>Primary outcome: impact of the intervention on HbA1c, lipid status, blood pressure and BMI</p>

	Secondary outcomes: participants’ attitudes and knowledge about diabetes self-management, ADA standards of care (including annual dilated fundus examination) Baseline screening attendance (control group): 6.7%	
Notes	Date conducted: 2006 Trial registration number: NCT00151190 Sources of funding: University of Texas School of Public Health, Institute for Faith-Health Research, Dallas Declaration of interest: none declared Study protocol has been published: www.ncbi.nlm.nih.gov/pubmed/17431443	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: “All patients were given informed consent in the preferred language of the study subject followed by (1:1) assignment to either the intervention or control groups using a computer generated randomization schedule.” see Prezio 2013 p 20
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Low risk	Judgement comment: baseline retinal exams reported and similar across study arms (see Table 3 p 129)
Similar baseline characteristics?	Low risk	<i>Quote:</i> “No significant differences in baseline clinical, demographic, and behavioral characteristics were found between the intervention and control groups, with the exception that significantly more control group participants were employed at study entry (P = .02; Table 2).” Table 2 p 127 Judgement comment: employment status may have influenced attendance for retinopathy screening
Incomplete outcome data addressed?	Low risk	Judgement comment: intention-to-treat analysis. All participants accounted for. See CONSORT flow diagram p 21 Prezio 2013
Knowledge of allocated intervention prevented?	Unclear risk	Not reported

Protected against contamination?	High risk	Judgement comment: all participants were from the same faith-based community services clinic and no evidence that the study was protected from contamination
Free from selective outcome reporting?	Low risk	Judgement comment: re-reported outcomes consistent with trial registry NCT00151190
Other risks of bias?	Low risk	Judgment comment: no evidence of other risks of bias

Rosenkranz 1996

Methods	<p>Study aim: to study whether polaroid fundus photography during a patient consultation would influence future screening behaviour for diabetic retinopathy</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: Germany</p> <p>Setting: Diabetes clinic within the University of Düsseldorf</p> <p>Total number of participants: 103</p> <p>Percentage male: 61.1%</p> <p>Diabetes type: type 1 and 2 (87% type 2)</p> <p>Average age (SD): NR</p> <p>Inclusion criteria: patients with diabetes living within a 100 Km radius of the clinic</p> <p>Exclusion criteria: diabetic retinopathy or treatment for diabetic retinopathy; patients with glaucoma or cataract</p>
Interventions	<p>Intervention arm 1 (n = 35): Group B. Polaroid photograph taken, shown and explained to the participant. The photograph was then given to the participant to take home. Results of all clinical investigations explained to participant and also included in a subsequent letter which contained a recommendation for an eye exam performed by an ophthalmologist and the time frame for this exam</p> <p>Intervention arm 2 (n = 31): Group C. Polaroid photograph taken, shown and explained to the participant. The photograph was then retained in the participant's file. Results of all clinical investigations explained to participant and also included in a subsequent letter which contained a recommendation for an eye exam performed by an ophthalmologist and the time frame for this exam</p> <p>Comparator (n = 37): Group A. Polaroid photograph of fundus taken but not shown to participant. Results of all clinical investigations explained to participant and also included in a subsequent letter which contained a recommendation for an eye exam performed by an ophthalmologist and the time frame for this exam</p> <p>Duration: 12 months</p>
Outcomes	<p>Primary outcome: attendance for diabetic retinopathy screening</p> <p>Secondary outcomes: factors affecting screening attendance</p> <p>Baseline screening attendance (control group): NR</p>

Rosenkranz 1996 (Continued)

Notes	Date conducted: NR Trial registration number: NR Sources of funding: NR Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Judgement comment: similar demographic characteristics across the 3 arms of the study for age, gender and socioeconomic status (see Table 1 p 70)
Incomplete outcome data addressed?	Low risk	Judgement comment: all participants were followed up and reported (see Table 2 p 71)
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	High risk	Judgement comment: given the nature of the intervention it is possible that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	High risk	Judgement comment: patients with existing diabetic retinopathy or previously treated for diabetic retinopathy were excluded

Schnipper 2010

Methods	Study aim: to evaluate whether a new document-based clinical decision-support system is effective in improving the quality of care in coronary artery disease and diabetes Study design: cluster-RCT
Participants	Country: USA Setting: Primary care practices at Brigham and Women's Hospital and Massachusetts

	General Hospital Number of clusters: 10 Number of providers: 239 Total number of patients: 7009 (71.5% with diabetes) Percentage male: NR Diabetes type: type 1 and 2 Average age (SD): NR Inclusion criteria: patients with type 1 or type 2 diabetes Exclusion criteria: patients already under the regular care of an ophthalmologist	
Interventions	Intervention (5 clusters, n = 3431): ‘smart form’ with reminders. Document-based clinical support system built into an electronic health record. The system highlights missing and ‘requests’ missing data Comparator (5 clusters, n = 3578): usual care (not specified) Duration: 9 months	
Outcomes	Primary outcome: mean % of deficiencies in disease management within 1 month of a clinic visit (including eye examination documentation-diabetes patients only) Secondary outcomes: NR Baseline screening attendance (control group): NR	
Notes	Date conducted: 2008 Trial registration number: NR Sources of funding: Agency for Healthcare and Quality Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: “Primary care physicians were assigned to receive the Smart Form or usual care on the basis of random number generation in Microsoft Excel (Redmond, WA).” p SP73
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation at the level of the primary care practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	High risk	Judgement comment: a number of baseline differences in characteristics including: female (P < 0.001), number of problems on problem list (P < 0.001), race (P < 0.001), primary insurance (P = 0.002), median

Schnipper 2010 (Continued)

		household income (P = 0.01)
Incomplete outcome data addressed?	Unclear risk	Not reported
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: allocation by primary care practice; it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Simon 2010

Methods	Study aim: to assess the effects of automated telephone outreach with speech recognition on diabetes-related testing Study design: parallel-group RCT
Participants	Country: USA Setting: Harvard Pilgrim Healthcare Institute Total number of participants: 1200 Percentage male: 61.6% Diabetes type: 95% type 2 Average age (SD): 51.1 yrs (10.9) Inclusion criteria: adult health plan members with diabetes overdue for routine testing (sample limited to individuals with no insurance claim for a dilated eye examination in the prior year and no claim for 1 or more of the following tests: HbA1c, LDL cholesterol, or microalbumin) Exclusion criteria: NR
Interventions	Intervention (n=600): a computerised telephone system placed 3 calls to the participant's home, encouraging the participant to fulfil recommended testing. The automated system offered a live telephone call back to assist in scheduling tests and also offered to send participants the following items: 1) a voucher that would allow the provider to waive the co-payment for a dilated eye examination; 2) an educational nutrition video; 3) a cookbook; or 4) a pill box Comparator (n = 600): usual care (not specified) Duration: 12 months
Outcomes	Primary outcome: attendance for a dilated fundus examination Secondary outcomes: tests for glycaemia, hyperlipidaemia, and nephropathy Baseline screening attendance (control group): 0%

Notes	Date conducted: 2006 Trial registration number: NCT00790530 Sources of funding: ADA, Harvard Pilgrim Health Care Institute Declaration of interest: none declared Outcome data obtained from Supplementary Figure 2 (online supplementary appendix)	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "Compared with the usual care group, the intervention group was younger (50 vs. 52 years, P=0.02) and had a greater proportion of men (64 vs.41%, P=0.04); the groups were comparable on other socio-demographic measures and clinical indicators as shown in supplementary Table 2." p 1453 Judgement comment: baseline differences unlikely to influence outcome
Incomplete outcome data addressed?	Low risk	Judgement comment: no missing data
Knowledge of allocated intervention prevented?	Low risk	Judgement comment: outcomes were obtained from automated clinical administrative databases
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received telephone intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Methods	Study aim: to evaluate the effect of adding pharmacists to the primary care team on the management of patients with type 2 diabetes Study design: parallel-group RCT
Participants	Country: Canada Setting: 2 public family medicine clinics (primary care) Total number of patients: 260 Percentage male: 42.7% Diabetes type: type 2 Average age (SD): 59.1 yrs (11.6) Inclusion criteria: patients were eligible if they had type 2 diabetes, were regularly seen by the primary care team, and did not qualify for urgent specialist referral and assessment Exclusion criteria: patients who were followed in specialty clinics for diabetes, hypertension, or dyslipidaemia; who were cognitively impaired; who were not responsible for their own medication administration; or who were unable to communicate in English
Interventions	Intervention (n = 131): pharmacists performed medication assessments and limited history and physical examinations and provided guideline-concordant recommendations to optimise medication management Comparator (n = 129): usual care (not specified) Duration: 12 months
Outcomes	Primary outcome: achievement of a clinically-important reduction in blood pressure, defined as a 10% decrease in systolic blood pressure at 1 year Secondary outcomes: absolute change in SBP from baseline to 1 year, achievement of recommended blood pressure targets (< 130/80 mmHg), and antihypertensive medication changes. Healthcare-related contacts during the study period (including visits to an ophthalmologist or optometrist) Baseline screening attendance (control group): NR
Notes	Date conducted: 2009 Trial registration number: ISRCTN97121854 Sources of funding: Canadian Diabetes Association, the Institute of Health Economics, and the Alberta Heritage Foundation for Medical Research Declaration of interest: none declared

Risk of bias

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "A central randomization service (www.epicore.ualberta.ca) provided computer generated random sequences stratified by the primary care clinic for treatment allocation." p 21
Adequate allocation concealment?	Low risk	Quote: "Pharmacists, analysts, and investigators were unaware of the block size and allocation sequence to preserve allocation

Simpson 2011 (Continued)

		concealment.” p 21
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: “Baseline characteristics were well balanced between the groups (Table 1).” p 23
Incomplete outcome data addressed?	Low risk	Quote: “There were no differences in age, sex, diabetes duration, or baseline blood pressure between the patients who did or did not complete the study.” p 22 Judgement comment: intention-to-treat analysis analysis and reasons for losses to follow-up provided and balanced across study arms
Knowledge of allocated intervention prevented?	Unclear risk	Judgement comment: not clear whether eye-screening outcome assessors were masked
Protected against contamination?	High risk	Quote : “.. there was the possibility of “contamination” or “cointervention” because both intervention and control patients were drawn from the same primary care team.” p 25
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry IS-RCTN97121854
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Sonnichsen 2010

Methods	<p>Study aim: to evaluate whether a disease management programme consisting of physician and patient education, standardised documentation and therapeutic goals improves metabolic control (HbA1c) and quality of care for adults with type 2 diabetes managed in primary care</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: Austria</p> <p>Setting: primary care practices with a contract with the public health insurance in Austria (province of Salzburg)</p> <p>Number of clusters: 6</p> <p>Number of providers: 92</p> <p>Total number of patients: 1494</p> <p>Percentage male: 52.2%</p>

	Diabetes type: type 2 Average age (SD): 65.5 yrs (10.4) Inclusion criteria: all patients with type 2 diabetes willing to participate in the study Exclusion criteria: dementia/psychiatric illness with inability to participate or to give informed consent	
Interventions	Intervention (3 clusters, n = 654): Disease Management Programme (DMP) containing the following modules: <ul style="list-style-type: none">• standardised documentation of physical examination, laboratory findings, and diabetes complications in a DMP-form once a year• structured interdisciplinary care according to the guidelines of the Austrian Diabetes Association• agreement on therapeutic goals in a shared patient-physician decision-making process at 3-monthly intervals Comparator (3 clusters, n = 840): usual care (not specified) Duration: 12 months	
Outcomes	Primary outcome: change in HbA1c from baseline to 12 months Secondary outcomes: improvement in systolic or diastolic blood pressure, lipids, and BMI; measures of process quality including the frequency of HbA1c measurements, eye and foot examinations; participation in patient education Baseline screening attendance (control group): NR	
Notes	Date conducted: 2008 Trial registration number: ISCTN27414162 Sources of funding: Paracelsus Medical University, Public Health Insurance of Salzburg, Salzburg Savings Bank, Roche Diagnostics Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "...cluster-randomisation at the level of the districts was performed with computerised sequence generation." p 4
Adequate allocation concealment?	Low risk	Quote: "To assure concealment of allocation at the physician level, GPs and internists were not told whether they would be in the intervention or the control group until after obtaining their consent to participate." p 4
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "Baseline data are shown in table 2. There were no significant differences between the intervention and the control

		group except for BMI and cholesterol, with intervention patients being slightly heavier and having higher cholesterol levels than controls.” p 4 Judgement comment: baseline differences unlikely to influence outcome
Incomplete outcome data addressed?	High risk	Judgement comment: intention-to-treat (ITT) and per-protocol analysis. For ITT, after randomisation, 6 GP practices withdrew before recruiting participants, and 5 in intervention group were excluded since they withdrew consent and did not provide baseline values. The trialists excluded these values and considered it an ITT
Knowledge of allocated intervention prevented?	High risk	Quote: “As typical for pragmatic trials, blinding was not possible and the knowledge of being in the intervention or control group may have influenced the result.” p 8
Protected against contamination?	Low risk	Judgement comment: allocation by primary care practice and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry ISCTN27414162
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Steyn 2013

Methods	Study aim: to evaluate the effect introducing a structured clinical record (with embedded national guideline recommendations) and training of healthcare providers in its use, on the quality of care for diabetes and hypertension Study design: cluster-RCT
Participants	Country: South Africa Setting: public sector primary healthcare clinics (Community Health Centres) in working class residential area in Cape Town Number of clusters: 18 Number of providers: NR Total number of patients: 456 Percentage male: 26.1% Diabetes type: types 1 and 2 (92% type 2) Average age (SD): 58.3 yrs (10.9)

	Inclusion criteria: ≥ 15 years; a documented attendance at the particular community health clinic with at least 4 visits during the previous year for hypertension or diabetes; and having received treatment for these conditions at each visit Exclusion criteria: unable to provide answers to a questionnaire	
Interventions	Intervention (9 clusters, n = 229 participants): multicomponent intervention consisting of: <ul style="list-style-type: none">• structured record, which incorporated the National Guidelines for the management of patients with diabetes or hypertension• physician educational package consisted of an outreach visit by a recognised local diabetes and hypertension expert Comparator (9 clusters, n = 217 participants): usual care (guidelines passively disseminated by the National Department of Health) Duration: 12 months	
Outcomes	Primary outcome: mean level of HbA1c Secondary outcomes: proportion of participants with diabetes BP < 130/85 mmHg) ; proportion with uncontrolled glycaemia (% with HbA1c > 7%) ; proportions of participants with recorded examinations for complications (retinopathy, nephropathy, foot problems) Baseline screening attendance (control group): 8.8%	
Notes	Date conducted: 2000 Trial registration number: Pan African Clinical Trial Registry (www.pactr.org) PACTR201303000493351 Sources of funding: South African Medical Research Council; unrestricted grant from Hoechst, Marion, Roussel Declaration of interest: 1 author (NL) received honoraria from Novartis and travel support from Novo Nordisk, Eli Lilly Laboratories and Sanofi Aventis; all other authors reported no conflict of interest	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: “Study clinics were randomly allocated, by stratum, to intervention or control using a computer-generated list of random numbers.” p 3
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation at the level of the primary care practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar rates of eye examinations between arms at baseline (intervention 18%, control 9%)

Steyn 2013 (Continued)

Similar baseline characteristics?	Low risk	Judgement comment: similar baseline characteristics (Table 1 p 5)
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition and reasons for missing data provided
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: allocation by primary care practice and it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Taylor 2003

Methods	<p>Study aim: to evaluate the efficacy of a nurse-care management system designed to improve outcomes in patients with complicated diabetes</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: a medical centre in Santa Clara, California</p> <p>Total number of participants: 169</p> <p>Percentage male: 53%</p> <p>Diabetes type: type 1 and type 2</p> <p>Average age (SD): 55.1 yrs (10.2)</p> <p>Inclusion criteria: patients with an HbA1c > 10.0% and an ICD-9-based diagnosis of diabetes and hypertension, dyslipidaemia, or CVD</p> <p>Exclusion criteria: did not speak English; not willing or able to participate in the group sessions once a week for 4 weeks; had congestive heart failure as their primary diagnosis; were < 18 years of age; were pregnant; were enrolled in a diabetes management clinic; or fell into the "other" category (e.g. living too far away/moving, deceased, or no-show to baseline appointment)</p>
Interventions	<p>Intervention (n = 84): participants met with a nurse-care manager to establish individual outcome goals, attended group sessions once a week for up to 4 weeks, and received telephone calls to manage medications and self-care activities</p> <p>Comparator (n = 85): usual care (under the treatment of their primary care physician. Each participant received a folder containing diabetes pamphlets and sheet of instructions encouraging them to maintain contact with their personal physician and to attend general diabetes education classes at their medical centre)</p> <p>Duration: 12 months</p>

Outcomes	Primary outcome: % of participants meeting process outcome goals at 12 months (including self-reported dilated eye exam); number of physician visits during the study period Secondary outcomes: participant and physician views regarding the intervention Baseline screening attendance (control group): 71.2%	
Notes	Date conducted: 2000 to 2001 Trial registration number: NR Sources of funding: Robert Wood Johnson Foundation Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors’ judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Note reported
Similar baseline outcome measurements?	Low risk	Judgement comment: similar % of reported dilated eye exams across arms
Similar baseline characteristics?	Low risk	Quote: “The demographics of the 169 patients enrolled in the study can be seen in Table 1. There were no differences between usual care and intervention subjects for any of these variables.” p 1060
Incomplete outcome data addressed?	Unclear risk	Judgement comment: missing data approx. 20% in intervention group and 17% for comparator group (due to dropping out or being lost to follow-up). Unclear if missing data would influence outcome
Knowledge of allocated intervention prevented?	Low risk	Quote: “All eligible patients met with a research assistant blinded to the subject’s random assignment for baseline and follow-up assessments at 1 year.” p 1059
Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Varney 2014

Methods	Study aim: to measure the effect of a 6-month telephone coaching intervention on glycaemic control, risk factor status and adherence to diabetes management practices Study design: parallel-group RCT	
Participants	Country: Australia Setting: hospital diabetes clinic Total number of participants: 94 Percentage male: 68% Diabetes type: type 2 Average age (SD): 61.5 yrs (NR) Inclusion criteria: adults with type 2 diabetes with HbA1c >7% Exclusion criteria: patients who were unable to provide informed consent, non-English speaking, cognitively impaired, receiving palliative care, severely hearing impaired or without telephone access	
Interventions	Intervention (n = 47): usual care plus intensive telephone coaching 6 months duration by a dietician experienced in type 2 diabetes management. Participants received an average of 6 sessions Comparator (n = 47): usual care (consisting of attendance at the diabetes clinic 3 - 6-monthly with GP visits as required) Duration: 6 months	
Outcomes	Primary outcome: HbA1c at 6 months, adjusted for baseline value Secondary outcomes: adjusted mean HbA1c at 12 months, as well as 6- and 12-month adjusted mean fasting glucose, lipids, BP, weight, waist circumference, BMI, physical activity and Kessler Psychological Distress Scale score. Participants were asked researcher-generated questions to determine adherence to guidelines recommending annual foot examinations, biennial eye examinations, annual influenza vaccinations, pneumococcal vaccination every 5 or 10 years and smoking cessation Baseline screening attendance (control group): 87.2%	
Notes	Date conducted: NR Trial registration number: ACTRN12609000075280 (www.anzctr.org.au) Sources of funding: St Vincent's Hospital Research Endowment Fund Declaration of interest: none declared Additional outcome data obtained from the author	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "A researcher, not involved in recruitment, randomised participants into intervention and control groups. Computer-generated block randomisation was undertaken to obtain a one-to-one balanced design." p 891

Varney 2014 (Continued)

Adequate allocation concealment?	Low risk	Quote: "Allocation blinding was maintained until randomisation, after which participants and the principal researcher were informed of randomisation outcome." p 891
Similar baseline outcome measurements?	Low risk	Judgement comment : no differences in baseline eye examinations (see Table 1 p 893)
Similar baseline characteristics?	Low risk	Quote: "Study participants differed from the population attending the diabetes clinic in the recruitment period, being younger 61.4 (59.2-63.5) versus 64.1 years (63.2-65.0, $P = 0.02$), and being less likely to require an interpreter, 0% versus 29%, $P < 0.001$, reflecting the study's inclusion criteria." p 892 Judgement comment : baseline difference unlikely to influence outcome
Incomplete outcome data addressed?	High risk	Judgement comment: approximately 25% attrition at 12 months which may have biased the results
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the telephone coaching intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: trial retrospectively registered and so not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Vidal-Pardo 2013

Methods	Study aim: to evaluate the effect of an educational intervention among primary care physicians on several indicators of good clinical practice in diabetes care Study design: cluster-RCT
Participants	Country: Spain Setting: primary care physicians in Galicia (north-west Spain) Number of clusters: 108 Number of providers: 108

	Total number of patients: 2938 Percentage male: 52.4% Diabetes type: type 2 Average age (SD): NR Inclusion criteria: patients aged ≥ 40 years with more than 1 year of diagnosis of type 2 diabetes Exclusion criteria: women with gestational diabetes	
Interventions	Intervention (58 clusters, n = 1437 participants): educational intervention comprising (a) distribution of educational materials; (b) physicians' specific bench-marking information (audit and feedback); (c) an on-line course and 3 on-site educational workshops on diabetes Comparator (50 clusters, n = 1501 participants): usual care (not specified) Duration: 6 months	
Outcomes	Primary outcome: measurement of risk factors (HbA1c; BP; LDL cholesterol); processes of care including annual eye examination Secondary outcomes: NR Baseline screening attendance (control group): 25.1%	
Notes	Date conducted: 2009 Trial registration number: NR Sources of funding: unrestricted grant from Merck Sharp & Dohme (MSD) and the Fundacion Escola Galega de Administracion Sanitaria (FEGAS) Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation at the level of the primary care physician and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar rates of eye examinations between arms at baseline (Table 3 p 755)
Similar baseline characteristics?	Low risk	Quote: "Table 2 compares the groups of patients. Differences between the intervention and control groups are slight and not statistically significant, except for some variables at baseline such as family history of ischaemic heart disease, personal history of prior coronary revascularisation, presence of neuropathy and insulin use." p 753

Vidal-Pardo 2013 (Continued)

		Judgement comment: small baseline differences unlikely to influence outcome
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition and balanced between study arms
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	High risk	Judgement comment: possibility of contamination as control and intervention physicians worked in the same healthcare system
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Wagner 2001

Methods	<p>Study aim: to evaluate the impact of primary care group visits (chronic care clinics) on the process and outcome of care for diabetic patients</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: USA</p> <p>Setting: primary care clinics in the Group Health Cooperative in western Washington</p> <p>Number of clusters: 35</p> <p>Number of providers: NR</p> <p>Total number of patients: 707</p> <p>Percentage male: 53.4%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 60.7 yrs (NR)</p> <p>Inclusion criteria: all diabetic patients ≥ 30 yrs of age</p> <p>Exclusion criteria: patients who were terminally ill, demented or psychotic, or otherwise not able to participate in the study</p>
Interventions	<p>Intervention (14 clusters, n = 278 participants): participants invited to attend a half-day chronic care clinic at their primary care clinic in groups of approx. 8 diabetic patients at intervals of 3 - 6 months. Each chronic care clinic group visit consisted of: individual visits with the primary care physician, nurse, and clinical pharmacist; and a group educational/ peer support session. Self-management support was also provided through one-on-one counselling with the practice nurse</p> <p>Comparator (21 clusters, n = 429 participants): usual care (not specified)</p> <p>Duration: 24 months</p>

Outcomes	Primary outcome: processes of diabetes care and satisfaction of intervention and control patients at baseline and at 24 months Secondary outcomes: HRQOL using the SF36 Baseline screening attendance (control group): 62.2%	
Notes	Date conducted: NR Trial registration number: NR Sources of funding: Robert Wood Johnson Foundation Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by primary care practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Low risk	Judgement comment: similar % of baseline retinal exams across arms
Similar baseline characteristics?	Low risk	Quote: "Table 1 shows that there were no significant demographic, treatment, or health status differences between groups." p 697
Incomplete outcome data addressed?	High risk	Quote: "Completed follow-up responses were obtained from 87% of surviving intervention patients and 79% of surviving control patients." p 697 Judgement comment: imbalance in missing data could have influenced outcome
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Methods	<p>Study aim: to study the impact of a tailored telephone intervention compared to a standard print intervention on screening for diabetic retinopathy in an urban minority population</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: 3 inner city health centres</p> <p>Total number of participants: 635</p> <p>Percentage male: 39.5%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 56.6 yrs (12.5)</p> <p>Inclusion criteria: aged > 18 years, diagnosed with diabetes, able to speak and read (or be read to in) English or Spanish, capable of providing informed consent, have access to a telephone, and report not having had a dilated fundus examination in the previous 12 months</p> <p>Exclusion criteria: no access to a telephone; unable to speak English or Spanish; fundus examination in the previous 12 months</p>
Interventions	<p>Intervention (n = 326): tailored telephone intervention to promote retinopathy screening (up to 7 calls over a 6-month period). Participants were interviewed to identify issues and barriers that might either motivate them or prevent them from going for a dilated fundus examination. Attempts were made to engage all participants with targeted self-management strategies and dilated fundus examination education, and they were encouraged to make a screening appointment if they indicated they were ready to change</p> <p>Comparator (n = 309): participants were sent a printed booklet on preventing diabetic eye problems</p> <p>Duration: 6 months</p>
Outcomes	<p>Primary outcome: documentation of a dilated fundus examination within 6 months of randomisation</p> <p>Secondary outcomes: factors that contribute to receiving a dilated fundus examination within 6 months for participants in the tailored telephone intervention. HbA1c results, from a 1-year period encompassing the participant's 6-month intervention period</p> <p>Baseline screening attendance (control group): 0%</p>
Notes	<p>Date conducted: 2001 to 2005</p> <p>Trial registration number: NR</p> <p>Sources of funding: National Institute of Health, Rockefeller Foundation</p> <p>Declaration of interest: none declared</p>

Risk of bias

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported

Walker 2008 (Continued)

Similar baseline characteristics?	Low risk	Quote: "There were no significant differences between the two study groups on any characteristics." p 188
Incomplete outcome data addressed?	Low risk	Judgement comment: proportion of missing data low and balanced between intervention and control groups
Knowledge of allocated intervention prevented?	Low risk	Quote: "The trained chart auditor was masked to the subjects' group assignment." p 186
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the tailored telephone intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Ward 1996

Methods	<p>Study aim: to evaluate the impact of audit and feedback to general practitioners on the quality of their management of type 2 diabetes</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: Australia</p> <p>Setting: Western Australia metropolitan general practices</p> <p>Number of clusters: 139</p> <p>Number of providers: 139</p> <p>Total number of patients: 386</p> <p>Percentage male: NR</p> <p>Diabetes type: type 2</p> <p>Average age (SD): NR</p> <p>Inclusion criteria: NR</p> <p>Exclusion criteria: NR</p>
Interventions	<p>Intervention (doctor interview) (clusters NR, n = 130 participants): each doctor was sent data by post on their management of patients compared to those of all doctors on the project along with a recommended standard. This was followed by an interview with an academic general practitioner to discuss their results using an interview proforma</p> <p>Intervention (nurse interview) (clusters NR, n = 121 participants): in addition to receiving their postal data, the doctor was interviewed by a state registered nurse to discuss their results using the same interview proforma</p> <p>Comparator (no interview)(clusters NR, n = 135 participants): each doctor was sent</p>

	their data by post only Duration: 12 months	
Outcomes	Primary outcome: 21 process outcomes on the Diabetic Healthcare Checklist (DHC), including eye examination (or referral to an ophthalmologist) Secondary outcomes: NR Baseline screening attendance (control group): 29.6%	
Notes	Date conducted: NR Trial registration number: NR Sources of funding: NR Declaration of interest: NR	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Unclear risk	Not reported
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by general practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	High risk	Judgement comment: baseline differences in annual eye exams (29.6% comparator group, 23.1% doctor interview group, 19.8%, nurse interview group). See Table 1 p 145
Similar baseline characteristics?	Unclear risk	Judgement comment: unclear if baseline differences in process of care influence outcome
Incomplete outcome data addressed?	Low risk	Judgement comment: data from all participants available for analysis
Knowledge of allocated intervention prevented?	High risk	Judgement comment: 1 of the outcome assessors was the research nurse who conducted the nurse interviews in 1 arm of the trial and was therefore unmasked
Protected against contamination?	Low risk	Judgement comment: control group unlikely to have received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess

Ward 1996 (Continued)

Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias
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Weiss 2015

Methods	Study aim: to test the impact of a home-based behavioural activation programme to improve rates of dilated fundus examinations in older African Americans with diabetes Study design: parallel-group RCT	
Participants	Country: USA Setting: 2 urban medical centres Total number of participants: 206 Percentage male: 39.5% Diabetes type: type 2 Average age (SD): 72.7 yrs (6.2) Inclusion criteria: aged ≥ 65 years, self-identification as an African-American individual, diagnosis of type 2 diabetes mellitus, no self-report or medical documentation of a dilated fundus examination in the past 12 months, and access to a telephone Exclusion criteria: cognitive impairment (based on an abbreviated version of the Mini-Mental State Examination), current significant psychiatric disorder, current medical disorder limiting life expectancy, need for dialysis, and hearing impairment that precluded research participation	
Interventions	Intervention (n = 103): behavioural intervention delivered by specially-trained community health worker. Intervention consisted of education, identifying barriers to a dilated fundus examination and action-planning Comparator (n = 103): supportive therapy only without educational materials or behavioural strategies or goal-setting Duration: 6 months	
Outcomes	Primary outcome: medical documentation of a dilated fundus examination by the 6-month follow-up visit Secondary outcomes: risk perceptions of diabetes, diabetes self-care behaviours, depressive symptoms Baseline screening attendance (control group): 0%	
Notes	Date conducted: October 2010 to May 2013 Trial registration number: NCT01179555 Sources of funding: Pennsylvania Department of Health Declaration of interest: none declared	
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "...participants who completed the baseline assessment were randomized using random permuted blocks with a 1 to1 allo-

		cation ratio to BADRP or supportive therapy (ST).” p 1006
Adequate allocation concealment?	Low risk	Quote: “Randomization sheets were stored in sequentially numbered sealed envelopes that were opened by the project director after each participant completed baseline assessment.” p 1006
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: “The 2 arms were balanced with respect to age, education, sex, recruitment site, and marital status. Differences on the Risk Perceptions and Risk Knowledge Survey of Diabetes Mellitus, Diabetes Self-Care Inventory, Patient Health Questionnaire, Literacy Assessment for Diabetes, and the NEI-VFQ 25 composite scores that may have influenced the primary outcome were not identified. Participants in the BADRP group had lower HbA1c levels and chronic disease scores at baseline.” p 1008
Incomplete outcome data addressed?	Low risk	Judgement comment: attrition (approx. 10%) balanced across groups and reasons for exclusion given (see CONSORT diagram p 1008)
Knowledge of allocated intervention prevented?	Low risk	Quote: “Follow-up assessments were conducted in participants’ homes at 6 months’ follow-up by community health workers masked to treatment assignment.” p 1007
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the behavioural intervention
Free from selective outcome reporting?	High risk	Judgement comment: per-protocol analysis. Participants who had not received the intervention were excluded from the analysis
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

Methods	<p>Study aim: to evaluate the clinical usefulness of a nurse-led diabetes care programme for poorly-controlled Hispanic type 2 diabetes patients</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: a single urban community healthcare centre in Springfield, Massachusetts</p> <p>Total number of patients: 46</p> <p>Percentage male: 33%</p> <p>Diabetes type: type 2</p> <p>Average age (SD): 55.8 yrs (10)</p> <p>Inclusion criteria: duration of type 2 diabetes of at least 1 year based on medical record review and treatment history; age 30 - 85 years; HbA1c > 7.5% within the past 3 months but not > 14%; Hispanic ethnicity; independently living and ambulatory</p> <p>Exclusion criteria: severe diabetes complications, severe psychiatric illness, or severe visual restrictions, or would not be available for the study period (e.g. leaving the area, pregnant or planning to become pregnant)</p>
Interventions	<p>Intervention (n = 25): 7 x 1-hour diabetes care visits over a 12-month period conducted by a bicultural/bilingual diabetes nurse and dietician team (both certified diabetes educators). Use of CDMP diabetes care management software that provides tools for continuous care and contact between patients and their providers. Participants in the intervention group also received diabetes eye screening using the Diabetes Eye Care and Treatment (DECAT) programme using the clinically-validated Joslin Vision Network (JVN) protocol</p> <p>Comparator ('attention control')(n = 21): diabetes education intervention consisting of 7 x 1-hour visits over a 12-month period conducted by bicultural/bilingual clinic support staff who also encouraged participants to formulate diabetes-related questions for discussion with their primary care provider at the next scheduled primary care visit</p> <p>Duration: 12 months</p>
Outcomes	<p>Primary outcome: adherence to national clinical practice guidelines (blood glucose, blood pressure, foot exam, eye exam), and levels of diabetes distress, depression, and treatment satisfaction</p> <p>Secondary outcomes: NR</p> <p>Baseline screening attendance (control group): NR</p>
Notes	<p>Date conducted: NR</p> <p>Trial registration number: NR</p> <p>Sources of funding: Baystate Medical Center Academic Affairs Internal Research Grant</p> <p>Declaration of interest: NR</p>

Risk of bias

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Participants were randomly assigned to the CDMP intervention group (IC) or the attention control group (AC) by a fair coin toss." p 682

Welch 2011 (Continued)

Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "There were no differences between groups at baseline except for marital status (P = .04) (Table 1)." p 684
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition and balanced between study arms
Knowledge of allocated intervention prevented?	Unclear risk	Judgement comment: not clear whether eye-screening outcome assessors were masked
Protected against contamination?	High risk	Quote: "the diabetes educators in the intervention condition trained and supervised the attention control clinical staff." p 687
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess
Other risks of bias?	Low risk	Judgement comment: no evidence of other sources of bias

Zangalli 2016

Methods	<p>Study aim: to evaluate the effectiveness of a multifaceted intervention with personal communication to improve dilated fundus examination follow-up adherence among those who are less likely to adhere</p> <p>Study design: parallel-group RCT</p>
Participants	<p>Country: USA</p> <p>Setting: tertiary eye clinic</p> <p>Total number of participants: 522</p> <p>Percentage male: 34%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 61 yrs (13.0)</p> <p>Inclusion criteria: eligible participants were > 18 years of age; had no, mild, or moderate DR; were recommended for a follow-up dilated fundus examination; and had not previously scheduled a follow-up visit</p> <p>Exclusion criteria: NR</p>
Interventions	<p>Intervention (n = 262): intervention group received a personalised reminder letter with a 1-page brochure about diabetic retinopathy 1 month prior to the recommended visit. 2 weeks later, a research assistant called participants to offer personal assistance with scheduling an appointment. For participants who made an appointment, a reminder</p>

	<p>letter was mailed 3 weeks prior to the scheduled appointment. Participants also received automated reminder calls the day before the scheduled appointment</p> <p>Comparator (n = 260): usual care (consisting of participants receiving a reminder letter 1 month prior to the recommended follow-up date. Participants received no active assistance with scheduling appointments. Participants who made appointments received automated reminder calls the day before scheduled appointments)</p> <p>Duration: 6 months</p>
Outcomes	<p>Primary outcome: attendance at a follow-up appointment within 3 months of suggested return date</p> <p>Secondary outcomes: barriers to care use</p> <p>Baseline screening attendance (control group): NR</p>
Notes	<p>Date conducted: April to October 2012</p> <p>Trial registration number: NR</p> <p>Sources of funding: Centers for Disease Control and Prevention</p> <p>Declaration of interest: none declared</p>

Risk of bias

Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Participants were randomized to usual care or intervention within age strata (≥ 65 and < 65 years) using the method of random permuted blocks with block sizes of 2, 4, and 6." p 2
Adequate allocation concealment?	Unclear risk	Not reported
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "Participants in the intervention and control groups had similar baseline characteristics with regard to sex, ethnicity, and age." p 3
Incomplete outcome data addressed?	Low risk	Judgement comment: low attrition and balanced across groups
Knowledge of allocated intervention prevented?	Unclear risk	Not reported
Protected against contamination?	Low risk	Judgement comment: it is unlikely that the control group received the intervention
Free from selective outcome reporting?	Unclear risk	Judgement comment: no protocol or trial registry entry available and therefore not possible to assess

Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias
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Zwarenstein 2014

Methods	<p>Study aim: to evaluate the effectiveness of printed educational messages aimed at family doctors on rates of retinal screening attendance amongst patients with diabetes</p> <p>Study design: cluster-RCT</p>
Participants	<p>Country: Canada</p> <p>Setting: Primary care (family physicians)</p> <p>Total number of clusters: 4282</p> <p>Number of providers: 5048</p> <p>Total number of patients: 179,833</p> <p>Percentage male: 51.2%</p> <p>Diabetes type: NR</p> <p>Average age (SD): 61.7 yrs (13.1)</p> <p>Inclusion criteria: patients diagnosed with diabetes who were at least 30 years old and visited 1 of the target family practitioners within 1 year of the intervention mail-out</p> <p>Exclusion criteria: patients who had already had an eye examination in the 9 months immediately prior to the office visit</p>
Interventions	<p>Alternative printed educational messages (PEM) containing prompts to encourage diabetic retinopathy screening were mailed to each family physician in conjunction with a widely-read professional newsletter (<i>Informed</i>)</p> <p>Intervention arm 1 (1066 clusters): PEM consisting of a 2-page insert, indistinguishable from the rest of <i>Informed</i> in size and style (the 'insert'). The insert contained a concise summary of an evidence-based guideline and references</p> <p>Intervention arm 2 (535 clusters): (PEM) consisting of a short directive message on a postcard-sized card ('outsert') stapled to the front page of <i>Informed</i></p> <p>Intervention arm 3 (536 clusters): PEM 'outsert' and supplied with a pad of sticky take-home reminders (aimed at patients, to remind them to make an appointment for an eye exam), to be given to participants</p> <p>Intervention arm 4 (535 clusters): PEM 'insert' and 'outsert'</p> <p>Intervention arm 5 (533 clusters): PEM 'insert' and 'outsert' and take-home reminders</p> <p>Comparator (1077 clusters): newsletter without the PEM</p> <p>Duration: 3 months</p>
Outcomes	<p>Primary outcome: whether or not an eligible trial patient received an eye exam within 90 days of their first family practitioner visit</p> <p>Secondary outcomes: the impact of patient age on the uptake of eye exams</p> <p>Baseline screening attendance (control group): NR</p>
Notes	<p>Date conducted: 2005 to 2006</p> <p>Trial registration number: NCT00210275</p> <p>Sources of funding: Canadian Institutes for Health Research, Institute for Clinical Evaluation Sciences</p> <p>Declaration of interest: none declared</p>

Study protocol has been published: www.ncbi.nlm.nih.gov/pubmed/18039361		
<i>Risk of bias</i>		
Bias	Authors' judgement	Support for judgement
Adequate sequence generation?	Low risk	Quote: "Practices were randomly assigned to an intervention group by the study statistician, using computer generated random numbers." p 2
Adequate allocation concealment?	Low risk	Judgement comment: unit of allocation by GP practice and allocation performed prior to the start of the study
Similar baseline outcome measurements?	Unclear risk	Not reported
Similar baseline characteristics?	Low risk	Quote: "There were small, clinically unimportant, differences between the demographics of patients with diabetes who paid a visit to a study physician and those who did not, and between those who were and were not included in the analysis (Table 2)." p 5
Incomplete outcome data addressed?	Low risk	Judgement comment: data from all clusters reported
Knowledge of allocated intervention prevented?	Low risk	Judgement comment: outcomes were obtained from routinely-collected data
Protected against contamination?	Low risk	Judgment comment: allocation by cluster and unlikely that the control group received the intervention
Free from selective outcome reporting?	Low risk	Judgement comment: reported outcomes consistent with trial registry NCT00210275
Other risks of bias?	Low risk	Judgement comment: no evidence of other risks of bias

ADA: American Diabetes Association
ADAP: Annual Diabetes Assessment Program
BMI: body mass index
BP: blood pressure
CHW: community health worker
DR: diabetic retinopathy

HbA1c: glycaemic haemoglobin
HCC: hierarchical condition category
HMO: Health Maintenance Organisation
HRQOL: health-related quality of life
LDL: low-density lipoprotein
QI: quality improvement
SBP: systolic blood pressure

Characteristics of excluded studies *[ordered by study ID]*

Study	Reason for exclusion
Abraira 2003	No data on retinopathy screening attendance
Aleo 2015	No data on retinopathy screening attendance
Alfadda 2011	Not RCT
Anderson 2003a	Not RCT
Anderson 2010	No data on retinopathy screening attendance
Arora 2014	No data on retinopathy screening attendance
Bellazzi 2004	No data on retinopathy screening attendance
Denig 2014	No data on retinopathy screening attendance
Gangwar 2014	No data available on control group (contacted author)
Gary 2004	No data on retinopathy screening attendance
Harris 2013	Not RCT
Hazavehei 2010	Evaluated intentions to attend for retinopathy screening rather than attendance
Hollander 2005	Not RCT
Jones 2006	Not RCT
Kuvaja-Kollner 2013	Not RCT
Lewis 2007	Qualitative study. No data on retinopathy screening attendance
Maberley 2003	Health economic paper. No data on retinopathy screening attendance
Mangione 2006	Not RCT

(Continued)

Mazzuca 1988	No data on retinopathy screening attendance
McCulloch 1998	Not RCT
Montori 2002	Not RCT
Montori 2004	Not RCT
Peters 1998	Not RCT
Polak 2003	Health economic paper. No data on retinopathy screening attendance
Rees 2013	No data on retinopathy screening attendance
Samoutis 2010	Not RCT
Schectman 2004	Not RCT
Shah 2014	No data on retinopathy screening attendance
Shea 2006	No data on retinopathy screening attendance
Solorio 2015	Not RCT
Thoolen 2008	No data on retinopathy screening attendance
Wagner 2008	Knowledge of diabetic retinopathy rather than attendance
Weston 2008	Used vignettes rather than real patients
Young 2014	No data on retinopathy screening attendance

Characteristics of ongoing studies *[ordered by study ID]*

[ACTRN12614001110673](#)

Trial name or title	The diabetes and eye health project: increasing eye examinations for adults newly diagnosed with type 2 diabetes
Methods	Parallel-group RCT (Solomon four group design)
Participants	Inclusion criteria: diagnosed with type 2 diabetes in the past 3 years; Australian residents; able to read English; registered with the National Diabetes Services Scheme (NDSS); 1 of either: young adult (aged 18 - 39 years) , or live in rural/regional locations of Victoria, Australia

Interventions	<p>Intervention: printed materials (leaflet) containing persuasive behaviour change messages designed to raise awareness of the importance of maintaining optimal blood glucose and blood pressure levels to minimise the risk of diabetic retinopathy, increase intentions to engage in regular eye examinations and increase self-reported eye examinations. The leaflet will be mailed on a single occasion to study participants</p> <p>Comparator: participants randomised to the usual screening group will be advised by their endocrinologist during their diabetes clinic visit to arrange an eye examination with their usual eye care professional (as in current standard of care)</p>
Outcomes	<p>From www.anzctr.org.au/</p> <p>Primary outcome: self-reported eye health examinations assessed by response to a single questionnaire item ("Since you were diagnosed with diabetes, have you had your eye health checked?"). In order to minimise social desirability bias and any potential confounding influence of question-behaviour effect, the question will be embedded within a suite of standard self-management questions based on information already provided to all new National Diabetes Service Scheme registrants</p> <p>Secondary outcomes: intention to seek eye health examinations assessed by summed response to 3 intention items designed specifically for this purpose</p>
Starting date	September 2014
Contact information	Prof Jane Speight, The Australian Centre for Behavioural Research in Diabetes, 206 Queensberry Street, Melbourne, VIC 3000, Australia. +61 (0)3 8648 1844, jspeight@acbrd.org.au
Notes	

ISRCTN31439939

Trial name or title	The Kilimanjaro Diabetic Programme: the development of a sustainable regional eye health screening programme to prevent blindness among diabetic patients due to diabetic retinopathy
Methods	Parallel-group RCT
Participants	<p>Inclusion criteria: all known adult diabetic patients resident in Kilimanjaro region and attending a diabetic clinic at Kilimanjaro Christian Medical Centre (KCMC) or at 1 of the district diabetic clinics in the 6 rural districts of Kilimanjaro region</p>
Interventions	<p><i>Phase I:</i></p> <p>Intervention group: a digital diabetic retinopathy screening camera will be placed in the diabetic clinic at KCMC</p> <p>Control group: patients will be advised to go to the eye clinic at KCMC for a dilated screening examination by an ophthalmologist</p> <p>All participants will receive 3 information leaflets on diabetic retinopathy and be counselled by the health workers in the diabetic clinic that they should have screening for diabetic retinopathy. Visual acuity measurement will be performed and dilating drops installed by the screening team</p> <p><i>Phase II:</i></p> <p>The retinopathy screening camera will go to all district diabetic clinics twice in the 6-month intervention period. Patients registered at these clinics will all be advised by clinic staff to attend for retinopathy screening. The intervention group will receive a text message by mobile phone advising them of the date of the screening and inviting them to come</p>

ISRCTN31439939 (Continued)

Outcomes	From www.isrctn.com/ Primary outcome: uptake of screening for diabetic retinopathy Secondary outcomes: prevalence of diabetic retinopathy in urban and rural diabetic patients in Kilimanjaro region; prevalence of cataract in urban and rural diabetic patients in Kilimanjaro region
Starting date	10 December 2010 to 31 July 2011
Contact information	Christoffel Blinden, Mission (CBM) e.V., Nibelungenstrasse 124, Bensheim D-64625, Germany
Notes	

ISRCTN87561257

Trial name or title	Individual risk-based screening for diabetic retinopathy (ISDR)
Methods	Parallel-group RCT
Participants	Inclusion criteria: patients aged 12 or above who attend the community clinic for retinal screening
Interventions	Intervention: : personalised risk-based screening intervals Comparator: annual screening intervals (usual care)
Outcomes	From www.isrctn.com/ Primary outcome: comparison of attendance rates for follow-up screening in the 2 arms of the study (non-attendance will be defined as failure to attend 2 appointments for screening (usually within 6 weeks of each other)) Secondary outcomes: number of cases of STDR detected; retinopathy level at screening (Liverpool and NDESP grading); maculopathy level at screening (Liverpool and NDESP grading); number of false positive screening episodes; number of screening appointments; number of dedicated diabetes assessment clinic appointments; number of other eye appointments for diabetic eye disease; visual acuity (logMAR); new visual impairment ($\geq +0.50$ logMAR); new visual impairment due to diabetic retinopathy ($\geq +0.50$ logMAR); number of missed appointments to screening; patient acceptability measures (using a questionnaire designed for the trial); QALYs estimated using EQ-5D-5L and Health Utilities Index Mark 3 (HUI3); cost per QALY gained
Starting date	November 2014 to January 2018
Contact information	ISDR Project Manager, Department of Eye and Vision Science, 3rd Floor University Clinical Department, Royal Liverpool University Hospital, Prescot Street, Liverpool, L7 8XP, UK
Notes	

NCT01212328

Trial name or title	Improving diabetes care: multicomponent cardiovascular disease risk reduction strategies for people with diabetes in South Asia - The CARRS Multi-center Translation Trial
Methods	Parallel-group RCT
Participants	Inclusion criteria: aged 35 years and older with a confirmed diagnosis of diabetes and poor glycaemic control (as evidenced by HbA1c \geq 8.0%) and 1 or both of: dyslipidemia (LDL \geq 130 mg/dl) or SBP \geq 140 mmHg, irrespective of lipid- or BP-lowering medication use
Interventions	Intervention: the participants will receive integrated diabetes care management consisting of current diabetes management guidelines and non-physician care co-ordinator assistance and electronic health records- decision-support software (EHR-DSS) (The software will generate diabetes management prompts for the treating physician and reminders for clinic visits for the intervention arm participants) Comparator: participants will continue with the usual diabetes care with no care co-ordinator assistance and no decision-support software management prompt
Outcomes	From clinicaltrials.gov/ Primary outcome: multiple CVD risk factor control targets (blood glucose and either blood pressure or cholesterol, or all 3) Secondary outcomes: single risk factor control of at least 1 target, either HbA1c or blood pressure or LDL-cholesterol ; process and patient-centered measures; cost-effectiveness analysis of the intervention compared to usual care; prescriber and patient acceptability of the Digital Support software and care coordinator with management guidelines
Starting date	October 2010 to June 2014
Contact information	Kavita Singh, MSc Tel: +91-11-26850118 ext 39 email;kavita@ccdcindia.org
Notes	Trial protocol has been published: www.ncbi.nlm.nih.gov/pubmed/23084280

NCT01351857

Trial name or title	Diabetes care management compared to standard diabetes care in adolescents and young adults with type 1 diabetes (TransClin)
Methods	Parallel-group RCT
Participants	Inclusion criteria: patients between the ages of 17 and 20 years with an established type 1 diabetes diagnosis for a minimum of 1 year
Interventions	From clinicaltrials.gov/ Intervention: a certified diabetes educator will act as a 'Transition Co-ordinator' to provide transition support and the link between paediatric and adult diabetes care. The Transition Co-ordinator is central to the intervention and will provide ongoing contact with the medical system as well as education and clinical support where appropriate Comparator: current standard of care (participants in the control group will transition to adult care equal to the intervention group and will differ only by exclusion of Transition Co-ordinator)

NCT01351857 (Continued)

Outcomes	Primary outcome: proportion of participants who fail to attend at least 1 outpatient adult endocrinology visit during the second year after transition to adult diabetes care Secondary Outcomes: frequency of HbA1C measurement (in the 2-year transfer to adult care); frequency of retinal exam, microalbumin to creatinine ratio, fasting lipid profile and foot exam testing; rate of hospitalisation/ER visits for acute complications of diabetes
Starting date	April 2012 to April 2017
Contact information	Cheril Clarson, MD, London Health Sciences Centre Children's Hospital
Notes	Trial protocol has been published: www.ncbi.nlm.nih.gov/pubmed/24106787

NCT01837121

Trial name or title	A trial of using SMS reminder among diabetic retinopathy patients in rural China (SMS)
Methods	Parallel group RCT
Participants	Inclusion criteria: patients with diabetes with access to a cell phone
Interventions	Intervention: patient will receive a SMS reminder message about the revisit time and venue 1 week and 1 day before the appointment Comparator: usual care
Outcomes	From clinicaltrials.gov/ Primary outcome: non-attendance rate Secondary outcomes: knowledge about diabetic retinopathy; presenting vision in the better-seeing and worse-seeing eyes; vision Loss of 2+ lines of presenting vision in better-seeing eye thought due to diabetic retinopathy; satisfaction with care; number of treatments received for diabetic retinopathy
Starting date	April 2013 to June 2015
Contact information	Nathan G Congdon MD MPH. Blindness Prevention and Treatment Department, Zhongshan Ophthalmic Center
Notes	

NCT02339909

Trial name or title	Incentives in diabetic eye assessment by screening (IDEAS)
Methods	Parallel-group RCT
Participants	Inclusion criteria: diabetic patients (> 16 years) who were invited to screening in the last 24 months on a yearly basis and failed to attend or contact the screening service to rearrange an appointment

NCT02339909 (Continued)

Interventions	<p>Intervention ('Fixed Incentive'): Standard invitation letter from the screening service, with additional text offering a fixed financial incentive (GBP 10) if they attend screening</p> <p>Intervention 'Probabilistic incentive': invitation letter from the screening service, with additional text offering a probabilistic financial incentive (entry into a lottery offering at least a 1 in 100 chance to win GBP 1000) if they attend screening</p> <p>Comparator: standard intervention from the screening service</p>
Outcomes	<p>From clinicaltrials.gov/</p> <p>Primary outcome: attendance at screening appointment at designated appointment date (between 3 months and 1 year)</p> <p>Secondary outcome: outcome from diabetic retinopathy screening</p>
Starting date	March 2015 to January 2016
Contact information	Colin Bicknell, Clinical Senior Lecturer and Consultant Vascular Surgeon, Imperial College London
Notes	Trial protocol has been published: bmcoophthalmol.biomedcentral.com/articles/10.1186/s12886-016-0206-4

NCT02579837

Trial name or title	CLEAR SIGHT: A trial of non-mydratic ultra-widefield retinal imaging to screen for diabetic eye disease
Methods	Parallel-group RCT
Participants	<p>Inclusion criteria: patients with a known diagnosis of Type 1 diabetes for ≥ 5 years or Type 2 diabetes of any duration with at least a 12-month interval since the last screening for diabetic eye disease by an eye-care professional</p>
Interventions	<p>Intervention: on-site screening. Participants randomised to the on-site screening group will be advised by their Endocrinologist during their diabetes clinic visit to arrange an eye examination with their usual eye-care professional (as in current standard of care). In addition they will also undergo:</p> <ul style="list-style-type: none"> • non-mydratic ultra-widefield (UWF) retinal imaging on the same day as their diabetes clinic visit • half of this group will by random allocation undergo optical coherence tomography (OCT) using the Zeiss Cirrus OCT, which may or may not be done on the same day (for practical reasons regarding availability of OCT at the hospital) <p>Comparator: usual screening. Participants randomised to the usual screening group will be advised by their endocrinologist during their diabetes clinic visit to arrange an eye examination with their usual eye-care professional (as in current standard of care)</p>
Outcomes	<p>From clinicaltrials.gov/</p> <p>Primary outcome: proportion of participants with Actionable Eye Disease (AED)</p> <p>Secondary outcomes: screening adherence, determined by (i) the proportions of participants who have screening completed within 12 months of randomisation by the primary screening method, i.e. non-mydratic UWF images (On-site Screening group) or an eye examination by an eye-care professional (Usual Screening group); (ii) for participants in the Onsite Screening group, the proportion who have also had a screening eye examination by an eye-care professional within 1 year of randomisation; proportion of participants with Diabetic Maculopathy (DME)</p>

Starting date	February 2016 to January 2019
Contact information	Nour Abu-Romeh, St. Joseph's Hospital, London, Ontario, Canada, N6A 4V2 Tel: 519-646-6100 ext 65593
Notes	

LDL: low-density lipoprotein

QALY: quality-adjusted life years

SBP: systolic blood pressure

STDR: sight-threatening diabetic retinopathy

DATA AND ANALYSES

Comparison 1. Any quality improvement intervention compared to usual care

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Proportion of participants attending screening	56	329164	Risk Difference (M-H, Random, 95% CI)	0.12 [0.10, 0.14]
1.1 Intervention specifically targeting diabetic retinopathy screening	13	118938	Risk Difference (M-H, Random, 95% CI)	0.17 [0.11, 0.22]
1.2 General intervention to improve the quality of diabetes care	43	210226	Risk Difference (M-H, Random, 95% CI)	0.12 [0.09, 0.15]

Comparison 2. Stepped quality improvement intervention compared to intervention alone

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
1 Proportion of participants attending screening	10	23715	Risk Difference (M-H, Random, 95% CI)	0.05 [0.02, 0.09]
1.1 Intervention specifically targeting diabetic retinopathy screening	3	19698	Risk Difference (M-H, Random, 95% CI)	0.04 [-0.11, 0.19]
1.2 General intervention to improve the quality of diabetes care	7	4017	Risk Difference (M-H, Random, 95% CI)	0.06 [0.02, 0.11]

ADDITIONAL TABLES

Table 1. Illustrative quotations for BCTs used in the included studies

Behaviour change technique (BCT) and abbreviated definitions	Illustrative quotation
Goals and planning	
Goal setting (behaviour) Set or agree a goal defined in terms of the behaviour to be achieved e.g. Set targets for how often patients should attend DRS, or general diabetes self-management, such as frequency of blood glucose testing, amount of carbohydrates to consume at each meal	“Practice nurses planned independent consultations with patients. The monitoring tool guided them through the consultations, and provided the opportunity to help the patient in selecting appropriate, concrete, behavioural goals The monitoring tool addressed clinical parameters (e.g., HbA _{1C} , BP and LDL cholest-

Table 1. Illustrative quotations for BCTs used in the included studies (Continued)

	terol levels), examinations (e.g. food control, neurological tests, and eye examinations), adherence to prescribed drugs, self-care goals, and other recommendations" (Frei 2014 p 1040-1)
<p>Problem solving</p> <p>Analyse, or prompt the person to analyse, factors influencing the behaviour and generate or select strategies that include overcoming barriers and/or increasing facilitators</p> <p>e.g. Support patients to identify reasons for wanting or not wanting to attend DRS, and helping them select potential strategies for overcoming these barriers to screening attendance</p>	<p>"Using a semi structured protocol, the health educator (C.J. H.) offered one-on-one, interactive education and counselling. Having established rapport, she worked to identify and understand each subject's reasons for and /or barriers to having a dilated retinal examination. Focused problem-solving then guided the subject toward making an informed choice about receiving an ophthalmic examination." (Basch 1999, p 1879)</p>
<p>Goal setting (outcome)</p> <p>Set or agree a goal defined in terms of a positive outcome of wanted behaviour</p> <p>e.g. Agree with the patient target HbA1c, blood pressure, or cholesterol level, or target range for blood glucose</p>	<p>"During the case management sessions, patients and providers set management goals that were reasonable to achieve." (Barcelo 2010, p 147)</p>
<p>Action planning</p> <p>Prompt detailed planning of performance of the behaviour</p> <p>e.g. Support the patient to develop a plan for how often they will attend DRS, where the DRS will occur, and how they will get to their appointment</p>	<p>"Behavioural activation for diabetic retinopathy prevention combined the principles of education about diabetes mellitus, behavioural therapy, and the health belief model to assist participants in identifying barriers to obtaining dilated fundus examinations, problems-solving solutions to surmounting barriers, formulating action plans to facilitate dilated retinal examinations, and gauging the success of action plans." (Weiss 2015, p 1007)</p>
<p>Review behaviour goals</p> <p>Review behaviour goal(s) jointly with the person and consider modifying goal(s) or behaviour change strategy in light of achievement</p> <p>e.g. During scheduled diabetic review consultations, discuss with patients how they are progressing with their agreed self-management behavioural goals (e.g. frequency of blood glucose testing, attendance for DRS). Where patients are not meeting agreed goals, either discuss how to adjust goals if needed to increase feasibility, or engage in problem-solving to overcome any barriers to goal attainment</p>	<p>"Care managers were trained to use a patient-centred self-management approach that included review of the medical care needs and self-care goals that the patient identified and brainstorming additional strategies that patients could use to overcome barriers to their goals." (Glasgow 2005, p 35)</p>
<p>Discrepancy between current and goal</p> <p>Draw attention to discrepancies between a person's current behaviour and the person's previously set outcome goals, behaviour goals or action plans</p> <p>e.g. Provide feedback to healthcare professionals on the proportion of patients who have received DRS in the previous 12 months, and compare this against a gold standard for clinical practice based on clinical guidelines</p>	<p>"Physicians in the IG [intervention group] received a monthly report of their care quality with the top 10% quality of diabetes care score for all physicians being the achievable benchmark." (Hayashino 2016, p 1)</p>

Table 1. Illustrative quotations for BCTs used in the included studies (Continued)

<p>Review outcome goal(s) Review outcome goal(s) jointly with the person and consider modifying goal(s) in light of achievement e.g. Review or alter target blood glucose levels towards a more feasible/achievable intermediate target</p>	<p>“The telephone call was structured to first review the patient’s goals, followed by medication use, symptoms, glucose monitoring, blood pressure monitoring and self-management /care activities” (Taylor 2003, p 1059)</p>
<p>Behavioural contract Create a written specification of the behaviour to be performed, agreed by the person, and witnesses by another e.g. Ask the person with diabetes to sign a contract in their self-management plan or diary, undertaking to attend DRS once</p>	<p>Care guides asked patients to sign a contract (which was scanned into the HHR) agreeing to work toward their disease-specific goals. (Adair 2013, p 176)</p>
<p>Commitment Ask the person to affirm or reaffirm statement indicating commitment to change the behaviour e.g. Ask the person with diabetes to verbally affirm or reaffirm that they are committed to attending DRS at the agreed frequency and location</p>	<p>“The initial goal was to elicit a verbal commitment to schedule an eye examination.” (Basch 1999, p 1879)</p>
<p>Feedback and monitoring</p>	
<p>Monitoring of behaviour by others without feedback Observe or record behaviour with the person’s knowledge as part of a behaviour change strategy e.g. Record the proportion of patients who attend for a DRS exam as part of clinical audit, but the results are not fed back to the healthcare professionals whose practice has been audited</p>	<p>“Foot examinations, blood pressure, and eye examinations were recorded on the reminder by clinic staff, collected after the patient visit and entered manually.” (Peterson 2008, p 2239)</p>
<p>Feedback on behaviour Monitor and provide information or evaluative feedback on performance of the behaviour (e.g. form, frequency, duration, intensity) e.g. Provide a feedback report to healthcare professionals, stating the proportion of their patients who have attended a DRS exam, had their blood pressure taken, and had a foot examination</p>	<p>“In addition, diabetic members who did not have a record of a diabetic retinopathy exam received educational materials and a report of their current DRE status directly from the HMO 2 weeks later.” (Halbert 1999, p 753)</p>
<p>Self-monitoring of behaviour Establish a method for the person to monitor and record their behaviour(s) as part of a behaviour change strategy e.g. A person with diabetes maintains a self-management diary in which they record their daily food intake and exercise, and tick off a checklist when they <i>have attended their annual DRS exam</i></p>	<p>“We prepared feedback sheets for adherence to these eight indicators using data from the physicians’ self-report forms, as the physicians monitored and promoted these indicators to improve adherence.” (Hayashino 2015, p 601)</p>
<p>Self-monitoring of outcomes of behaviour Establish a method for the person to monitor and record the outcome(s) of their behaviour as part of a behaviour change strategy e.g. A person with diabetes records in their self-management diary the results of their latest HbA1C result and DRS exam</p>	<p>“In general, case managers were directed to encourage patient self-management, including diet and exercise, provide reminders for recommended screening/tests, help with appointment scheduling; monitoring home glucose and blood pressure levels...” (Krein 2004, p 734)</p>

Table 1. Illustrative quotations for BCTs used in the included studies (Continued)

<p>Monitoring of outcomes of behaviour by others without feedback</p> <p>Observe or record outcomes of behaviour with the person's knowledge as part of a behaviour change strategy e.g. A person attends a DRS exam, but is not provided with the results of the examination</p>	<p>"The nurse case manager used behavioural goals setting, established individualized care plan, provide patient self-management education and surveillance of patients...ordered protocol-driven laboratory tests, tracked the outcomes using the computerized data registry..." (Gabbay 2006, p 30)</p>
<p>Feedback on outcomes of behaviour</p> <p>Monitor and provide feedback on the outcome of performance of the behaviour e.g. Informing the person with diabetes of the results of DRS exam [i.e. presence/absence of retinopathy]</p>	<p>"...all persons who attended the screening clinics received a dilated eye exam by a volunteer community-based ophthalmologist. The eye exam included visual acuity, intraocular pressure, and a fundus examination through a dilated pupil...immediately after receiving the dilated eye exam, the patient was told the results by the examination ophthalmologist." (Anderson 2003, p 41)</p>
<p>Biofeedback</p> <p>Provide feedback about the body (e.g. physiological or biochemical state) using an external monitoring device as part of a behaviour change strategy</p>	<p>"... immediately after receiving the dilated eye exam, the patient was told the results by the examination ophthalmologist." (Anderson 2003, p 41)</p>
<p>Social Support</p>	
<p>Social Support (unspecified)</p> <p>Advise on, arrange or provide social support (e.g. from friends, relatives, colleagues, 'buddies' or staff) or non-contingent praise or reward for performance of the behaviour. In includes encouragement and counselling e.g. Provide general encouragement or reassurance to a person with diabetes to attend their DRS appointment</p>	<p>"Overall, the intervention included ...and self-management support (provided by the practice nurse)." (Frei 2014, p 1041)</p>
<p>Social Support (practical)</p> <p>Advise on, arrange, or provide practical help (e.g. from friends, relatives, colleagues, 'buddies' or staff) for performance of the behaviour e.g. Provide practical help for a patient with diabetes to attend DRS. This can include, for example: arranging a referral to DRS, arranging or providing transport to the clinic</p>	<p>"Referrals were facilitated to other clinicians when indicated, including ophthalmology, podiatry, nutrition and primary care for follow-up of acute or other chronic issues or when requested by patients." (Jacobs 2012, p 616)</p>
<p>Shaping knowledge</p>	
<p>Instruction on how to perform behaviour</p> <p>Advise or agree on how to perform the behaviour (includes 'skills training') e.g. Provide advice to a person with diabetes on how often guidelines recommend attending DRS, where they can obtain a DRS, and how to schedule an eye exam</p>	<p>"A direct mail reminder was sent to patients to reinforce the importance of annual eye exams and included the following text: If you don't have an eye doctor, ask you regular doctor to refer you to one." (Prela 2000, p 258)</p>
<p>Natural consequences</p>	

Table 1. Illustrative quotations for BCTs used in the included studies (Continued)

<p>Information about health consequences Provide information (e.g. written, verbal, visual) about health consequences of performing the behaviour e.g. Provide advice to the person with diabetes, on the negative health consequences of retinopathy, and the benefits of early detection</p>	<p>“A tailored telephone intervention was delivered by bilingual interventionists and included: Risk communications, such as the frequency lack of symptoms of retinopathy and that early treatment for retinopathy decreases the risk of blindness, were included.” (Walker 2008, p 187)</p>
<p>Salience of consequences Use methods specifically designed to emphasise the consequences of performing the behaviour with the aim of making them more memorable e.g. Give a person with diabetes a leaflet containing testimonials from other persons with diabetes who suffer from retinopathy to emphasise the benefits of attending DRS and early detection</p>	<p>“The videotape used emotional appeals through storytelling to increase motivation to have a yearly dilated retinal examination.” (Basch 1999, p 1879)</p>
<p>Information about social & environmental consequences Provide information (e.g. written, verbal, visual) about social and environmental consequences of performing the behaviour e.g. Provide information on the costs of having a DRS exam</p>	<p>“A take-home reminder (aimed at patients, to remind them to make an appointment for an eye exam), to be given to patients by their Family Practitioner, included the following text: OKIP covers annual eye checks for patients with diabetes so you will not have to pay” (Zwarenstein 2014, p 90)</p>
<p>Information about emotional consequences Provide information (e.g. written, verbal, visual) about emotional consequences of performing the behaviour e.g. Provide a leaflet recognising the potential negative effects on emotional and mental health of managing a chronic illness, such as diabetes</p>	<p>“Group visit content, though patient-guided, was physician-directed to cover educational topics...and the emotional aspects of diabetes.” (Clancy 2007, p 621)</p>
<p>Comparison of behaviour</p>	
<p>Demonstration of the behaviour Provide an observable sample of the performance of the behaviour, directly in person or indirectly (e.g. by film, picture, for the person to aspire to or imitate) e.g. Play a video demonstrating the DRS procedure</p>	<p>“The newsletter consisted of six sections, including a testimonial designed to model eye examination behaviour” (Ellish 2011, p 1593)</p>
<p>Social comparison Draw attention to others' performance to allow comparison with the person's own performance e.g. Provide healthcare professionals with feedback on the proportion of their patients who have had a DRS exam, and benchmark this in comparison to other hospitals or healthcare professionals</p>	<p>“The system presented register data on their' Type 2 diabetes population, giving them the option either to use the data during individual diabetes consultations or to gain an overview of the quality of their diabetes care and compare it with the corresponding quality in their colleagues' practices.” (Guldborg 2011, p 326)</p>
<p>Information about others' approval Provide information about what other people think about their behaviour. The information clarifies whether others will like, approve or disapprove of what the person is doing or will do e.g. Tell the person with diabetes that their family members would likely be keen for them to attend their DRS appointment</p>	<p>“One of the message in the targeted newsletter read: Even though you've been thinking about getting a dilated eye exam, we hope you'll make the call now”(Ellish 2011, Additional information provided by the author)</p>

Table 1. Illustrative quotations for BCTs used in the included studies (Continued)

Associations	
<p>Prompts/Cues Introduce or define environmental or social stimulus with the purpose of prompting or cueing the behaviour e.g. Phone the person with diabetes to remind them of their upcoming DRS appointment</p>	<p>“For those who made an appointment, a reminder letter was mailed 3 weeks prior to the scheduled appointment. Additionally, there was an automated reminder call the day before the scheduled appointment” (Pizzi 2015, p 255)</p>
<p>Reduce prompts/cues Withdraw gradually prompts to perform the behaviour e.g. Decrease the frequency with which a person with diabetes is sent a reminder of their DRS attendance (i.e. from weekly, to fortnightly, to monthly, to quarterly reminders)</p>	<p>“Recommendations for regular telephone follow-ups for diabetes patients, which will be monthly in the 1st half year and then will probably decrease” (Jansink 2013 (coded from protocol 2009)</p>
Repetition and substitution	
<p>Behavioural practice/rehearsal Prompt practice or rehearsal of the performance of the behaviour one or more times in a context or at a time when the performance may not be necessary, in order to increase habit and skill e.g. Provide an opportunity for trainee healthcare professionals to practise delivering a DRS exam to an actor role-playing a patient with diabetes</p>	<p>“During a 2-day training session, case managers received instruction on collaborative goal setting, with case examples and role-playing used to familiarize them with the treatment algorithms” (Krein 2004, p 734)</p>
<p>Graded tasks Set easy-to-perform tasks, making them increasingly difficult, but achievable, until the behaviour is performed e.g. Initially allocate a healthcare professional responsibility for one component of DRS exam and progressively increase their responsibility</p>	<p>“Theoretically, this form of facilitation should be necessary for only a relatively short period of time, with the practice improvement team progressively assuming responsibility for the ongoing improvement efforts after the initial facilitation.” (Dickinson 2014, p 10)</p>
Comparison of outcomes	
<p>Credible source Present verbal or visual communication from a credible source in favour of or against the behaviour e.g. Include the logos for national health institutes, or cite published clinical guidelines, to endorse information provided in leaflets regarding DRS</p>	<p>“Participants in the print-intervention group received a mailing of a colourful, 14-page booklet on preventing diabetes eye problems called Keep Your Eyes Healthy, in English or Spanish, developed by the National Institutes of Health.” (Walker 2008, p 187)</p>
Reward and threat	
<p>Material incentive (behaviour) Inform that money, vouchers or other valued objects will be delivered if and only if there has been effort and/or progress in performing the behaviour e.g. Advise the person with diabetes that they will receive a shopping voucher if they attend their upcoming DRS appointment</p>	<p>“The automated system offered a live telephone call back to assist in scheduling test and also offered to send participants the following items: 1) a voucher that would allow the provider to waive the co-payment for a dilated eye examination...” (Simon 2010, p 1452)</p>

Table 1. Illustrative quotations for BCTs used in the included studies (Continued)

<p>Social reward Arrange verbal or non-verbal reward if and only if there has been effort and/or progress in performing the behaviour e.g. Verbally praise the person with diabetes if they attend their DRS appointment</p>	<p>“When a subject reported having a dilated retinal examination a congratulatory letter was sent.” (Basch 1999, p 1879)</p>
<p>Non-specific reward Inform that a reward will be delivered if and only if there has been effort and/or progress in performing the behaviour e.g. Inform the healthcare professional that they will be rewarded for conducting a DRS exam with a target proportion of their patients</p>	<p>“CME credits were given to the participating physicians in the workshops” (Vidal-Pardo 2013, p 752)</p>
<p>Antecedents</p>	
<p>Restructuring the physical environment Change or advise to change the physical environment in order to facilitate performance of the wanted behaviour or create barriers to the unwanted behaviour e.g. Introduce mobile DRS vans in geographically remote areas to increase access to screening facilities</p>	<p>“Care guide workstations were located in the clinic waiting rooms, to facilitate face-to-face interactions with patients, providers, and nurses.” (Adair 2013, p 177)</p>
<p>Restructuring the social environment Change or advise to change the social environment in order to facilitate performance of the wanted behaviour or create barriers to the unwanted behaviour e.g. Change a healthcare team and team working, such as introducing a new specialist diabetes nurse role responsible for monitoring screening rates and phoning people with diabetes to remind them to attend their DRS appointment</p>	<p>“Three multi-lingual Link Workers already employed by Coventry Primary Care Trust (PCT) were trained in diabetes management and care and assigned to work with specific intervention GP surgeries” (Bush 2014, p 295)</p>
<p>Adding objects to the environment Add objects to the environment in order to facilitate performance of the behaviour e.g. Introduce new computerised software to a general practice to help monitor and remind healthcare professionals as to which patients need to be prompted to attend DRS</p>	<p>“In addition 4500 diabetes passports were made available at the four hospitals...” (Dijkstra 2005, p 128)</p>
<p>Scheduled consequences</p>	
<p>Behaviour cost Arrange for withdrawal of something valued if and only if an unwanted behaviour is performed e.g. Charging people with diabetes a fee for failing to attend a DRS exam</p>	<p>“We were interested to find out whether a small copayment would be an important deterrent to the uptake of screening for diabetic retinopathy (DR)... We conducted a randomized trial in which one group was charged a small fee for DR screening and the other was provided with free access.” (Lian 2013, p 1247)</p>
<p>Self-belief</p>	

Table 1. Illustrative quotations for BCTs used in the included studies (Continued)

<p>Verbal persuasion about capability Tell the person that they can successfully perform the wanted behaviour, arguing against self-doubts and asserting that they can and will succeed e.g. Encourage or reassure the patient to attend a DRS exam, providing information as needed to address any concerns or self-doubts they may have about attending for a DRS exam</p>	<p>“Diabetes is a serious, lifelong condition, but there is so much that you can do to protect your health. Take charge of your health, not only for today, but also for the years to come” (Lafata 2002, p 523)</p>
<p>Focus on past success Advise to think about or list previous successes in performing the behaviour (or parts of it) e.g. Help the person with diabetes to remember the last time they attended a DRS exam, and use this as an opportunity to reassure them of the benefits of attending</p>	<p>A comprehensive programme that integrated lifestyle: counselling based on motivational interviewing principles was integrated into structured diabetes care [In description of motivational interviewing] “Self-efficacy can be strengthened by affirming past success (i.e. reinforcement)...” (Jansink 2013 , additional information from protocol)</p>

DRS: diabetic retinopathy screening

Table 2. Summary of reported costs and resources to deliver interventions

QI Component	Study	DRS or GQI	Estimated costs of resources used	Resources used
Promotion of self-management	Davis 2010 N = 85 participants	GQI	Staff cost per person = GBP 625.25; costs of the other resources used = GBP 476.35 over 12 months Direct cost per person = GBP 1101	13 x 15-minute sessions (3 individuals and 10 group session) with nurses and 4 hours with health educator per person
	Wagner 2001 N = 14 clinics, 278 participants	GQI	Not reported	1-hour group session with relevant health professional every 3 - 6 months
Team changes	Frei 2014 N = 15 practices, 164 participants	GQI	Not reported	6-day training for nurses, 2 x 4-hour workshops for physicians and nurses
	Wagner 2001 N = 14 clinics, 278 participants	GQI	Not reported	1-hour group session with relevant health professional every 3 - 6 months
	Litaker 2003 N = 79 participants	GQI	Mean personnel costs for the intervention per month per patient = GBP 130.15 Total additional personnel costs = GBP 10281.97	An average of 180 minutes with participants

Table 2. Summary of reported costs and resources to deliver interventions (Continued)

Case management	Krein 2004 N = 123 participants	GQI	Not reported	2 days training for case managers, 20 hours/week time spent with participants. Quarterly profiling and subsequently every 6 months
Patient education	Prezio 2014 N = 90 participants	GQI	Physician cost = GBP 48.76/hour Community health worker = GBP 12.91/hour Cost of intervention over 20 years = GBP 3646.10 per patient	7 sessions per participants, 1 hour physician supervision for health workers
	Pizzi 2015 N = 117 participants for mailed intervention, 120 for telephone intervention	DRS	Staff time for 120 participants = GBP 501.13 for telephone over 1 month Staff time for 117 participants = GBP 173.17 for mailed intervention over 1 month GBP 85.24/hour for the physician, GBP 29.32/hr for health services manager, GBP 16.72/hour for medical assistant Cost of materials for telephone = GBP 30.25, cost of materials for mailed intervention Total cost of intervention = GBP 577.64 for 120 participants in telephone group, GBP 335.48 for 117 participants in mailed group over a month Total cost when appointment is made and kept per participant; Telephone intervention = GBP 9.47 Mailed intervention = GBP 8.83	1-hour supervision for every 20-hour intervention delivered 2 x 1-hour meetings with medical assistants, health services manager and ophthalmologist
	Adair 2013 N = 930 participants	GQI	Care guide cost for 120 participants = GBP 375,917 at the rate of GBP 11.77/hour over a year 2 supervisory nurses = GBP 85,847.24	12 care guides, 2 weeks training, 2 supervisory nurses, 5 visits on average to clinics, 4 contacts with participants, furniture and modular equipment

Table 2. Summary of reported costs and resources to deliver interventions (Continued)

			<p>Training cost = GBP 2228.99</p> <p>modular furniture and equipment for 12 stations = GBP 79,422.81</p> <p>Total cost = GBP 463,993</p> <p>Total cost of intervention per participant = GBP 326</p>	
	<p>McCall 2011</p> <p>N = approximately 20,000 participants</p>	GQI	Not reported	Not reported
	<p>Clancy 2007</p> <p>N = 96 participants</p>	GQI	<p>Deposit fee for group visit = GBP 13.4/visit, for 12 visits = GBP 160.60</p>	<p>Monthly meeting for a year for 2 hours which includes 1 primary care internal medicine physician, 1 registered nurse per visit</p> <p>Training for physicians and nurses</p> <p>3- hour training for clinic staff</p> <p>12 group visits for 1 year</p>
	<p>Schechter 2008 (Walker 2008)</p> <p>N = 305 participants for telephone intervention, 298 for print intervention</p>	DRS	<p>Costs of health educator = GBP 14,890.83</p> <p>Training and supervision = GBP 2756.44</p> <p>Telephone charges = GBP 679.67 for 305 participants</p> <p>Costs of printing and mailing = GBP 465.99 for 298 participants</p>	<p>Average of 3.2 calls for about 20 minutes +5 minutes call preparation per participant over 6 months</p> <p>20 hours training, 1 hour supervision by diabetes nurse educator, telephone calls</p>
Electronic patient register	<p>Eccles 2007</p> <p>N = 30 practices, 1674 participants</p>	GQI	<p>Cost of developing the guidelines = GBP 10,208</p> <p>Cost of software development = GBP 12519.36</p> <p>Cost of educational activities = GBP 2148.11</p> <p>Additional cost of running the system = GBP 9964.46</p> <p>Annual cost per participant = GBP 68.21</p>	<p>Cost of guidelines and software development. Average of 2 follow-up contacts</p>
Patient reminders	<p>Schechter 2008 (Walker 2008)</p> <p>N = 305 participants for telephone intervention, 298 for print intervention</p>	DRS	<p>Costs of health educator = GBP 14,890.83</p> <p>Training and supervision = GBP 2756.44</p> <p>Telephone charges = GBP 679.67 for 305 participants</p>	<p>Average of 3.2 calls for about 20 minutes + 5 minutes call preparation per participant over 6 months</p> <p>20 hours training, 1 hour supervision by diabetes nurse</p>

Table 2. Summary of reported costs and resources to deliver interventions (Continued)

			Costs of printing and mailing = GBP 465.99 for 298 participants	educator, telephone calls
	Pizzi 2015 N = 117 participants for mailed intervention, 120 for telephone intervention	DRS	Staff time for 120 participants = GBP 501.13 for telephone over 1 month Staff time for 117 participants = GBP 173.17 for mailed intervention over 1 month GBP 85.24/hour for the physician, GBP 29.32/hour for health services manager, GBP 16.72/hour for medical assistant Cost of materials for telephone = GBP 30.25, cost of materials for mailed intervention Total cost of intervention = GBP 577.64 for 120 participants in telephone group, GBP 335.48 for 117 participants in mailed group over a month	1 hour supervision for every 20-hour intervention delivered 2 x 1-hour meetings with medical assistants, health services manager and ophthalmologist
Audit and feedback	Frijling 2002 N = 62 clusters, 703 participants	GQI	Cost of clinical decision-making per practice = GBP 341.51	80 hours training for facilitator, 15 x 1-hour visits to practice clinic, 3 hours GP time for implementation of feedback
Clinician reminders	Litaker 2003 N = 79 participants	GQI	Mean personnel costs for the intervention per month = GBP 130.15 Total additional personnel costs = GBP 10,281.97	An average of 180 minutes with participants over 12 months
Continuous quality improvements	Piette 2001 N = 146 participants	GQI	Approximately GBP 14 - GBP 24 per year for automated calls.	13 nurses spending an average of 3.8 hours per participant, 15 automated calls

DRS: diabetic retinopathy screening

GQI: general quality improvement

Table 3. Summary of characteristics of included studies

Study characteristics	Target: diabetic retinopathy screening attendance N = 16	Target: general quality improvement in diabetes care N = 50	TOTAL N = 66
Study design	Individual RCT: n = 14 (87.5%) Cluster-RCT: n = 2 (12.5%) 2 arms n = 13 (81.3%) 3 arms n = 2 (12.5%) > 3 arms n = 1 (6.3%)	Individual RCT: n = 21 (42%) Cluster-RCT: n = 29 (58%) 2 arms n = 46 (92%) 3 arms n = 4 (8%)	Individual RCT n = 35 (53%) Cluster-RCT n = 31 (47%) 2 arms n = 59 (89.4%) 3 arms n = 6 (9.1%) > 3 arms n = 1 (1.5%)
Location	USA: n = 12 (75%) Canada: n = 1 (6.3%) China: n = 1 (6.3%) Germany: n = 1 (6.3%) UK: n = 1 (6.3%) Conducted between 1995 and 2013	USA: n = 29 (58%) Canada: n = 2 (4%) Netherlands: n = 4 (8%) Australia: n = 3 (6%) UK: n = 2 (4%) Other n = 10 (20%) Conducted between 1988 and 2013	USA: n = 41 (62.1%) Canada: n = 3 (4.6%) Netherlands: n = 4 (6.1%) Australia: n = 3 (4.6%) UK: n = 3 (4.6%) Other: n = 12 (18.2%) Conducted between 1988 and 2013
Setting	Primary care: n = 11 (68.8%) Outpatient clinics: n = 4 (25%) Unclear: n = 1 (6.3%)	Primary care: n = 40 (80%) Outpatient n = 3 (6%) Unclear: n = 7 (14%)	Primary care: n = 51 (77.3%) Outpatient clinics n = 7 (10.6%) Unclear n = 8 (12.1%)
Diabetes type	Type 2: n = 4 (25%) Type 1 and Type 2: n = 3 (18.8%) Not reported: n = 9 (56.3%)	Type 2: n = 34 (68%) Type 1 and Type 2 n = 7 (14%) Not reported: n = 9 (18%)	Type 2 : n = 38 (57.6%) Type 1 and 2 n = 10 (15.2%) Not reported n = 18 (27.3%)
Number of participants recruited	Individual RCT = 38,273 Cluster RCT = 4135 clusters, 182,513 participants Total: 220,786 participants included	Individual RCT = 198,752 Cluster RCT = 1991 clusters, 78,276 participants Total: 277,028 participants included	Individual RCT = 237,025 Cluster RCT = 6126 clusters, 260,789 participants Total: 497,814 participants included
Median age	Median 60.7 yrs (range 51.1 - 72.7) Number reporting n = 9	Median 60.6 yrs (range 46.8 - 74) Number reporting n = 34	Median 60.7 yrs (46.8 - 74) Number reporting n = 43
Gender (% male)	Median 38.9% (range 25% - 98%) Number reporting n = 12	Median 49.8% (range 25% - 97%); Number reporting n = 35	Median 48% (25% - 98%) Number reporting n = 47

Table 3. Summary of characteristics of included studies (Continued)

Type of screening	Retinal exam n = 12 (75%) Grading of digital retinal images: n = 4 (25%)	Retinal exam n = 49 (98%) Grading of retinal images n = 1 (2%)	Retinal exam n = 61 (92.4%) Grading of retinal images n = 5 (7.6%)
Baseline screening attendance (in previous 12 or 24 m)	Median 0% (range 0% - 48.4%) Reported in 7 studies	Median 37.1% (range 0% - 88%) Reported in 36 studies	Median 35.4% (range 0% - 87.8%) Reported in 43 studies
Longest duration of follow-up (median)*	Median 6 months (range 3 - 48) Number reporting n = 14	Median 12 months (range 1 - 30): Number reporting n = 49	Median 12 months (range 1 - 48) Number reporting n = 63
Intervention target (modified EPOC classification)	Median number of targets in intervention arm = 2 Participant n = 14 (87.5%) Healthcare professional n = 4 (25%) Healthcare system n = 4 (25%)	Median number of targets in intervention arm = 3 Participant n = 31 (62%) Healthcare professional n = 31 (62%) Healthcare system n = 37 (74%)	Median number of targets in intervention arm = 3 Participant n = 45 (68.2%) Healthcare professional n = 35 (53%) Healthcare system n = 41 (62.1%)

Mansberger 2015 reported follow-up data to 48 months but intervention offered to intervention and control group after 18 months and data reported at 12 and 24 months.

Table 4. CHEC checklist for methodological quality assessment of economic evaluations

CHEC criteria check-lists	Adair 2013	Clancy 2007	Davis 2011	Eccles 2007	Frei 2014	Frijling 2002	Krein 2004	Litaker 2003	Mc-Call 2011	Piette 2001	Pizzi 2015	Prezio 2014	Schechter 2008	Wagner 2001
Is the study population clearly described:	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
Are competing alter-	Y	Y	Y	N	N	Y	Y	Y	N	N	Y	Y	Y	N

Table 4. CHEC checklist for methodological quality assessment of economic evaluations (Continued)

tives clearly de- scribed														
Is a well- de- fined re- search ques- tion posed in an- swer- able form?	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	Y	Y	Y	Y
Is the eco- nomic study de- sign ap- pro- priate to the stated objec- tive?	N	N	Y	N	N	N	N	Y	N	N	Y	Y	Y	N
Is the cho- sen time hori- zon ap- pro- priate to in- clude rele- vant costs and con- se-	Y	N	U	N	N	N	N	Y	N	N	Y	Y	Y	N

Table 4. CHEC checklist for methodological quality assessment of economic evaluations (Continued)

quence														
Is the actual perspective chosen appropriate?	Y	N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
Are all important and relevant costs for each alternative identified?	Y	N	Y	Y	N	N	N	N	N	N	Y	Y	Y	N
Are all costs measured appropriately in physical units?	Y	N	U	Y	N	Y	N	Y	Y	N	Y	Y	Y	N
Are costs valued appropriately?	Y	N	N	Y	N	Y	N	Y	N	N	Y	Y	Y	N

Table 4. CHEC checklist for methodological quality assessment of economic evaluations (Continued)

ately?														
Are all important and relevant outcomes for each alternative identified?	Y	N	Y	Y	Y	Y	N	Y	N	Y	Y	Y	Y	N
Are all outcomes measured appropriately?	Y	Y	Y	Y	N	Y	N	Y	Y	N	Y	Y	Y	N
Are outcomes valued appropriately?	N	N	N	Y	N	N	N	N	N	N	Y	Y	N	N
Is an incremental analysis of costs and outcomes	N	N	Y	N	N	N	N	N	N	N	Y	Y	Y	N

Table 4. CHEC checklist for methodological quality assessment of economic evaluations (Continued)

of alternatives performed														
Are all future costs and outcomes discounted appropriately?	N	N	N	N	N	N	N	N	N	N	Y	Y	N	N
Are all important variables, whose values are uncertain, appropriately subjected to sensitivity analysis?	N	N	N	Y	N	N	N	N	N	N	Y	Y	Y	N
Do the conclusions	Y	Y	Y	N	Y	Y	Y	N	N	Y	Y	Y	Y	Y

Table 4. CHEC checklist for methodological quality assessment of economic evaluations (Continued)

follow from the data re-reported:														
Does the study discuss the generalizability of the results to other settings patient/client groups?	Y	Y	Y	Y	Y	Y	Y	Y	N	Y	Y	Y	Y	Y
Does the article indicate that there is no potential conflict of interest of study researchers?	Y	Y	Y	Y	Y	Y	Y	Y	N	Y	Y	Y	Y	Y

Table 4. CHEC checklist for methodological quality assessment of economic evaluations (Continued)

(s) and fun- der(s) ?														
Are eth- ical and dis- tribu- tional issues dis- cussed ap- pro- pri- ately?	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y

N: no

U: unclear

Y: yes

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations

Section of paper	Component	Reported on page number
Adair 2013		
Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		-
Background and objectives	Provide an explicit statement of the broader context for the study	176
	Present the study question and its relevance for health policy or practice decisions	176
Methods		

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	177
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	177
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	178 - 179
Comparators	Describe the interventions or strategies being compared and state why they were chosen	Not reported
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Not reported
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	Not reported
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Not reported
	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not reported
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not reported
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to op-	179

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	portunity costs	
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	179
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not reported
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not reported
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not reported
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	Appendices w65
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Appendices w65
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, to-	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	gether with the impact of methodological assumptions (such as discount rate, study perspective)	
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not reported
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not reported
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	183
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	183
Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	183
Clancy 2007		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	Not reported
	Present the study question and its relevance for health policy or practice decisions	620
Methods		Not reported
Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	621
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	Not reported
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	Not reported
Comparators	Describe the interventions or strategies being compared and state why they were chosen	620 - 621
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Not reported
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	Not reported
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	620

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not reported
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not reported
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs	Not reported
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	Not reported
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not reported
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not reported
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	622
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for dis-	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	tributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Not reported
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective)	Not reported
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not reported
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not reported
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	Not reported
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	624

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	624
Davis 2010		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	Abstract A325
Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Abstract A325
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	Abstract A325
	Present the study question and its relevance for health policy or practice decisions	1712 of effectiveness report
Methods		
Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	1714 of effectiveness report
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	Abstract A325
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	Not reported
Comparators	Describe the interventions or strategies being compared and state why they were chosen	Abstract A325
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Abstract A325

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	1713
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Abstract A325
	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not applicable
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not reported
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs	Not reported
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	Not reported
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not applicable
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not applicable

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not applicable
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	Not reported
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Abstract A325
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective)	Not reported
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not applicable
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	Not reported
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	1716
Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	1716
Eccles 2007		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	Not reported
Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	2
	Present the study question and its relevance for health policy or practice decisions	2
Methods		

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	2
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	2
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	4
Comparators	Describe the interventions or strategies being compared and state why they were chosen	4
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	4
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	3
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Not reported
	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not reported
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	3
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to op-	3

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	portunity costs	
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	4
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not reported
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not reported
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not reported
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	Not reported
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	8 - 12
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, to-	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	gether with the impact of methodological assumptions (such as discount rate, study perspective)	
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not reported
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not reported
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	6, 10
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	11
Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	11
Frei 2014		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	1040
	Present the study question and its relevance for health policy or practice decisions	1040
Methods		
Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	1043
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	1040
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	Not reported
Comparators	Describe the interventions or strategies being compared and state why they were chosen	1040
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Not reported
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	Not reported
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not applicable
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not reported
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs	Not reported
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	Not reported
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not applicable
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not applicable
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not applicable
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for dis-	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	tributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Not reported
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective)	Not reported
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not applicable
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not reported
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	1045
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	1045

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	1045
Frijling 2002		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	Not reported
Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	837
	Present the study question and its relevance for health policy or practice decisions	837
Methods		
Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	838
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	838
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	Not reported
Comparators	Describe the interventions or strategies being compared and state why they were chosen	837
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	Not reported
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Not reported
	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not applicable
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not reported
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs	Not reported
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	Not reported
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not applicable
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not applicable

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not reported
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	Not reported
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Not reported
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective)	Not reported
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not applicable
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not applicable

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	841
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	841
Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	Not reported
Krein 2004		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	Not reported
Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	732
	Present the study question and its relevance for health policy or practice decisions	732
Methods		

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	733
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	733
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	Not reported
Comparators	Describe the interventions or strategies being compared and state why they were chosen	733
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Not reported
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	Not reported
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Not reported
	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not reported
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to op-	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	portunity costs	
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	Not reported
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not reported
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not reported
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	Not reported
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Not reported
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, to-	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	gether with the impact of methodological assumptions (such as discount rate, study perspective)	
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not applicable
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not applicable
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	738
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	732
Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	Not reported
Litaker 2003		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	front page

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	224
	Present the study question and its relevance for health policy or practice decisions	224
Methods		
Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	225
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	225
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	Not reported
Comparators	Describe the interventions or strategies being compared and state why they were chosen	226
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Not reported
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	Not reported
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not reported
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	226
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs	Not reported
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	Not reported
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not applicable
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not applicable
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not applicable
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for dis-	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	tributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Not reported
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective)	Not reported
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not applicable
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	232
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	234
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	235

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	Not reported
McCall 2011		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	Not reported
Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	1705
	Present the study question and its relevance for health policy or practice decisions	1706
Methods		
Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	1708
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	1705
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	Not reported
Comparators	Describe the interventions or strategies being compared and state why they were chosen	Not reported
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	Not reported
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Not reported
	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not applicable
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not applicable
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs	Not reported
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	Not reported
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not applicable
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not applicable

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not applicable
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	Not reported
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Not reported
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective)	Not reported
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not applicable
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not applicable

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	1712
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	Not reported
Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	Not reported
Piette 2001		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	Not reported
Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	202 - 203
	Present the study question and its relevance for health policy or practice decisions	Not reported
Methods		

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	204
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	203
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	Not reported
Comparators	Describe the interventions or strategies being compared and state why they were chosen	177
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Not reported
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	Not reported
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Not reported
	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not applicable
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not applicable
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to op-	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	portunity costs	
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	Not reported
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not applicable
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not applicable
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not applicable
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	Not reported
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Not reported
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, to-	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	gether with the impact of methodological assumptions (such as discount rate, study perspective)	
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not applicable
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not reported
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	207
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	207
Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	Not reported
Pizzi 2015		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	front page

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	front page
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	254
	Present the study question and its relevance for health policy or practice decisions	254
Methods		
Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	254
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	254
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	255
Comparators	Describe the interventions or strategies being compared and state why they were chosen	254
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	256
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	256
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	255
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	254 - 255

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not reported
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not applicable
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs	256
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	256
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	256
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	256 - 257
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	256
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for dis-	258 - 259

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	tributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	260
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective)	258 - 260
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not reported
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	258 - 260
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	261 - 262
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	263

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	263
Prezio 2014		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	771
Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	771
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	772
	Present the study question and its relevance for health policy or practice decisions	772
Methods		
Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	772
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	772
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	772
Comparators	Describe the interventions or strategies being compared and state why they were chosen	772
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	772

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	772
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	774
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	772
	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not reported
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not applicable
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs	772
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	772
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	772
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	772 - 774

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	774
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	774 - 776
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	777
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective)	776 - 777
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not applicable
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	777

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	775
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	778
Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	778
Schechter 2008		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	763
Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	763
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	763 - 764
	Present the study question and its relevance for health policy or practice decisions	764
Methods		

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	764
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	764
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	764
Comparators	Describe the interventions or strategies being compared and state why they were chosen	764
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	764
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	765
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	764
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	764
	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not applicable
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	765
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to op-	764

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	portunity costs	
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	764
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not applicable
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not applicable
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	765
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	766
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	765
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, to-	766

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	gether with the impact of methodological assumptions (such as discount rate, study perspective)	
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not applicable
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	765
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	767
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	767
Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	768
Wagner 2001		
Title	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Abstract	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions	Not reported
Introduction		
Background and objectives	Provide an explicit statement of the broader context for the study	695
	Present the study question and its relevance for health policy or practice decisions	695
Methods		
Target population and subgroups	Describe characteristics of the base case population and subgroups analysed, including why they were chosen	697
Setting and location	State relevant aspects of the system(s) in which the decision(s) need(s) to be made	695 - 696
Study perspective	Describe the perspective of the study and relate this to the costs being evaluated	Not reported
Comparators	Describe the interventions or strategies being compared and state why they were chosen	Not reported
Time horizon	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate	Not reported
Discount rate	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate	Not reported
Choice of health outcomes	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed	Not reported
Measurement of effectiveness	<i>Single study-based estimates:</i> Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data	Not reported

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data	Not applicable
Measurement and valuation of preference based outcomes	If applicable, describe the population and methods used to elicit preferences for outcomes	Not applicable
Estimating resources and costs	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs	Not reported
Currency, price date, and conversion	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate	Not reported
Choice of model	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended	Not applicable
Assumptions	Describe all structural or other assumptions underpinning the decision-analytical model	Not applicable
Analytical methods	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty	Not reported
Results		
Study parameters	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for dis-	697 - 698

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

	tributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended	
Incremental costs and outcomes	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios	Not reported
Characterising uncertainty	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective)	Not reported
	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions	Not reported
Characterising heterogeneity	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information	Not reported
Discussion		
Study findings, limitations, generalisability, and current knowledge	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge	698 - 699
Other		
Source of funding	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support	699

Table 5. CHEERS checklist for methodological quality assessment of economic evaluations (Continued)

Conflicts of interest	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations	Not reported
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Table 6. Results of subgroup analysis

Subgroup category	N studies	RD (95% CI)	I ² %
QI Strategy			
Audit and feedback	11	0.12 (0.06 to 0.18)	89
Case management	18	0.14 (0.07 to 0.21)	94
Team changes	19	0.20 (0.13 to 0.26)	88
Electronic patient registry	10	0.18 (0.07 to 0.29)	94
Clinician education	16	0.13 (0.07 to 0.19)	95
Clinician reminders	10	0.13 (0.05 to 0.21)	85
Patient Education	30	0.15 (0.13 to 0.18)	95
Promotion of self-management	21	0.19 (0.13 to 0.26)	96
Patient reminders	16	0.11 (0.07 to 0.14)	93
BCT (patients)			
Goal setting (Outcome)	14	0.26 (0.16 to 0.36)	93
Feedback on outcomes of behaviour/biofeedback	15	0.19 (0.13 to 0.25)	80
Credible source	10	0.22 (0.06 to 0.38)	95
Prompts/cues	25	0.11 (0.07 to 0.14)	92
Social support (unspecified)	14	0.19 (0.09 to 0.28)	93

Table 6. Results of subgroup analysis (Continued)

Problem solving	10	0.17 (0.08 to 0.27)	89
Restructuring the social environment	17	0.17 (0.10 to 0.24)	85
Instruction on how to perform behaviour	34	0.13 (0.11 to 0.15)	94
Social support (practical)	20	0.14 (0.09 to 0.20)	90
Information about health consequences	19	0.12 (0.07 to 0.16)	92
BCT (healthcare professionals)			
Restructuring the social environment	23	0.19 (0.12 to 0.26)	91
Credible source	13	0.16 (0.08 to 0.24)	95
Adding objects to the environment	15	0.14 (0.07 to 0.20)	88
Social support (practical)	10	0.13 (0.03 to 0.22)	87
Instruction on how to perform behaviour	30	0.13 (0.08 to 0.17)	93
Prompts/cues	15	0.12 (0.06 to 0.17)	85
Feedback on outcomes of behaviour/biofeedback	17	0.11 (0.07 to 0.16)	81

Table 7. GRADE rating for economic outcomes

									Resources and costs per participant	
Economic outcomes <i>No of studies with evidence for the</i>	<i>Design</i>	<i>Limitations/risk of bias</i>	<i>Inconsistency</i>	<i>Indirectness</i>	<i>Imprecision</i>	<i>Other factors</i>	<i>No of participants</i>	<i>Any Quality Improvement intervention</i>	<i>Usual care</i>	<i>Overall quality</i>

Table 7. GRADE rating for economic outcomes (Continued)

<i>economic outcomes</i>									
Re-sources used (staff time, equipment, consumables) (13 studies) Adair 2013 Clancy 2007 Davis 2010 Eccles 2007 Frei 2014 Frijling 2002 Krein 2004 Litaker 2003 Piette 2001 Pizzi 2015 Prezio 201 Wagner 2001 Walker 2008	RCTs	Yes ^a	Yes (there was justification for variation based on setting)	No	No	Resources used varied due to settings and intervention strategy	85 - 20,000	Wide variation in resources used for each study, hence difficult to collate the resource used as a single output	⊕⊕⊕⊖ LOW
Staff/ person-nel costs; costs of treatment and care; cost of primary care; lost	RCTs	Yes ^a	Yes (there was justification for variation based on setting)	No	No	Costs varied due to settings, level of experience and educational Back-	85 - 20,000	Wide variation in resources used from different interventions also made it difficult to derive average costs compared with usual care	⊕⊕⊕⊖ LOW

Table 7. GRADE rating for economic outcomes (Continued)

wages and lost productivity (10 studies) Adair 2013 Clancy 2007 Davis 2010 Eccles 2007 Frijling 2002 Litaker 2003 Piette 2001 Pizzi 2015 Prezio 2014 Walker 2008						ground of personnel			
Incremental cost effectiveness of interventions. (3 studies) Davis 2010 Prezio 2014 Walker 2008	RCTs	Yes ^a	No	No	No	None	85 - 603	GBP 13,154 for promotion of self-management GBP 73,683 for 5 years for face-to-face meeting GBP 18.77 for phone call	⊕⊕⊕ ^a LOW

a. Unclear risk from adequate masking (blinding), Unclear sequence generation and allocation concealment

CONTRIBUTIONS OF AUTHORS

Protocol

All author were involved in the development of the protocol for this review.

Review

JGL and JB screened titles and abstracts.

JGL and EGR extracted data and performed 'risk of bias assessments'.

EGR, FL and JF performed BCT coding.

SR designed and developed the algorithm for resource requirement.

PA conducted the economic evaluation review (with input from LV).

JGL inputted data into Revman. CB checked the data.

CB conducted the statistical analysis (checked by JGL).

JGL produced the first draft of the review and all authors reviewed and commented on the draft.

DECLARATIONS OF INTEREST

JGL: None known

EG-R: None known

FL: None known

JP: None known

JB: None known

NI: None known

PA: None known

CB: None known

JF: None known

JG: None known

TP: None known

SR: None known

LV: None known

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The views expressed in this publication are those of the authors and not necessarily those of the NIHR, NHS, or the Department of Health.

DIFFERENCES BETWEEN PROTOCOL AND REVIEW

In addition to the prespecified covariates for metaregression we also investigated the effect of study design (individual versus cluster-RCT) and risk of bias (high versus low). We had originally planned to conduct a sensitivity analysis to compare studies of high versus low risk of bias.

Only nine of the 30 included cluster-trials reported an ICC. The most commonly-reported value was imputed for studies with no estimates of ICCs. We therefore conducted an unplanned sensitivity analysis to investigate the impact on the pooled effect estimate of using the lower and upper range values.

The checklists used for the economic analysis differed from those that were originally stated in our published Cochrane protocol, due to the recent updates of the methods for the incorporation of economic evidence into Cochrane Intervention Reviews. See [Table 4](#) and [Table 5](#) for the completed CHEERS and CHEC checklists for each included economic evaluation.

INDEX TERMS

Medical Subject Headings (MeSH)

*Quality Improvement [economics]; Costs and Cost Analysis; Diabetes Mellitus, Type 1 [*complications]; Diabetes Mellitus, Type 2 [*complications]; Diabetic Retinopathy [*diagnosis]; Patient Compliance [psychology; *statistics & numerical data]; Randomized Controlled Trials as Topic

MeSH check words

Humans